

### § 762.3

### 15 CFR Ch. VII (1–1–03 Edition)

- (15) § 750.7, Issuance of license and acknowledgment of conditions;
- (16) § 750.8, Revocation or suspension of license;
- (17) § 750.9, Duplicate licenses;
- (18) § 750.10, Transfer of licenses for export;
- (19) § 752.7, Direct shipment to customers;
- (20) § 752.9, Action on SCL applications;
- (21) § 752.10, Changes to the SCL;
- (22) § 752.11, Internal Control Programs;
- (23) § 752.12, Recordkeeping requirements;
- (24) § 752.13, Inspection of records;
- (25) § 752.14, System reviews;
- (26) § 752.15, Export clearance;
- (27) § 754.2(j)(3), Recordkeeping requirements for deep water ballast exchange.
- (28) § 754.4, Unprocessed western red cedar;
- (29) § 758.1(h), Record and proof of agent's authority;
- (30) § 758.1 and § 758.2, Shipper's Export Declaration or Automated Export System record;
- (31) § 758.6, Destination control statements;
- (32) § 760.6, Restrictive Trade Practices and Boycotts;
- (33) § 762.2, Records to be retained;
- (34) § 764.2, Violations;
- (35) § 764.5, Voluntary self-disclosure; and
- (36) § 766.10, Subpoenas;
- (37) § 743.1, Wassenaar reports;
- (38) § 748.14, Exports of firearms;
- (39) § 745.1, Annual reports;
- (40) § 745.2, End-use certificates; and
- (41) § 758.2(c), Assumption writing.

[61 FR 12900, Mar. 25, 1996, as amended at 61 FR 27258, May 31, 1996; 61 FR 65466, Dec. 13, 1996; 64 FR 17974, Apr. 13, 1999; 65 FR 14860, Mar. 20, 2000; 65 FR 42573, July 10, 2000]

#### § 762.3 Records exempt from recordkeeping requirements.

- (a) The following types of records have been determined to be exempt from the recordkeeping requirement procedures:
  - (1) Export information page;
  - (2) Special export file list;
  - (3) Vessel log from freight forwarder;
  - (4) Inspection certificate;
  - (5) Warranty certificate;

- (6) Guarantee certificate;
  - (7) Packing material certificate;
  - (8) Goods quality certificate;
  - (9) Notification to customer of advance meeting;
  - (10) Letter of indemnity;
  - (11) Financial release form;
  - (12) Financial hold form;
  - (13) Export parts shipping problem form;
  - (14) Draft number log;
  - (15) Expense invoice mailing log;
  - (16) Financial status report;
  - (17) Bank release of guarantees;
  - (18) Cash sheet;
  - (19) Commission payment back-up;
  - (20) Commissions payable worksheet;
  - (21) Commissions payable control;
  - (22) Check request forms;
  - (23) Accounts receivable correction form;
  - (24) Check request register;
  - (25) Commission payment printout;
  - (26) Engineering fees invoice;
  - (27) Foreign tax receipt;
  - (28) Individual customer credit status;
  - (29) Request for export customers code forms;
  - (30) Acknowledgement for receipt of funds;
  - (31) Escalation development form;
  - (32) Summary quote;
  - (33) Purchase order review form;
  - (34) Proposal extensions;
  - (35) Financial proposal to export customers;
- and
- (36) Sales summaries.
  - (b) [Reserved]

[61 FR 12900, Mar. 25, 1996, as amended at 62 FR 25469, May 9, 1997]

#### § 762.4 Original records required.

The regulated person must maintain the original records in the form in which that person receives or creates them unless that person meets all of the conditions of § 762.5 of this part relating to reproduction of records. If the original record does not meet the standards of legibility and readability described in § 762.5 of this part and the regulated person intends to rely on that record to meet the recordkeeping requirements of the EAR, that person must retain the original record.