

§ 200.735-18**17 CFR Ch. II (4-1-01 Edition)****§ 200.735-18 Requests for waivers.**

Unless a different procedure is specifically prescribed in a rule of this part, an employee may submit a request for a waiver, modification or postponement of a requirement included in this part to the Chairman. Such waiver, modification or postponement may be granted if it is determined by the Chairman that such waiver, modification or postponement would not adversely affect the interest of the Commission or the United States. Any such waiver, modification or postponement granted by the Chairman shall be made available to the public. The Chairman may submit any request made pursuant to this rule to the Commission for its consideration. Any Commission action on such request shall be made public only in the discretion of the Commission. Requirements included in this part which implement any provision of Federal law, regulation or Executive Order generally applicable to the Executive Branch shall not be waived under this provision.

Subpart N—Commission Information Collection Requirements Under the Paperwork Reduction Act: OMB Control Numbers and Expiration Dates

AUTHORITY: (44 U.S.C. 3507(f); secs. 6, 7, 8, 10, 19(a), 48 Stat. 78, 79, 81, 85; secs. 205, 209, 48 Stat. 906, 908; sec. 301, 54 Stat. 857; sec. 8, 68 Stat. 685; sec. 308(a)(2), 90 Stat. 57; secs. 3(b), 12, 13, 14, 15(d), 23(a), 48 Stat. 882, 892, 894, 895, 901; secs. 203(a), 1, 3, 8, 49 Stat. 704, 1375, 1377, 1379; sec. 202, 68 Stat. 686; secs. 4, 5, 6(d), 78 Stat. 569, 570–574; secs. 1, 2, 3, 82 Stat. 454, 455; secs. 28(c), 1, 2, 3, 4, 5, 84 Stat. 1435, 1497; sec. 105(b), 88 Stat. 1503; secs. 8, 9, 10, 89 Stat. 117, 118, 119; sec. 308(b), 90 Stat 57; sec. 18, 89 Stat. 155; secs. 202, 203, 204, 91 Stat. 1494, 1498–1500; sec. 20(a), 49 Stat. 833; sec. 319, 53 Stat. 1173; sec. 38, 54 Stat. 841; 15 U.S.C. 77f, 77g, 77h, 77j, 77s(a), 78c(b), 78l, 78m, 78n, 78o(d), 78w(a), 79t(a), 77sss(a), 80a–37)

§ 200.800 OMB control numbers assigned pursuant to the Paperwork Reduction Act.

(a) *Purpose.* This subpart collects and displays the control numbers and expiration dates assigned to information collection requirements of the Commission by the Office of Management

and Budget pursuant to the Paperwork Reduction Act of 1980, Pub. L. 96–511. The Commission intends that this subpart comply with the requirements of section 3507(f) of the Paperwork Reduction Act, which requires that agencies display a current control number assigned by the Director of the Office of Management and Budget (OMB) for each agency information collection requirement. In particular, this subpart displays current OMB control numbers and expiration dates of those information collection requirements of the Commission which are rules and regulations and codified in 17 CFR either in full text or incorporated by reference with the approval of the Director of the Office of the Federal Register. Where the information collection requirement also exists as a separate document, as, for example, an information collection requirement which the Commission incorporates by reference in 17 CFR, the Commission, of course, will display on the separate document as well the current OMB control number and the expiration date as required by section 3507(f). Henceforth, the Commission will publish in the FEDERAL REGISTER only additions, deletions and corrections to particular control numbers and expiration dates contained in this subpart.

(b) *Display.*

Information collection requirement	17 CFR part or section where identified and described	Current OMB control No.	Expiration date
Regulation S-X.	Part 210	3235–0009	Jan. 31, 1992.
Regulation S-K.	Part 229	3235–0071	June 30, 1991.
Rule 236	\$230.236	3235–0095	Mar. 31, 1990.
Regulation A.	§§230.251 through 230.264.	3235–0286	Jan. 31, 1992.
Regulation B.	§§230.300 through 230.345.	3235–0093	Mar. 31, 1990.
Regulation C.	§§230.400 through 230.494.	3235–0074	Apr. 30, 1990.
Regulation D.	§§230.501 through 230.506.	3235–0076	Mar. 30, 1991.
Rule 604	\$230.604	3235–0232	July 31, 1990.
Rule 605	\$230.605	3235–0232	July 31, 1990.
Rule 606	\$230.606	3235–0232	July 31, 1990.
Rule 607	\$230.607	3235–0232	July 31, 1990.
Rule 609	\$230.609	3235–0232	July 31, 1990.

Securities and Exchange Commission
\$ 200.800

Information collection requirement	17 CFR part or section where identified and described	Current OMB control No.	Expiration date	Information collection requirement	17 CFR part or section where identified and described	Current OMB control No.	Expiration date
Regulation F.	§§ 230.651 through 230.656.	3235-0094	Nov. 30, 1990.	Schedule 13G.	\$ 240.13d-102.	3235-0145	Do.
Rule 701	§ 230.701	3235-0347	Mar. 31, 1990.	Rule 13e-1	\$ 240.13e-1 ..	3235-0305	Jan. 31, 1992.
Rule 702	§ 230.702	3235-0347	Mar. 31, 1990.	Rule 13e-3	\$ 240.13e-3 ..	3235-0007	June 30, 1989.
Rule 703	§ 230.703	3235-0347	Mar. 31, 1990.	Rule 13e-4	\$ 240.13e-4 ..	3235-0203	Sept. 30, 1990.
Form S-1	§ 239.11	3235-0065	Dec. 31, 1991.	Schedule 13e3.	\$ 240.13e-100.	3235-0007	June 30, 1989.
Form S-2	§ 239.12	3235-0072	Aug. 31, 1991.	Schedule 13e-4.	\$ 240.13e-101.	3235-0203	Sept. 30, 1990.
Form S-3	§ 239.13	3235-0073	Do.	Regulation 14A.	\$ 240.14a-1 through 240.14a-12.	3235-0059	July 31, 1990.
Form N-1A	§ 239.15a	3235-0307	July 31, 1991.	Schedule 14A.	\$ 240.14a-14.	3235-0059	July 31, 1990.
Form S-6	§ 239.16	3235-0184	Sept. 30, 1990.	Regulation 14C.	\$ 240.14c-1 ..	3235-0057	July 31, 1990.
Form N-7	§ 239.16A	3235-0338	Apr. 30, 1990.	Schedule 14C.	\$ 240.14c-14.	3235-0057	July 31, 1990.
Form S-11	§ 239.18	3235-0067	Aug. 31, 1991.	Form F-2	§ 239.32	3235-0257	Do.
Form N-14	§ 239.23	3235-0336	May 31, 1991.	Form F-3	§ 239.33	3235-0256	Do.
Form S-8	§ 239.16b	3235-0066	Aug. 31, 1991.	Form F-4	§ 239.34	3235-0325	July 31, 1990.
Form S-4	§ 239.25	3235-0324	July 31, 1990.	Form F-6	§ 239.36	3235-0292	Jan. 31, 1992.
Form S-18	§ 239.28	3235-0098	Nov. 30, 1989.	Form SR	§ 239.61	3235-0124	Nov. 30, 1990.
Form F-1	§ 239.31	3235-0258	May 31, 1991.	Form ET	§ 239.62	3235-0329	Oct. 31, 1989.
Form F-2	§ 239.32	3235-0257	Do.	Form ID	§ 239.63	3235-0328	Oct. 31, 1989.
Form F-3	§ 239.33	3235-0256	Do.	Form SE	§ 239.64	3235-0327	Oct. 31, 1989.
Form F-4	§ 239.34	3235-0325	July 31, 1990.	Form 1-A	§ 239.90	3235-0286	Do.
Form F-6	§ 239.36	3235-0292	Jan. 31, 1992.	Form 2-A	§ 239.91	3235-0286	Do.
Form SR	§ 239.61	3235-0124	Nov. 30, 1990.	Form 3-A	§ 239.92	3235-0286	Do.
Form ET	§ 239.62	3235-0329	Oct. 31, 1989.	Form 4-A	§ 239.93	3235-0286	Do.
Form ID	§ 239.63	3235-0328	Oct. 31, 1989.	Form 5-A	§ 239.94	3235-0286	Do.
Form SE	§ 239.64	3235-0327	Oct. 31, 1989.	Form 6-A	§ 239.95	3235-0286	Do.
Form 1-A	§ 239.90	3235-0286	Do.	Form 7-A	§ 239.96	3235-0286	Do.
Schedules A, B, C, D.	§ 239.101	3235-0093	Mar. 31, 1990.	Regulation 14E.	§ 240.14e-1 through 240.14e-2.	3235-0102	Sept. 30, 1990.
Form 1-G	§ 239.101	3235-0093	Mar. 31, 1990.	Form 4-A	§ 239.93	3235-0286	Do.
Form 3-G	§ 239.101	3235-0093	Mar. 31, 1990.	Form 5-A	§ 239.94	3235-0286	Do.
Form 144	§ 239.144	3235-0101	Dec. 31, 1991.	Form 6-A	§ 239.95	3235-0286	Do.
Form 1-E	§ 239.200	3235-0232	July 31, 1990.	Form 7-A	§ 239.96	3235-0286	Do.
Form 2-E	§ 239.201	3235-0233	Feb. 28, 1991.	Schedules	§ 239.101	3235-0093	Mar. 31, 1990.
Form 1-F	§ 239.300	3235-0094	Nov. 30, 1990.	A, B, C, D.	§ 239.101	3235-0093	Mar. 31, 1990.
Form D	§ 239.500	3235-0076	Apr. 30, 1991.	Form 1-G	§ 239.101	3235-0093	Mar. 31, 1990.
Rule 701	§ 239.701	3235-0347	Mar. 31, 1990.	Form 3-G	§ 239.101	3235-0093	Mar. 31, 1990.
Rule 6a-1	§ 240.6a-1	3235-0017	Aug. 31, 1991.	Form 144	§ 239.144	3235-0101	Dec. 31, 1991.
Rule 6a-2	§ 240.6a-2	3235-0022	Do.	Form 1-E	§ 239.200	3235-0232	July 31, 1990.
Rule 6a-3	§ 240.6a-3	3235-0021	Apr. 30, 1990.	Form 2-E	§ 239.201	3235-0233	Feb. 28, 1991.
Rule 10b-6	§ 240.10b-6	3235-0355	Sept. 30, 1990.	Form 1-F	§ 239.300	3235-0094	Nov. 30, 1990.
Rule 10b-7	§ 240.10b-7	3235-0201	Dec. 31, 1989.	Form D	§ 239.500	3235-0076	Apr. 30, 1991.
Rule 11Ab2-1	§ 240.11Ab2-1	3235-0043	Oct. 31, 1990.	Rule 701	§ 239.701	3235-0347	Mar. 31, 1990.
Rule 12a-5	§ 240.12a-5	3235-0079	Dec. 31, 1990.	Rule 6a-1	§ 240.6a-1	3235-0017	Aug. 31, 1991.
Regulation 12B.	§§ 240.12b-1 through 240.12b-36.	3235-0062	Nov. 30, 1990.	Rule 6a-2	§ 240.6a-2	3235-0022	Do.
Rule 12d1-3	§ 240.12d1-3	3235-0109	Nov. 30, 1990.	Rule 6a-3	§ 240.6a-3	3235-0021	Apr. 30, 1990.
Rule 12d2-1	§ 240.12d2-1	3235-0081	Dec. 31, 1990.	Rule 10b-6	§ 240.10b-6	3235-0355	Sept. 30, 1990.
Rule 12d2-2	§ 240.12d2-2	3235-0080	Dec. 31, 1990.	Rule 10b-7	§ 240.10b-7	3235-0201	Dec. 31, 1989.
Rule 12f-1	§ 240.12f-1	3235-0128	Dec. 31, 1990.	Rule 11Ab2-1	§ 240.11Ab2-1	3235-0043	Oct. 31, 1990.
Rule 12f-2	§ 240.12f-2	3235-0248	Jan. 31, 1991.	Rule 12a-5	§ 240.12a-5	3235-0079	Dec. 31, 1990.
Rule 12f-3	§ 240.12f-3	3235-0249	Jan. 31, 1991.	Regulation 12B.	§§ 240.12b-1 through 240.12b-36.	3235-0062	Nov. 30, 1990.
Rule 12g3-2	§ 240.12g3-2	3235-0119	Jan. 31, 1992.	Rule 12d1-3	§ 240.12d1-3	3235-0109	Nov. 30, 1990.
Regulation 13D/G.	§§ 240.13d-1 through 240.13d-7.	3235-0145	Aug. 31, 1991.	Rule 12d2-1	§ 240.12d2-1	3235-0081	Dec. 31, 1990.
Schedule 13D.	§ 240.13d-101.	3235-0145	Do.	Rule 12d2-2	§ 240.12d2-2	3235-0080	Dec. 31, 1990.

§ 200.800

17 CFR Ch. II (4-1-01 Edition)

Information collection requirement	17 CFR part or section where identified and described	Current OMB control No.	Expiration date	Information collection requirement	17 CFR part or section where identified and described	Current OMB control No.	Expiration date
Rule 17a-2	§ 240.17a-2 ..	3235-0201	Dec. 31, 1989.	Form 1-A ..	§ 249.1a	3235-0022	Do.
Rule 17a-3	§ 240.17a-3 ..	3235-0033	Sept. 30, 1989.	Form 25	§ 249.25	3235-0080	Dec. 31, 1990.
Rule 17a-4	§ 240.17a-4 ..	3235-0279	Sept. 30, 1989.	Form 26	§ 249.26	3235-0079	Dec. 31, 1990.
Rule 17a-5	§ 240.17a-5 ..	3235-0123	Oct. 31, 1989.	Form 27	§ 249.27	3235-0248	Jan. 31, 1991.
Rule 17a-5(c).	§ 240.17a-5(c).	3235-0199	Jan. 31, 1992.	Form 28	§ 249.28	3235-0249	Jan. 31, 1991.
Rule 17a-7	§ 240.17a-7 ..	3235-0131	Oct. 31, 1989.	Form 3	§ 249.103	3235-0104	Nov. 30, 1991.
Rule 17a-8	§ 240.17a-8 ..	3235-0092	Jan. 31, 1991.	Form 4	§ 249.104	3235-0187	Do.
Rule 17a-10.	§ 240.17a-10 ..	3235-0122	Dec. 31, 1990.	Form 8-A	§ 249.208a	3235-0056	Nov. 30, 1990.
Rule 17a-11.	§ 240.17a-11 ..	3235-0085	Oct. 31, 1990.	Form 8-B	§ 249.208b	3235-0068	Aug. 31, 1991.
Rule 17a-13.	§ 240.17a-13 ..	3235-0035	Oct. 31, 1990.	Form 10	§ 249.210	3235-0064	Do.
Rule 17a-19.	§ 240.17a-19 ..	3235-0133	Dec. 31, 1990.	Form 18	§ 249.218	3235-0121	May 31, 1991.
Rule 17a-22.	§ 240.17a-22 ..	3235-0196	Dec. 31, 1990.	Form 20-F	§ 249.220f	3235-0288	June 30, 1991.
Rule 17Ab2-17Ab2-1(a) and (e).	§ 240.17Ab2-1(a) and (e).	3235-0195	Jan. 31, 1991.	Form 6-K	§ 249.306	3235-0116	May 31, 1991.
Rule 17Ac2-1(a) and (c).	§ 240.17Ac2-1(a) and (c).	3235-0084	June 30, 1991.	Form 8-Q	§ 249.308	3235-0060	June 30, 1991.
Rule 17Ac3-1(a).	§ 240.17Ac3-1.	3235-0151	Nov. 30, 1990.	Form 10-Q	§ 249.308a	3235-0070	Do.
Rule 17Ad2	§ 240.17Ad2 (c), (d), (d) and (h).	3235-0130	Dec. 31, 1990.	Form 10-K	§ 249.310	3235-0063	Sept. 30, 1991.
Rule 17Ad-4(b) and (c).	§ 240.17Ad-4(b) and (c).	3235-0341	Feb. 28, 1989.	Form 11-K	§ 249.311	3235-0191	Dec. 31, 1990.
Rule 17Ad-6.	§ 240.17Ad-6 ..	3235-0291	Aug. 31, 1989.	Form 18-K	§ 249.318	3235-0120	Mar. 31, 1990.
Rule 17Ad-7.	§ 240.17Ad-7 ..	3235-0136	Aug. 31, 1989.	Form 12b-25.	§ 249.322	3235-0058	May 31, 1991.
Rule 17Ad-10.	§ 240.17Ad-10 ..	3235-0273	July 31, 1990.	Form 15	§ 249.323	3235-0167	June 30, 1991.
Rule 17Ad-11.	§ 240.17Ad-11 ..	3235-0341	Do.	Form 13F	§ 249.325	3235-0006	Sept. 30, 1989.
Rule 17Ad-13.	§ 240.17Ad-13 ..	3235-0275	Do.	Form N-SAR.	§ 249.330	3235-0030	Feb. 28, 1991.
Rule 17f-1(b).	§ 240.17f-1(b) ..	3235-0032	Dec. 31, 1991.	Form SE	§ 249.444	3235-0327	Sept. 30, 1989.
Rule 17f-1(c).	§ 240.17f-1(c) ..	3235-0037	Do.	Form ET	§ 249.445	3235-0329	Oct. 31, 1989.
Rule 17f-1(g).	§ 240.17f-1(g) ..	3235-0290	Nov. 30, 1989.	Form ID	§ 249.446	3235-0328	Oct. 31, 1989.
Rule 17f-2(a).	§ 240.17f-2(a) ..	3235-0034	Do.	Form 8	§ 249.460	3235-0141	Mar. 31, 1990.
Rule 17f-2(c).	§ 240.17f-2(c) ..	3235-0029	Oct. 31, 1990.	Form BD	§ 249.501	3235-0012	Oct. 31, 1989.
Rule 17f-2(d).	§ 240.17f-2(d) ..	3235-0028	Oct. 31, 1990.	Form BDW	§ 249.501a	3235-0018	Mar. 31, 1991.
Rule 17f-2(e).	§ 240.17f-2(e) ..	3235-0031	Nov. 30, 1990.	Form X-17A-5.	§ 249.617	3235-0123	Oct. 31, 1989.
Rule 17f-5.	§ 240.17f-5 ..	3235-0269	July 31, 1991.	Form X-17A-19.	§ 249.635	3235-0133	Dec. 31, 1990.
Rule 19b-1	§ 240.19b-1 ..	3235-0354	July 31, 1990.	Form X-15AA-1.	§ 249.717	3235-0208	Dec. 31, 1989.
Rule 19b-4	§ 240.19b-4 ..	3235-0045	Oct. 31, 1990.	Form X-15AJ-1.	§ 249.801	3235-0030	Oct. 31, 1990.
Rule 19d-1(b)(i).	§ 240.19d-1(b)(i) ..	3235-026	Aug. 31, 1989.	Form X-15AJ-2.	§ 249.802	3235-0044	Oct. 31, 1990.
Rule 19d-3(b)-(f).	§ 240.19d-3(b)-(f) ..	3235-0240	Do.	Form 19b-4	§ 249.819	3235-0045	Oct. 31, 1990.
Rule 19h-1(a), (c)-	§ 240.19h-1(a), (c)-	3235-0259	Oct. 31, 1989.	Form SIP	§ 249.1001	3235-0043	Oct. 31, 1990.
Rule 24b-1	§ 240.24b-1 ..	3235-0194	Jan. 31, 1991.	Form MSD	§ 249.1100	3235-0083	Aug. 31, 1991.
Form 1	§ 249.1	3235-0017	Aug. 31, 1991.	Form	§ 249.1110	3235-0087	Nov. 30, 1990.
				MSDW.			
				Form X-17f-1A-	§ 249.1200	3235-0037	Dec. 31, 1991.
				Form TA-1	§ 249b.100	3235-0084	June 30, 1991.
				Form TA-W	§ 249b.101	3235-0151	Nov. 30, 1990.
				Form TA-2	§ 249b.102	3235-0337	May 31, 1991.
				Form CA-1	§ 249b.200	3235-0195	Jan. 31, 1991.
				Rule 1(a)	§ 250.1(a)	3235-0170	Sept. 30, 1991.
				Rule 1(b)	§ 250.1(b)	3235-0170	Sept. 30, 1988.
				Rule 1(c)	§ 250.1(c)	3235-0164	Nov. 30, 1989.
				Rule 2	§ 250.2	3235-0161	Do.
				Rule 3	§ 250.3	3235-0160	Do.
				Rule 7	§ 250.7	3235-0165	Do.
				Rule 7d	§ 250.7d	3235-0165	Do.
				Rule 20(b)	§ 250.20(b)	3235-0125	Do.
				Rule 20(c)	§ 250.20(c)	3235-0125	Do.
				Rule 20(d)	§ 250.20(d)	3235-0163	Do.
				Rule 23	§ 250.23	3235-0125	Do.
				Rule 24	§ 250.24	3235-0126	Do.
				Rule 26	§ 250.26	3235-0183	Do.
				Rule 29(a)	§ 250.29(a)	3235-0149	Do.
				Rule 29(b)	§ 250.29(b)	3235-0149	Do.
				Rule 42	§ 250.42	3235-0171	Do.
				Rule 44	§ 250.44	3235-0147	Do.

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Rule 45	\$ 250.45	3235-0154	July 31, 1990.	Rule 11a-2	\$ 270.11a-2 ..	3235-0272	Jan. 31, 1992.
Rule 47(b) ..	\$ 250.47(b)	3235-0163	Do.	Rule 12b-1	\$ 270.12b-1 ..	3235-0212	July 31, 1991.
Rule 50	\$ 250.50	3235-0126	Do.	Rule 17a-7	\$ 270.17a-7 ..	3235-0214	Feb. 28, 1991.
Rule 62	\$ 250.62	3235-0152	Do.	Rule 17a-8	\$ 270.17a-8 ..	3235-0235	Feb. 28, 1991.
Rule 71(a) ..	\$ 250.71(a)	3235-0173	Do.	Rule 17e-1	\$ 270.17e-1 ..	3235-0217	Oct. 31, 1990.
Rule 72	\$ 250.72	3235-0149	Do.	Rule 17f-1	\$ 270.17f-1 ..	3235-0222	Feb. 28, 1991.
Rule 83	\$ 250.83	3235-0181	Do.	Rule 17f-2	\$ 270.17f-2 ..	3235-0223	Oct. 31, 1991.
Rule 87	\$ 250.87	3235-0182	Do.	Rule 17f-4	\$ 270.17f-4 ..	3235-0225	July 31, 1990.
Rule 88	\$ 250.88	3235-0182	Do.	Rule 17f-	\$ 270.17f-1(g)	3235-0213	Jan. 31, 1992.
Rule 93	\$ 250.93	3235-0153	Do.				
Rule 94	\$ 250.94	3235-0153	Do.	Rule 17j-1	\$ 270.17j-1 ..	3235-0224	Nov. 30, 1989.
Rule 95	\$ 250.95	3235-0162	Do.	Rule 18f-1	\$ 270.18f-1 ..	3235-0211	Feb. 28, 1991.
Rule 100(a) ..	\$ 250.100(a)	3235-0125	Do.	Rule 19a-1	\$ 270.19a-1 ..	3235-0216	Feb. 28, 1991.
Part 256(a) ..	\$ 250.153	3235-0153	Do.	Rule 20a-	\$ 270.20a- ..	3235-0158	Sept. 30, 1991.
Part 257	\$ 250.306	3235-0306	Nov. 30, 1989		1(b).		
Form U5A ..	\$ 259.5a	3235-0170	Do.	Rule 20a-2	\$ 270.20a-2 ..	3235-0158	Do.
Form U5B ..	\$ 259.5b	3235-0170	Do.	Rule 20a-3	\$ 270.20a-3 ..	3235-0158	Do.
Form U5S ..	\$ 259.5s	3235-0164	Nov. 30, 1989.	Rule 22d-1	\$ 270.22d-1 ..	3235-0310	June 30, 1991.
Form U-12(1)a..	\$ 259.12(a)	3235-0173	Sept. 30, 1991.	Rule 23c-1	\$ 270.23c-1 ..	3235-0260	Feb. 28, 1989.
Form U-12(1)b..	\$ 259.12(b)	3235-0173	Do.	Rule 24f-1	\$ 270.24f-1 ..	3235-0155	Feb. 28, 1991.
Form U-1 ..	\$ 259.101	3235-0125	Sept. 30, 1991.	Rule 24f-2	\$ 270.24f-2 ..	3235-0159	Feb. 28, 1991.
Form U-13-1 ..	\$ 259.113	3235-0182	Do.	Rule 24f-3	\$ 270.24f-3 ..	3235-0348	Apr. 30, 1990.
Form U-6b-2 ..	\$ 259.206	3235-0163	Do.	Rule 26a-3	\$ 270.26a-3 ..	3235-0333	Apr. 30, 1990.
Form U-13e-1 ..	\$ 259.213	3235-0162	Do.	Rule 30a-1	\$ 270.30a-1 ..	3235-0219	Feb. 28, 1991.
Form U-R-I ..	\$ 259.221	3235-0152	Do.	Rule 30b-2	\$ 270.30b-2 ..	3235-0220	Feb. 28, 1991.
Form U-13-60 ..	\$ 259.313	3235-0153	Do.		1.		
Form U-3A-2 ..	\$ 259.402	3235-0161	Do.	Rule 30d-1	\$ 270.30d-1 ..	3235-0025	Dec. 31, 1990.
Form U-3A-3 ..	\$ 259.403	3235-0160	Do.	Rule 31a-1	\$ 270.31a-1 ..	3235-0178	July 31, 1990.
Form U-7d ..	\$ 259.404	3235-0165	Do.	Rule 31a-2	\$ 270.31a-2 ..	3235-0179	June 30, 1989.
Form U-A ..	\$ 259.501	3235-0125	Do.	Rule 34b-1	\$ 270.34b-1 ..	3235-0346	Nov. 30, 1989.
Form ET ..	\$ 259.601	3235-0329	Oct. 31, 1989.	Form N-5 ..	\$ 274.5	3235-0169	Feb. 28, 1989.
Form ID ..	\$ 259.602	3235-0328	Oct. 31, 1989.	Form N-8A	\$ 274.10	3235-0175	Jan. 31, 1991.
Form SE ..	\$ 259.603	3235-0327	Oct. 31, 1989.	Form N-1 ..	\$ 274.11	3235-0027	Feb. 28, 1989.
Rules 7a-15 ..	\$ 260.7a-15	3235-0132	Nov. 30, 1990.	Form N-1A	\$ 274.11a	3235-0307	July 31, 1991.
through 7a-37 ..	through 260.7a-37			Form N-2 ..	\$ 274.11a-1 ..	3235-0026	Dec. 31, 1990.
Form T-1 ..	\$ 269.1	3235-0110	Nov. 30, 1990.	Form N-3 ..	\$ 274.11b	3235-0316	Do.
Form T-2 ..	\$ 269.2	3235-0111	Nov. 30, 1990.	Form N-4 ..	\$ 274.11c	3235-0318	Do.
Form T-3 ..	\$ 269.3	3235-0105	Nov. 30, 1990.	Form N-	\$ 274.12	3235-0186	Apr. 30, 1990.
Form T-4 ..	\$ 269.4	3235-0107	Dec. 31, 1990.		8B-2.		
Form ET ..	\$ 269.5	3235-0329	Oct. 31, 1989.	Form N-7 ..	\$ 274.12a	3235-0338	Apr. 30, 1990.
Form ID ..	\$ 269.6	3235-0328	Oct. 31, 1989.	Form N-	\$ 274.13	3235-0166	Dec. 31, 1990.
Form SE ..	\$ 269.7	3235-0327	Oct. 31, 1989.		8B-3.		
Rule 2A-7 ..	\$ 270.2a-7	3235-0268	Sept. 30, 1990.	Form N-	\$ 274.14	3234-0247	Dec. 31, 1990.
Rule 2a19-1 ..	\$ 270.2a19-1	3235-0332	Dec. 31, 1991.		8B-4.		
Rule 6c-6 ..	\$ 270.6c-6	3235-0245	Nov. 30, 1989.	Form N-6F	\$ 274.15	3235-0238	Feb. 28, 1991.
Rule 6c-7 ..	\$ 270.6c-7	3235-0276	Jan. 31, 1992.	Form N-18f	\$ 274.51	3235-0211	Feb. 28, 1991.
Rule 6c-9 ..	\$ 270.6c-9	3235-0344	Oct. 31, 1989.	Form N-	\$ 274.101	3235-0330	Sept. 30, 1990.
Rule 6e ..	\$ 270.6e	3235-0177	Feb. 28, 1991.	SAR.			
Rule 7d ..	\$ 270.7d-	3235-0176	Jan. 31, 1990.	Form N-	\$ 274.200	3235-0229	Feb. 28, 1991.
	(b)(8)(i), (iii) and (vii).				17d-1.		
Rule 8b-11 ..	\$ 270.8b-11	3235-0176	Jan. 31, 1990.	Form N-	\$ 274.201	3235-0230	Feb. 28, 1991.
Rule 8b-16 ..	\$ 270.8b-16	3235-0176	Jan. 31, 1990.		23C-1.		
Rule 8b-20 ..	\$ 270.8b-20	3235-0176	Jan. 31, 1990.	Form N-8F	\$ 274.218	3235-0157	Feb. 28, 1991.
Rule 8b-21(b) ..	\$ 270.8b-21(b)	3235-0176	Jan. 31, 1990.	Form N-	\$ 274.301	3235-0177	Feb. 28, 1991.
Rule 8b-21(b) ..	\$ 270.8b-21(b)	3235-0176	Jan. 31, 1990.		6E1-1.		
Rule 8b-25 ..	\$ 270.8b-25	3235-0176	Jan. 31, 1990.	Form ET ..	\$ 274.401	3235-0329	Oct. 31, 1989.
Rule 8b-32(b) ..	\$ 270.8b-32(b)	3235-0176	Jan. 31, 1990.	Form ID ..	\$ 274.402	3235-0328	Oct. 31, 1989.
Rule 10f-3 ..	\$ 270.10f-3	3235-0226	Nov. 30, 1989.	Form SE ..	\$ 274.403	3235-0327	Oct. 31, 1989.
				Rule 0-2 ..	\$ 275.0-2	3235-0240	Jan. 31, 1992.
				Form N-	\$ 275.53	3235-0237	Feb. 28, 1991.
					54A.		
				Form N-	\$ 275.54	3235-0236	Feb. 28, 1991.
					54C.		
				Rule 203 ..	\$ 275.203-1 ..	3235-0049	June 30, 1991.
				Rule 203-2 ..	\$ 275.203-2 ..	3235-0313	Jan. 31, 1992.
				Rule 204-1 ..	\$ 275.204-1 ..	3235-0048	June 30, 1991.
				Rule 204-2 ..	\$ 275.204-2 ..	3235-0278	Sept. 30, 1991.
				Rule 204-3 ..	\$ 275.204-3 ..	3235-0047	Jan. 31, 1992.
				Rule	\$ 275.206(3)- ..	3235-0243	June 30, 1989.
				206(3)-2.	2.		

Pt. 201**17 CFR Ch. II (4-1-01 Edition)**

Information collection requirement	17 CFR part or section where identified and described	Current OMB control No.	Expiration date
Rule 206(4)-2.	§ 275.206(4)-2.	3235-0241	Do.
Rule 206(4)-3.	§ 275.206(4)-3.	3235-0242	Sept. 30, 1991.
Rule 206(4)-4.	§ 275.206(4)-4.	3235-0345	Do.
Form ADV ..	§ 279.1	3235-0049	June 30, 1991.
Form ADV-W.	§ 279.2	3235-0313	Jan. 31, 1992.
Form ADV-S.	§ 279.3	3235-0046	Sept. 30, 1990.
Form 4-R ..	§ 279.4	3235-0240	Do.
Form 5-R ..	§ 279.5	3235-0240	Do.
Form 6-R ..	§ 279.6	3235-0240	Do.
Form 7-R ..	§ 279.7	3235-0240	Do.

[47 FR 4982, Feb. 3, 1982, as amended at 47 FR 11401, Mar. 16, 1982; 47 FR 54766, Dec. 6, 1982; 47 FR 56834, Dec. 21, 1982; 48 FR 12347, Mar. 24, 1983; 48 FR 13160, Mar. 30, 1983; 49 FR 10927, Mar. 23, 1984; 49 FR 16765, Apr. 20, 1984; 51 FR 9769, Mar. 21, 1986; 53 FR 9764, Mar. 25, 1988; 54 FR 13057, Mar. 30, 1989]

PART 201—RULES OF PRACTICE

Subpart A [Reserved]

Subpart B—Regulations Pertaining to the Equal Access to Justice Act

Sec.

- 201.31 Purpose of these rules.
- 201.32 When the Act applies.
- 201.33 Proceedings covered.
- 201.34 Eligibility of applicants.
- 201.35 Standards for awards.
- 201.36 Allowable fees and expenses.
- 201.37 Delegations of authority.
- 201.41 Contents of application.
- 201.42 Net worth exhibit.
- 201.43 Documentation of fees and expenses.
- 201.44 When an application may be filed.
- 201.51 Filing and service of documents.
- 201.52 Answer to application.
- 201.53 Reply.
- 201.54 Settlement.
- 201.55 Further proceedings.
- 201.56 Decision.
- 201.57 Commission review.
- 201.58 Judicial review.
- 201.59 Payment of award.
- 201.60 [Reserved]

Subpart C—Procedures Pertaining to the Payment of Bounties Pursuant to Subsection 21A(e) of the Securities Exchange Act of 1934

- 201.61 Scope of subpart.
- 201.62 Application required.
- 201.63 Time and place of filing.

- 201.64 Form of application and information required.
- 201.65 Identity and signature.
- 201.66 Notice to applicants.
- 201.67 Applications by legal guardians.
- 201.68 No promises of payment.

Subpart D—Rules of Practice

GENERAL RULES

- 201.100 Scope of the rules of practice.
- 201.101 Definitions.
- 201.102 Appearance and practice before the Commission.
- 201.103 Construction of rules.
- 201.104 Business hours.
- 201.110 Presiding officer.
- 201.111 Hearing officer: Authority.
- 201.112 Hearing officer: Disqualification and withdrawal.
- 201.120 Ex parte communications.
- 201.121 Separation of functions.
- 201.140 Commission orders and decisions: Signature and availability.
- 201.141 Orders and decisions: Service of orders instituting proceeding and other orders and decisions.
- 201.150 Service of papers by parties.
- 201.151 Filing of papers with the Commission: Procedure.
- 201.152 Filing of papers: Form.
- 201.153 Filing of papers: Signature requirement and effect.
- 201.154 Motions.
- 201.155 Default; motion to set aside default.
- 201.160 Time computation.
- 201.161 Extensions of time, postponements and adjournments.
- 201.180 Sanctions.
- 201.190 Confidential treatment of information in certain filings.
- 201.191 Adjudications not required to be determined on the record after notice and opportunity for hearing.
- 201.192 Rulemaking: Issuance, amendment and repeal of rules of general application.
- 201.193 Applications by barred individuals for consent to associate.

INITIATION OF PROCEEDINGS AND PREHEARING RULES

- 201.200 Initiation of proceedings.
- 201.201 Consolidation of proceedings.
- 201.202 Specification of procedures by parties in certain proceedings.
- 201.210 Parties, limited participants and amici curiae.
- 201.220 Answer to allegations.
- 201.221 Prehearing conference.
- 201.222 Prehearing submissions.
- 201.230 Enforcement and disciplinary proceedings: Availability of documents for inspection and copying.
- 201.231 Enforcement and disciplinary proceedings: Production of witness statements.