

**§ 456.144 Data sources for studies.**

Data that the committee uses to perform studies must be obtained from one or more of the following sources:

- (a) Medical records or other appropriate hospital data;
- (b) External organizations that compile statistics, design profiles, and produce other comparative data;
- (c) Cooperative endeavors with—
  - (1) QIOs;
  - (2) Fiscal agents;
  - (3) Other service providers; or
  - (4) Other appropriate agencies.

[43 FR 45266, Sept. 29, 1978, as amended at 51 FR 43198, Dec. 1, 1986]

**§ 456.145 Number of studies required to be performed.**

The hospital must, at least, have one study in progress at any time and complete one study each calendar year.

### Subpart D—Utilization Control: Mental Hospitals

**§ 456.150 Scope.**

This subpart prescribes requirements for control of utilization of inpatient services in mental hospitals, including requirements concerning—

- (a) Certification of need for care;
- (b) Medical evaluation and admission review;
- (c) Plan of care; and
- (d) Utilization review plans.

**§ 456.151 Definitions.**

As used in this subpart:

*Medical care appraisal norms* or *norms* means numerical or statistical measures of usually observed performance.

*Medical care criteria* or *criteria* means predetermined elements against which aspects of the quality of a medical service may be compared. These criteria are developed by health professionals relying on their expertise and the professional health care literature.

#### CERTIFICATION OF NEED FOR CARE

**§ 456.160 Certification and recertification of need for inpatient care.**

(a) *Certification.* (1) A physician must certify for each applicant or recipient that inpatient services in a mental hospital are or were needed.

(2) The certification must be made at the time of admission or, if an individual applies for assistance while in a mental hospital, before the Medicaid agency authorizes payment.

(b) *Recertification.* (1) A physician, or physician assistant or nurse practitioner (as defined in § 491.2 of this chapter) acting within the scope of practice as defined by State law and under the supervision of a physician, must recertify for each applicant or recipient that inpatient services in a mental hospital are needed.

(2) Recertification must be made at least every 60 days after certification.

[46 FR 48561, Oct. 1, 1981]

#### MEDICAL, PSYCHIATRIC, AND SOCIAL EVALUATIONS AND ADMISSION REVIEW

**§ 456.170 Medical, psychiatric, and social evaluations.**

(a) Before admission to a mental hospital or before authorization for payment, the attending physician or staff physician must make a medical evaluation of each applicant's or recipient's need for care in the hospital; and appropriate professional personnel must make a psychiatric and social evaluation.

(b) Each medical evaluation must include—

- (1) Diagnoses;
- (2) Summary of present medical findings;
- (3) Medical history;
- (4) Mental and physical functional capacity;
- (5) Prognoses; and
- (6) A recommendation by a physician concerning—

(i) Admission to the mental hospital; or

(ii) Continued care in the mental hospital for individuals who apply for Medicaid while in the mental hospital.

**§ 456.171 Medicaid agency review of need for admission.**

Medical and other professional personnel of the Medicaid agency or its designees must evaluate each applicant's or recipient's need for admission by reviewing and assessing the evaluations required by § 456.170.