

PART 3019—UNIFORM ADMINISTRATIVE REQUIREMENTS FOR GRANTS AND AGREEMENTS WITH INSTITUTIONS OF HIGHER EDUCATION, HOSPITALS, AND OTHER NON-PROFIT ORGANIZATIONS

Subpart A—General

- Sec.
 3019.1 Purpose.
 3019.2 Definitions.
 3019.3 Effect on other issuances.
 3019.4 Deviations.
 3019.5 Subawards.

Subpart B—Pre-Award Requirements

- 3019.10 Purpose.
 3019.11 Pre-award policies.
 3019.12 Forms for applying for Federal assistance.
 3019.13 Debarment and suspension.
 3019.14 Special award conditions.
 3019.15 Metric system of measurement.
 3019.16 Resource Conservation and Recovery Act.
 3019.17 Certifications and representations.

Subpart C—Post-Award Requirements

FINANCIAL AND PROGRAM MANAGEMENT

- 3019.20 Purpose of financial and program management.
 3019.21 Standards for financial management systems.
 3019.22 Payment.
 3019.23 Cost sharing or matching.
 3019.24 Program income.
 3019.25 Revision of budget and program plans.
 3019.26 Non-Federal audits.
 3019.27 Allowable costs.
 3019.28 Period of availability of funds.

PROPERTY STANDARDS

- 3019.30 Purpose of property standards.
 3019.31 Insurance coverage.
 3019.32 Real property.
 3019.33 Federally-owned and exempt property.
 3019.34 Equipment.
 3019.35 Supplies and other expendable property.
 3019.36 Intangible property.
 3019.37 Property trust relationship.

PROCUREMENT STANDARDS

- 3019.40 Purpose of procurement standards.
 3019.41 Recipient responsibilities.
 3019.42 Codes of conduct.
 3019.43 Competition.
 3019.44 Procurement procedures.
 3019.45 Cost and price analysis.
 3019.46 Procurement records.

- 3019.47 Contract administration.
 3019.48 Contract provisions.

REPORTS AND RECORDS

- 3019.50 Purpose of reports and records.
 3019.51 Monitoring and reporting program performance.
 3019.52 Financial reporting.
 3019.53 Retention and access requirements for records.

TERMINATION AND ENFORCEMENT

- 3019.60 Purpose of termination and enforcement.
 3019.61 Termination.
 3019.62 Enforcement.

Subpart D—After-the-Award Requirements

- 3019.70 Purpose.
 3019.71 Closeout procedures.
 3019.72 Subsequent adjustments and continuing responsibilities.
 3019.73 Collection of amounts due.

APPENDIX A TO PART 3019—CONTRACT PROVISIONS

AUTHORITY: 5 U.S.C. 301; 31 U.S.C. 901-903; 7 CFR 2.28.

SOURCE: 60 FR 44124, Aug. 24, 1995, unless otherwise noted.

Subpart A—General

§ 3019.1 Purpose.

(a) This part establishes uniform administrative requirements for Federal grants and agreements awarded to institutions of higher education, hospitals, and other non-profit organizations. Federal awarding agencies shall not impose additional or inconsistent requirements, except as provided in §§ 3019.4, and 3019.14 or unless specifically required by Federal statute or executive order. Non-profit organizations that implement Federal programs for the States are also subject to State requirements.

(b) This part also applies specifically to the grants, agreements and subawards to institutions of higher education, hospitals, and other non-profit organizations that are awarded to carry out the following entitlement programs:

(1) Entitlement grants under the following programs authorized by The Richard B. Russell National School Lunch Act:

(i) National School Lunch Program, General Assistance (section 4 of the Act),

(ii) Commodity Assistance (section 6 of the Act),

(iii) National School Lunch Program, Special Meal Assistance (section 11 of the Act),

(iv) Summer Food Service Program for Children (section 13 of the Act), and

(v) Child and Adult Care Food Program (section 17 of the Act).

(2) Entitlement grants under the following programs authorized by The Child Nutrition Act of 1966:

(i) Special Milk Program for Children (section 3 of the Act), and

(ii) School Breakfast Program (section 4 of the Act).

(3) Entitlement grants for State Administrative Expenses under The Food Stamp Act of 1977 (section 16 of the Act).

[60 FR 44124, Aug. 24, 1995, as amended at 65 FR 49480, Aug. 14, 2000]

§ 3019.2 Definitions.

(a) *Accrued expenditures* means the charges incurred by the recipient during a given period requiring the provision of funds for:

(1) Goods and other tangible property received;

(2) Services performed by employees, contractors, subrecipients, and other payees; and

(3) Other amounts becoming owed under programs for which no current services or performance is required.

(b) *Accrued income* means the sum of:

(1) Earnings during a given period from:

(i) Services performed by the recipient, and

(ii) Goods and other tangible property delivered to purchasers, and

(2) Amounts becoming owed to the recipient for which no current services or performance is required by the recipient.

(c) *Acquisition cost of equipment* means the net invoice price of the equipment, including the cost of modifications, attachments, accessories, or auxiliary apparatus necessary to make the property usable for the purpose for which it was acquired. Other charges, such as the cost of installation, transportation, taxes, duty or protective in-transit in-

surance, shall be included or excluded from the unit acquisition cost in accordance with the recipient's regular accounting practices.

(d) *Advance* means a payment made by Treasury check or other appropriate payment mechanism to a recipient upon its request either before outlays are made by the recipient or through the use of predetermined payment schedules.

(e) *Award* means financial assistance that provides support or stimulation to accomplish a public purpose. Awards include grants and other agreements in the form of money or property in lieu of money, by the Federal Government to an eligible recipient. The term does not include: technical assistance, which provides services instead of money; other assistance in the form of loans, loan guarantees, interest subsidies, or insurance; direct payments of any kind to individuals; contracts which are required to be entered into and administered under procurement laws and regulations; and those agreements that are entered into under the authorities provided by sections 1472(b), 1473A, and 1473C of the National Research Extension, and Teaching Policy Act of 1977 (as amended by the Food Security Act (7 U.S.C. 3318, 3319a and 3319c.) and subsequent authorizations.

(f) *Cash contributions* means the recipient's cash outlay, including the outlay of money contributed to the recipient by third parties.

(g) *Closeout* means the process by which a Federal awarding agency determines that all applicable administrative actions and all required work of the award have been completed by the recipient and Federal awarding agency.

(h) *Contract* means a procurement contract under an award or subaward, and a procurement subcontract under a recipient's or subrecipient's contract.

(i) *Cost sharing or matching* means that portion of project or program costs not borne by the Federal Government.

(j) *Date of completion* means the date on which all work under an award is completed or the date on the award document, or any supplement or amendment thereto, on which Federal sponsorship ends.