

does not have a fixed fire suppression system.

The fire area boundaries consist of reinforced concrete. Alternative shutdown capability exists independent of the fire area to ensure that the plant can be safely shutdown for a fire in this area. The low combustible loadings, principally electrical cable insulation, would result in a fire of limited size with slow growth characteristics. In the event of a fire in the area, the fire detectors would alarm and the fire brigade would extinguish the fire using manual equipment. The NRC staff agrees that an automatic fire suppression system is not necessary to control a fire in this configuration in this fire area. Control Room operators could detect a fire, and the station fire brigade would rapidly respond and extinguish a fire in this area.

Therefore, based on its review, the NRC staff concludes that the lack of fixed fire suppression specified in Appendix R, Section III.G.3, in Fire Area 1-FA-AB-84C does not present an undue risk to the public health and safety, and is not necessary to achieve the underlying purpose of the rule.

#### *NRC Staff's Conclusion*

The staff examined the licensee's rationale to support the exemption requests and concludes that the fire protection measures implemented in Fire Areas 1-FA-AB-64B, 1-FA-AB-84B, and 1-FA-AB-84C provide reasonable assurance that at least one means of achieving and maintaining safe shutdown conditions will remain available during and after any postulated fire.

Therefore, the NRC staff concludes that, pursuant to 10 CFR 50.12(a)(2)(ii), there are special circumstances present, in that the application of Sections III.G.2. and III.L.3 of 10 CFR Part 50, Appendix R, is not necessary in order to achieve the underlying purpose of those regulatory provisions.

#### **4.0 Conclusion**

Accordingly, the Commission has determined that, pursuant to 10 CFR 50.12(a), the exemption is authorized by law, will not present an undue risk to the public health and safety, and is consistent with the common defense and security. Also, special circumstances are present. Therefore, the Commission hereby grants PSEG Nuclear, LLC an exemption from 10 CFR, Part 50, Appendix R, Section III.G.3, fixed suppression requirements for Fire Areas 1-FA-AB-64B and 1-FA-AB-84C; and 10 CFR, Part 50, Appendix R, Section III.L.3, loss of offsite power requirements for Fire

Areas 1-FA-AB-64B and 1-FA-AB-84B for Salem, Unit No. 1.

Pursuant to 10 CFR 51.32, the Commission has determined that the granting of this exemption will not have a significant effect on the quality of the human environment (68 FR 22742).

This exemption is effective upon issuance.

Dated at Rockville, Maryland, this 24 day of June 2003.

For the Nuclear Regulatory Commission.

**John A. Zwolinski,**

*Director, Division of Licensing Project Management, Office of Nuclear Reactor Regulation.*

[FR Doc. 03-16415 Filed 6-27-03; 8:45 am]

**BILLING CODE 7590-01-P**

## **NUCLEAR REGULATORY COMMISSION**

**[Docket No. 030-35596]**

### **Notice of Finding of No Significant Impact and Availability of Environmental Assessment for License Amendment of Materials License No. 37-30605-01, [Protarga, Inc., Exton, PA]**

#### **I. Introduction**

The U.S. Nuclear Regulatory Commission (NRC) is considering the issuance of a license amendment to Protarga, Inc., for Materials License No. 37-30605-01, to authorize release of its facility in Exton, Pennsylvania, for unrestricted use and has prepared an Environmental Assessment (EA) in support of this action in accordance with the requirements of 10 CFR part 51. Based on the EA, the NRC has concluded that a Finding of No Significant Impact (FONSI) is appropriate.

#### **II. EA Summary**

The purpose of the proposed action is to allow for the release of the licensee's Exton, Pennsylvania facility for unrestricted use. Protarga, Inc., has been authorized by NRC from December 20, 2000, to use radioactive materials for research and development purposes at the site. On April 3, 2003, Protarga, Inc., requested that NRC release the facility for unrestricted use. Protarga, Inc., has conducted surveys of the facility and determined that the facility meets the license termination criteria in subpart E of 10 CFR part 20.

#### **III. Finding of No Significant Impact**

The NRC staff has evaluated Protarga, Inc.'s request and the results of the surveys and has concluded that the completed action complies with the

criteria in Subpart E 10 CFR Part 20. The staff has prepared the EA (summarized above) in support of the proposed license amendment to terminate the license and release the facility for unrestricted use. On the basis of the EA, the NRC has concluded that the environmental impacts from the proposed action are expected to be insignificant and has determined not to prepare an environmental impact statement for the proposed action.

#### **IV. Further Information**

The EA and the documents related to this proposed action, including the application for the license amendment and supporting documentation, are available for inspection at NRC's Public Electronic Reading Room at <http://www.nrc.gov/reading-rm/adams.html> (ADAMS Accession Nos. ML031700667 and ML031080087. These documents are also available for inspection and copying for a fee at the Region I Office, 475 Allendale Road, King of Prussia, PA 19406. Any questions with respect to this action should be referred to Sattar Lodhi, Nuclear Materials Safety Branch 2, Division of Nuclear Materials Safety, Region I, 475 Allendale Road, King of Prussia, Pennsylvania, 19406, telephone (610) 337-5364, fax (610) 337-5269.

Dated at King of Prussia, Pennsylvania this 19th day of June 2003.

For the Nuclear Regulatory Commission.

**John D. Kinneman,**

*Chief, Nuclear Materials Safety Branch 2, Division of Nuclear Materials Safety, Region I.*

[FR Doc. 03-16414 Filed 6-27-03; 8:45 am]

**BILLING CODE 7590-01-P**

## **NUCLEAR REGULATORY COMMISSION**

### **Governors' Designees Receiving Advance Notification of Transportation of Nuclear Waste**

On January 6, 1982 (47 FR 596 and 47 FR 600), the Nuclear Regulatory Commission (NRC) published in the **Federal Register** final amendments to 10 CFR parts 71 and 73 (effective July 6, 1982), that require advance notification to Governors or their designees by NRC licensees prior to transportation of certain shipments of nuclear waste and spent fuel. The advance notification covered in part 73 is for spent nuclear reactor fuel shipments and the notification for part 71 is for large quantity shipments of radioactive waste (and of spent nuclear reactor fuel not covered under the final amendment to 10 CFR part 73).

The following list updates the names, addresses, and telephone numbers of those individuals in each State who are responsible for receiving information on nuclear waste shipments. The list will be published annually in the **Federal Register** on or about June 30 to reflect any changes in information.

Questions regarding this matter should be directed to Rosetta O. Virgilio, Office of State and Tribal Programs, U.S. Nuclear Regulatory Commission, Washington, DC 20555, (INTERNET Address: [rov@nrc.gov](mailto:rov@nrc.gov)) or at (301) 415-2367.

Dated at Rockville, Maryland this 20th day of June, 2003.

For the Nuclear Regulatory Commission.

**Paul H. Lohaus,**

*Director, Office of State and Tribal Programs.*

#### INDIVIDUALS RECEIVING ADVANCE NOTIFICATION OF NUCLEAR WASTE SHIPMENTS

State	Part 71	Part 73
Alabama .....	Colonel James H. Alexander, Director, Alabama Department of Public Safety, PO Box 1511, Montgomery, AL 36102-1511, (334) 242-4394.	Same.
Alaska .....	Douglas Dasher, Alaska Department of Environmental Conservation, Northern Regional Office, 610 University Avenue, Fairbanks, AK 99709-3643, (907) 451-2172.	Same.
Arizona .....	Aubrey V. Godwin, Director, Arizona Radiation Regulatory Agency, 4814 South 40th Street, Phoenix, AZ 85040, (602) 255-4845, ext. 222, 24 hours: (602) 223-2212.	Same.
Arkansas .....	Bernard Bevill, Division of Radiation Control and Emergency Management, Arkansas Department of Health, 4815 West Markham Street, Mail Slot #30, Little Rock, AR 72205-3867, (501) 661-2301, 24 hours: (501) 661-2136.	Same.
California .....	Captain Andrew R. Jones, California Highway Patrol, Enforcement Services Division, 444 North 3rd St., Suite 310, PO Box 942898, Sacramento, CA 94298-0001, (916) 445-1865, 24 hours: 1-(916) 861-1300.	Same.
Colorado .....	Captain Tommy Wilcoxon, Hazardous Materials Section, Colorado State Patrol, 700 Kipling Street, Suite 1000, Denver, CO 80215-5865, (303) 239-4546, 24 hours: (303) 239-4501.	Same.
Connecticut .....	Edward L. Wilds, Jr., Ph.D., Director, Division of Radiation, Department of Environmental Protection, 79 Elm Street, Hartford, CT 06106-5127, (860) 424-3029. 24 hours: (860) 424-3333.	Same.
Delaware .....	James L. Ford, Jr., Department of Public Safety, PO Box 818, Dover, DE 19903, (302) 744-2680, 24 hours: pager (302) 474-1030.	Same.
Florida .....	Harlan W. Keaton, Administrator, Bureau of Radiation Control, Environmental Radiation Program, Department of Health, PO Box 680069, Orlando, FL 32868-0069, (407) 297-2095.	Same.
Georgia .....	Captain Bruce Bugg, Special Projects Coordinator, Law Enforcement Division, Georgia Department of Motor Vehicle Safety, PO Box 80447, 2206 East View Parkway, Conyers, Georgia 30013, (678) 413-8825.	Same.
Hawaii .....	Loretta Fuddy, Acting Deputy Director for Environmental Health, State of Hawaii Department of Health, PO Box 3378, Honolulu, HI 96813, (808) 586-4424.	Same.
Idaho .....	Lieutenant Duane Sammons, Deputy Commander Commercial Vehicle Safety, Idaho State Police, PO Box 700, Meridian, ID 83680-0700, (208) 884-7220, 24 hours: (208) 846-7500.	Same.
Illinois .....	Gary Wright, Assistant Director, Division of Nuclear Safety, Illinois Emergency Management Agency, 1035 Outer Park Drive, 5th Floor, Springfield, IL 62704, (217) 785-9868, 24 hours: (217) 785-9900.	Same.
Indiana .....	Superintendent Melvin J. Carraway, Indiana State Police, Indiana Government Center North, 100 North Senate Avenue, Indianapolis, IN 46204, (317) 232-8248.	Same.
Iowa .....	Ellen M. Gordon, Administrator, Homeland Security Advisor, Iowa Emergency Management Division, Hoover Street Office Building, Level A, 1305 East Walnut Street, Des Moines, IA 50319, (515) 281-3231.	Same.
Kansas .....	Frank H. Moussa, M.S.A., Technological Hazards Administrator, Department of the Adjutant General, Division of Emergency Management, 2800 SW. Topeka Boulevard, Topeka, KS 66611-1287, (785) 274-1409, 24 hours: (785) 296-3176.	Same.

## INDIVIDUALS RECEIVING ADVANCE NOTIFICATION OF NUCLEAR WASTE SHIPMENTS—Continued

State	Part 71	Part 73
Kentucky .....	Robert L. Johnson, Manager, Radiation Health and Toxic Agents Branch, Cabinet for Health Services, 275 East Main Street, Mail Stop HS-2E-D, Frankfort, KY 40621-0001, (502) 564-7818, ext. 3697, 24 hours: (1-800) 255-2587.	Same.
Louisiana .....	Major Joseph T. Booth, Louisiana State Police, 7901 Independence Boulevard, PO Box 66614 (#21), Baton Rouge, LA 70896-6614, (225) 925-6113, ext. 270, 24 hours: (877) 925-6252.	Same.
Maine .....	Colonel Michael R. Sperry, Chief of the State Police, Maine Department of Public Safety, 42 State House Station, Augusta, ME 04333, (207) 624-7000.	Same.
Maryland .....	First Sgt. Sylvia L. Wright, Maryland State Police, Electronic Systems Division, 1201 Reisterstown Road, Pikesville, MD 21208, (410) 653-4208, 24 hours: (410) 653-4200.	Same.
Massachusetts .....	Robert Walker, Director, Radiation Control Program, Massachusetts Department of Public Health, 90 Washington St., Dorchester, MA 02121, (617) 427-2944, 24 hours: (617) 427-2913.	Same.
Michigan .....	Captain Dan Smith, Commander, Special Operations Division, Michigan State Police, 714 South Harrison Road, East Lansing, MI 48823, (517) 336-6187, 24 hours: (517) 336-6100.	Same.
Minnesota .....	John R. Kerr, Assistant Director, Administration and Preparedness Branch, Department of Public Safety, Division of Emergency Management, 444 Cedar St., Suite 223, St. Paul, MN 55101-6223, (651) 296-0481, 24 hours: (651-649-5451).	Same.
Mississippi .....	Robert R. Latham, Jr., Emergency Management Agency, PO Box 4501, Fondren Station, Jackson, MS 39296-4501, (601) 960-9020.	Same.
Missouri .....	Jerry B. Uhlmann, Director, Emergency Management Agency, PO Box 116, Jefferson City, MO 65102, (573) 526-9101, 24 hours: (573) 751-2748.	Same.
Montana .....	James Greene, Administrator, Montana Disaster & Emergency Services Division, PO Box 4789, Helena, MT 59604-4789, (406) 841-3911.	Same.
Nebraska .....	Major Bryan J. Tuma, Nebraska State Patrol, PO Box 94907, Lincoln, NE 68509-4907, (402) 479-4950, 24 hours: (402) 471-4545.	Same.
Nevada .....	Stanley R. Marshall, Supervisor, Radiological Health Section, Bureau of Health Protection Services, Nevada State Health Division, 1179 Fairview Drive, Suite 102, Carson City, NV 89701-5405, (775) 687-5394, ext. 276 24 hours: (775) 688-2830.	Same.
New Hampshire .....	Commissioner Richard M. Flynn, New Hampshire Department of Safety, James H. Hayes Building, 10 Hazen Drive, Concord, NH 03305, (603) 271-2791, 24 hours: (603) 271-3636.	Same.
New Jersey .....	Kent Tosch, Chief, Bureau of Nuclear Engineering, Department of Environmental Protection, PO Box 415, Trenton, NJ 08625-0415, (609) 984-7701.	Same.
New Mexico .....	Derrith Watchman-Moore, Deputy Secretary, New Mexico Environment Department, Office of Emergency Services and Security, 1190 St. Francis Drive, PO Box 26110, Santa Fe, NM 87502-6110, (505) 827-2855, 24 hours: (505) 249-0157.	Same.
New York .....	Andrew Feeney, Director, State Emergency Management Office, 1220 Washington Avenue, Building 22—Suite 101, Albany, NY 12226-2251, (518) 457-2222.	Same.
North Carolina .....	Line Sergeant Mark Dalton, Hazardous Materials Coordinator, North Carolina Highway Patrol Headquarters, 4702 Mail Service Center, Raleigh, NC 27699-4702, (919) 733-5282, 24 hours: (919) 733-3861.	Same.
North Dakota .....	Terry O'Clair, Director, Division of Air Quality, North Dakota Department of Health, 1200 Missouri Avenue, PO Box 5520, Bismarck, ND 58506-5520, (701) 328-5188, After hours: (701) 328-9921.	Same.

## INDIVIDUALS RECEIVING ADVANCE NOTIFICATION OF NUCLEAR WASTE SHIPMENTS—Continued

State	Part 71	Part 73
Ohio .....	Carol A. O'Claire, Supervisor, Ohio Emergency Management Agency, 2855 West Dublin Granville Road, Columbus, OH 43235-2206, (614) 799-3915, 24 hours: (614) 889-7150.	Same.
Oklahoma .....	Commissioner Bob A. Ricks, Oklahoma Department of Public Safety, PO Box 11415, Oklahoma City, OK 73136-0145, (405) 425-2001, 24 hours: (405) 425-2424.	Same.
Oregon .....	David Stewart-Smith, Administrator, Energy Resources Division, Oregon Office of Energy, 625 Marion Street, NE., Suite 1, Salem, OR 97301-3742, (503) 378-6469.	Same.
Pennsylvania .....	John Bahnweg, Director of Operations and Training, Pennsylvania Emergency Management Agency, 2605 Interstate Drive, Harrisburg, PA 17110-9364, (717) 651-2001.	Same.
Rhode Island .....	William A. Maloney, Associate Administrator, Motor Carriers Section, Division of Public Utilities and Carriers, 89 Jefferson Blvd., Warwick, RI 02888, (401) 941-4500, ext. 150.	Same.
South Carolina .....	Henry J. Porter, Assistant Director, Division of Waste Management, Bureau of Land and Waste Management, Department of Health & Environmental Control, 2600 Bull Street, Columbia, SC 29201, (803) 896-4245, Emergency: (803) 253-6488.	Same.
South Dakota .....	John A. Berheim, Director, Division of Emergency Management, 500 E. Capitol Avenue, Pierre, SD 57501-5070, (605) 773-3231.	Same.
Tennessee .....	John D. White, Jr., Director, Emergency Management Agency, 3041 Sidco Drive, Nashville, TN 37204-1504, (615) 741-0001, After hours: (Inside TN) 1-800-262-3400, (Outside TN) 1-800-258-3300.	Same.
Texas .....	Richard A. Ratliff, Chief, Bureau of Radiation Control, Texas Department of Health, 1100 West 49th Street, Austin, TX 78756-3189, (512) 834-6679.	Colonel Thomas A. Davis, Director, Texas Department of Public Safety, ATTN: EMS Preparedness Section, PO Box 4087, Austin, TX 78773-0223, (512) 424-2589 24 hours: (512) 424-2277.
Utah .....	William J. Sinclair, Director, Division of Radiation Control, Department of Environmental Quality, 168 North 1950 West, PO Box 144850, Salt Lake City, UT 84114-4850, (801) 536-4250, After hours: (801) 536-4123.	Same.
Vermont .....	Lieutenant Col. Thomas A. Powlovich, Director, Division of State Police, Department of Public Safety, 103 South Main Street, Waterbury, VT 05671-2101, (802) 244-7345.	Same.
Virginia .....	Brett A. Burdick, Director, Technological Hazards Division, Department of Emergency Management, Commonwealth of Virginia, 10501 Trade Court, Richmond, VA 23236, (804) 897-6500, ext. 6569, 24 hours: (804) 674-2400.	Same.
Washington .....	Steven L. Kalmbach, Assistant State Fire Marshall, Washington State Patrol, Fire Protection Bureau, PO Box 42600, Olympia, WA 98504-2600, (360) 570-3119, 24 hours: (1-800) 409-4755.	Same.
West Virginia .....	Colonel H. E. Hill, Jr., Superintendent, West Virginia State Police, 725 Jefferson Road, South Charleston, WV 25309, (304) 746-2111.	Same.
Wisconsin .....	Edward J. Gleason, Administrator, Division of Emergency Management, 2400 Wright Street, PO Box 7865, Madison, WI 53707-7865, (608) 242-3232.	Same.
Wyoming .....	Captain Vernon Poage, Support Services Officer, Commercial Carrier, Wyoming Highway Patrol, 5300 Bishop Boulevard, Cheyenne, WY 82009-3340, (307) 777-4317, 24 hours: (307) 777-4321.	Same.
District of Columbia .....	Gregory B. Talley, Program Manager, Columbia Radiation Protection Division, Bureau of Food, Drug & Radiation Protection, Department of Health, 51 N Street, NE., Room 6006, Washington, DC 20002, (202) 535-2320, 24 hours: (202) 666-8001.	Same.
Puerto Rico .....	Esteban Mujica, Chairman, Environmental Quality Board, PO Box 11488, San Juan, PR 00910, (787) 767-8056 or (787) 767-8181.	Same.

## INDIVIDUALS RECEIVING ADVANCE NOTIFICATION OF NUCLEAR WASTE SHIPMENTS—Continued

State	Part 71	Part 73
Guam .....	Jesus T. Salas, Administrator, Guam Environmental Protection Agency, PO Box 22439 GMF, Barrigada, Guam 96921, (671) 457-1658.	Same.
Virgin Islands .....	Dean C. Plaskett, Esq., Commissioner, Department of Planning and Natural Resources, Cyril E. King Airport, Terminal Building—Second Floor, St. Thomas, Virgin Islands 00802, (340) 774-3320.	Same.
American Samoa .....	Pati Faiai, Government Ecologist, Environmental Protection Agency, Office of the Governor, Pago Pago, American Samoa 96799, (684) 633-2304.	Same.
Commonwealth of the Northern Mariana Islands.	Thomas B. Pangelinan, Secretary, Department of Lands and Natural Resources, Commonwealth of Northern Mariana Islands Government, Caller Box 10007, Saipan, MP 96950, (670) 322-9830 or (670) 322-9834..	Same.

[FR Doc. 03-16176 Filed 6-27-03; 8:45 am]

BILLING CODE 7590-01-P

## SECURITIES AND EXCHANGE COMMISSION

[Release No. 34-48068]

### Self-Regulatory Organizations; Declaration of Effectiveness of American Stock Exchange LLC Fingerprinting Plan

June 19, 2003.

On June 5, 2003, the American Stock Exchange LLC (“Amex” or “Exchange”) submitted to the Securities and Exchange Commission (“Commission” or “SEC”) an amended fingerprinting plan (“Amended Plan”) pursuant to Rule 17f-2(c)<sup>1</sup> under the Securities Exchange Act of 1934 (“Act”).<sup>2</sup> The Amended Plan<sup>3</sup> supersedes and replaces the Exchange’s current fingerprinting plan.<sup>4</sup> The Exchange believes that the Amended Plan will be a significant improvement over the current Amex fingerprinting plan.

The Amended Plan establishes fingerprinting procedures in connection with the proposed amendment to Exchange Rules 340, Disapproval of Employees, and 341, Approval of Registered Employees and Officers, and adoption of new Exchange Rule 359, Application and Termination Forms (Forms U-4 and U-5). The proposed amendments to Exchange Rules 340 and 341 and the proposed adoption of Exchange Rule 359 provide for the electronic processing of the Uniform Application for Securities Industry Registration or Transfer (“Form U-4”)

and the Uniform Termination Notice for Securities Industry Registration (“Form U-5”) by the National Association of Securities Dealers, Inc.’s (“NASD’s”) Web Central Registration Depository (“Web CRD”) system<sup>5</sup> for all individuals required to be registered with or approved by the Exchange.<sup>6</sup> The Exchange believes that automating the review of registration applications and termination notices by transitioning all Forms U-4 and U-5 filings to Web CRD will enable the Exchange to perform more efficiently its regulatory responsibilities with respect to members and member organizations and, thereby, will ultimately enhance investor protection.

In connection with this electronic registration process, and pursuant to the Amended Plan, persons seeking registration with the Exchange will submit their fingerprints to NASD. NASD will process these fingerprints and submit them to the Attorney General. The Attorney General will, in turn, provide NASD with fingerprint processing results for the persons seeking registration, and the results will be provided to the members via Web CRD.

The Commission has reviewed the procedures detailed in the Amended Plan and believes that the Amended

Plan is in the public interest and consistent with the protection of investors. The Amended Plan should significantly improve upon the efficiency of the Amex registration system by consolidating with the NASD the role of collecting and electronically displaying fingerprint information and the role of electronically collecting Form U-4 through the NASD’s Web CRD system. Thus, the Commission declares the Amended Plan to be effective.

The Commission notes that securities industry fingerprinting procedures are in a state of flux due to rapidly advancing technology. In the event that an industry-wide technology standard is adopted or becomes prevalent and in the event that this Amended Plan substantially differs therefrom, the Commission may declare this Amended Plan ineffective. The Commission imposes these terms and conditions on the Amended Plan and deems that they are necessary and appropriate in the public interest, for the protection of investors, and otherwise in furtherance of the purposes of the Act pursuant to Rule 17f-2(c).<sup>7</sup>

For the Commission, by the Division of Market Regulation, pursuant to delegated authority.<sup>8</sup>

**Margaret H. McFarland,**

*Deputy Secretary.*

### Exhibit A<sup>9</sup>—American Stock Exchange Fingerprint Plan

The American Stock Exchange LLC (“Exchange”) submits this Fingerprint Plan (“Plan”) pursuant to Rule 17f-2(c) under the

<sup>7</sup> 17 CFR 240.17f-2(c).

<sup>8</sup> 17 CFR 200.30-3(a)(17)(iii).

<sup>9</sup> The Exchange requested that the Commission make a technical, non-substantive change to the Amended Plan language concerning the attachment of copies of certain Commission releases to the Amended Plan. Teleconference between William Floyd-Jones, Associate General Counsel, Exchange, and Christopher B. Stone, Special Counsel, Division of Market Regulation, Commission (June 18, 2003).

<sup>1</sup> 17 CFR 240.17f-2(c).

<sup>2</sup> 15 U.S.C. 78a *et seq.*

<sup>3</sup> Attached hereto as Exhibit A.

<sup>4</sup> The Exchange’s current fingerprinting plan was approved by the Commission on December 23, 1976. See Securities Exchange Act Release No. 13105, 42 FR 753 (January 4, 1977).

<sup>5</sup> The Web CRD is a Web-based system that provides broker-dealers and their associated persons with “one-stop filing” with the Commission, NASD and other self-regulatory organizations and regulators. The Web CRD is operated by NASD and is used by participating regulators in connection with registering and licensing broker-dealers and their associated persons.

<sup>6</sup> See Securities Exchange Act Release No. 48067 (File No. SR-Amex-2003-48) (effective on filing proposal related to amendments to Exchange Rules 340 and 341, and the adoption of Exchange Rule 359, filed May 22, 2003), and Securities Exchange Act Release No. 48066 (File No. SR-Amex-2003-49) (effective on filing proposal related to fee schedule changes for Form U-4 and Form U-5 processing, filed May 27, 2003).