

### § 762.3

- (11) § 748.6, General instructions for license applications;
- (12) § 748.9, Support documents for license applications;
- (13) § 748.10, Import and End-user Certificates;
- (14) § 748.11, Statement by Ultimate Consignee and Purchaser;
- (15) § 748.13, Delivery Verification (DV);
- (16) § 748.2(c), Obtaining forms; mailing addresses;
- (17) § 750.7, Issuance of license and acknowledgment of conditions;
- (18) § 750.8, Revocation or suspension of license;
- (19) § 750.9, Duplicate licenses;
- (20) § 750.10, Transfer of licenses for export;
- (21) § 752.7, Direct shipment to customers;
- (22) § 752.9, Action on SCL applications;
- (23) § 752.10, Changes to the SCL;
- (24) § 752.11, Internal Control Programs;
- (25) § 752.12, Recordkeeping requirements;
- (26) § 752.13, Inspection of records;
- (27) § 752.14, System reviews;
- (28) § 752.15, Export clearance;
- (29) § 754.2(j)(3), Recordkeeping requirements for deep water ballast exchange.
- (30) § 754.4, Unprocessed western red cedar;
- (31) § 758.1(h), Record and proof of agent's authority;
- (32) § 758.1 and § 758.2, Shipper's Export Declaration or Automated Export System record;
- (33) § 758.6, Destination control statements;
- (34) § 760.6, Restrictive Trade Practices and Boycotts;
- (35) § 762.2, Records to be retained;
- (36) § 764.2, Violations;
- (37) § 764.5, Voluntary self-disclosure; and
- (38) § 766.10, Subpoenas;
- (39) § 743.1, Wassenaar reports;
- (40) § 748.14, Exports of firearms;
- (41) § 745.1, Annual reports;
- (42) § 745.2, End-use certificates; and
- (43) § 758.2(c), Assumption writing.

[61 FR 12900, Mar. 25, 1996, as amended at 61 FR 27258, May 31, 1996; 61 FR 65466, Dec. 13, 1996; 64 FR 17974, Apr. 13, 1999; 65 FR 14860, Mar. 20, 2000; 65 FR 42573, July 10, 2000; 68 FR 16213, Apr. 3, 2003]

### 15 CFR Ch. VII (1-1-04 Edition)

#### § 762.3 Records exempt from record-keeping requirements.

(a) The following types of records have been determined to be exempt from the recordkeeping requirement procedures:

- (1) Export information page;
  - (2) Special export file list;
  - (3) Vessel log from freight forwarder;
  - (4) Inspection certificate;
  - (5) Warranty certificate;
  - (6) Guarantee certificate;
  - (7) Packing material certificate;
  - (8) Goods quality certificate;
  - (9) Notification to customer of advance meeting;
  - (10) Letter of indemnity;
  - (11) Financial release form;
  - (12) Financial hold form;
  - (13) Export parts shipping problem form;
  - (14) Draft number log;
  - (15) Expense invoice mailing log;
  - (16) Financial status report;
  - (17) Bank release of guarantees;
  - (18) Cash sheet;
  - (19) Commission payment back-up;
  - (20) Commissions payable worksheet;
  - (21) Commissions payable control;
  - (22) Check request forms;
  - (23) Accounts receivable correction form;
  - (24) Check request register;
  - (25) Commission payment printout;
  - (26) Engineering fees invoice;
  - (27) Foreign tax receipt;
  - (28) Individual customer credit status;
  - (29) Request for export customers code forms;
  - (30) Acknowledgement for receipt of funds;
  - (31) Escalation development form;
  - (32) Summary quote;
  - (33) Purchase order review form;
  - (34) Proposal extensions;
  - (35) Financial proposal to export customers;
- and
- (36) Sales summaries.
- (b) [Reserved]

[61 FR 12900, Mar. 25, 1996, as amended at 62 FR 25469, May 9, 1997]

#### § 762.4 Original records required.

The regulated person must maintain the original records in the form in which that person receives or creates