

Environmental Protection Agency

§ 76.15

Phase I boilers. (1) Except as provided in paragraph (c)(2) of this section, the designated representative of a Phase I unit with a Group 1 boiler that has installed or is installing any form of low NO_x burner technology shall submit to the Administrator a report containing the capital cost, operating cost, and baseline and post-retrofit emission data specified in appendix B to this part. If any of the required equipment, cost, and schedule information are not available (e.g., the retrofit project is still underway), the designated representative shall include in the report detailed cost estimates and other projected or estimated data in lieu of the information that is not available.

(2) The report under paragraph (c)(1) of this section is not required with regard to the following types of Group 1, Phase I units:

(i) Units employing no new NO_x emission control system after November 15, 1990;

(ii) Units employing modifications to boiler operating parameters (e.g., burners out of service or fuel switching) without low NO_x burners or other emission reduction equipment for reducing NO_x emissions;

(iii) Units with wall-fired boilers employing only overfire air and units with tangentially fired boilers employing only separated overfire air; or

(iv) Units beginning installation of a new NO_x emission control system after August 11, 1995.

(3) The report under paragraph (c)(1) of this section shall be submitted to the Administrator by:

(i) 120 days after completion of the low NO_x burner technology retrofit project; or

(ii) May 23, 1995, if the project was completed on or before January 23, 1995.

§ 76.15 Test methods and procedures.

(a) The owner or operator may use the following tests as a basis for the report required by § 76.10(e)(7):

(1) Conduct an ultimate analysis of coal using ASTM D 3176-89 (incorporated by reference as specified in § 76.4);

(2) Conduct a proximate analysis of coal using ASTM D 3172-89 (incor-

porated by reference as specified in § 76.4); and

(3) Measure the coal mass flow rate to each individual burner using ASME Power Test Code 4.2 (1991), "Test Code for Coal Pulverizers" or ISO 9931 (1991), "Coal—Sampling of Pulverized Coal Conveyed by Gases in Direct Fired Coal Systems" (incorporated by reference as specified in § 76.4).

(b) The owner or operator may measure and record the actual NO_x emission rate in accordance with the requirements of this part while varying the following parameters where possible to determine their effects on the emissions of NO_x from the affected boiler:

(1) Excess air levels;

(2) Settings of burners or coal and air nozzles, including tilt and yaw, or swirl;

(3) For tangentially fired boilers, distribution of combustion air within the NO_x emission control system;

(4) Coal mass flow rates to each individual burner;

(5) Coal-to-primary air ratio (based on pound per hour) for each burner, the average coal-to-primary air ratio for all burners, and the deviations of individual burners' coal-to-primary air ratios from the average value; and

(6) If the boiler uses varying types of coal, the type of coal. Provide the results of proximate and ultimate analyses of each type of as-fired coal.

(c) In performing the tests specified in paragraph (a) of this section, the owner or operator shall begin the tests using the equipment settings for which the NO_x emission control system was designed to meet the NO_x emission rate guaranteed by the primary NO_x emission control system vendor. These results constitute the "baseline controlled" condition.

(d) After establishing the baseline controlled condition under paragraph (c) of this section, the owner or operator may:

(1) Change excess air levels ± 5 percent from the baseline controlled condition to determine the effects on emissions of NO_x, by providing a minimum of three readings (e.g., with a baseline reading of 20 percent excess air, excess air levels will be changed to 19 percent and 21 percent);

(2) For tangentially fired boilers, change the distribution of combustion air within the NO_x emission control system to determine the effects on NO_x emissions by providing a minimum of three readings, one with the minimum,

one with the baseline, and one with the maximum amounts of staged combustion air; and

(3) Show that the combustion process within the boiler is optimized (e.g., that the burners are balanced).

APPENDIX A TO PART 76—PHASE I AFFECTED COAL-FIRED UTILITY UNITS WITH GROUP 1 OR CELL BURNER BOILERS

TABLE 1—PHASE I TANGENTIALLY FIRED UNITS

| State | Plant | Unit | Operator |
|----------|----------------|------|------------------------|
| ALABAMA | EC GASTON | 5 | ALABAMA POWER CO. |
| GEORGIA | BOWEN | 1BLR | GEORGIA POWER CO. |
| GEORGIA | BOWEN | 2BLR | GEORGIA POWER CO. |
| GEORGIA | BOWEN | 3BLR | GEORGIA POWER CO. |
| GEORGIA | BOWEN | 4BLR | GEORGIA POWER CO. |
| GEORGIA | JACK MCDONOUGH | MB1 | GEORGIA POWER CO. |
| GEORGIA | JACK MCDONOUGH | MB2 | GEORGIA POWER CO. |
| GEORGIA | WANSLEY | 1 | GEORGIA POWER CO. |
| GEORGIA | WANSLEY | 2 | GEORGIA POWER CO. |
| GEORGIA | YATES | Y1BR | GEORGIA POWER CO. |
| GEORGIA | YATES | Y2BR | GEORGIA POWER CO. |
| GEORGIA | YATES | Y3BR | GEORGIA POWER CO. |
| GEORGIA | YATES | Y4BR | GEORGIA POWER CO. |
| GEORGIA | YATES | Y5BR | GEORGIA POWER CO. |
| GEORGIA | YATES | Y6BR | GEORGIA POWER CO. |
| GEORGIA | YATES | Y7BR | GEORGIA POWER CO. |
| ILLINOIS | BALDWIN | 3 | ILLINOIS POWER CO. |
| ILLINOIS | HENNEPIN | 2 | ILLINOIS POWER CO. |
| ILLINOIS | JOPPA | 1 | ELECTRIC ENERGY INC. |
| ILLINOIS | JOPPA | 2 | ELECTRIC ENERGY INC. |
| ILLINOIS | JOPPA | 3 | ELECTRIC ENERGY INC. |
| ILLINOIS | JOPPA | 4 | ELECTRIC ENERGY INC. |
| ILLINOIS | JOPPA | 5 | ELECTRIC ENERGY INC. |
| ILLINOIS | JOPPA | 6 | ELECTRIC ENERGY INC. |
| ILLINOIS | MEREDOSIA | 5 | CEN ILLINOIS PUB SER. |
| ILLINOIS | VERMILION | 2 | ILLINOIS POWER CO. |
| INDIANA | CAYUGA | 1 | PSI ENERGY INC. |
| INDIANA | CAYUGA | 2 | PSI ENERGY INC. |
| INDIANA | EW STOUT | 50 | INDIANAPOLIS PWR & LT. |
| INDIANA | EW STOUT | 60 | INDIANAPOLIS PWR & LT. |
| INDIANA | EW STOUT | 70 | INDIANAPOLIS PRW & LT. |
| INDIANA | HT PRITCHARD | 6 | INDIANAPOLIS PWR & LT. |
| INDIANA | PETERSBURG | 1 | INDIANAPOLIS PWR & LT. |
| INDIANA | PETERSBURG | 2 | INDIANAPOLIS PWR & LT. |
| INDIANA | WABASH RIVER | 6 | PSI ENERGY INC. |
| IOWA | BURLINGTON | 1 | IOWA SOUTHERN UTL. |
| IOWA | ML KAPP | 2 | INTERSTATE POWER CO. |
| IOWA | RIVERSIDE | 9 | IOWA-ILL GAS & ELEC. |
| KENTUCKY | ELMER SMITH | 2 | OWENSBORO MUN UTIL. |
| KENTUCKY | EW BROWN | 2 | KENTUCKY UTL CO. |
| KENTUCKY | EW BROWN | 3 | KENTUCKY UTL CO. |
| KENTUCKY | GHENT | 1 | KENTUCKY UTL CO. |
| MARYLAND | MORGANTOWN | 1 | POTOMAC ELEC PWR CO. |
| MARYLAND | MORGANTOWN | 2 | POTOMAC ELEC PWR CO. |
| MICHIGAN | JH CAMPBELL | 1 | CONSUMERS POWER CO. |
| MISSOURI | LABADIE | 1 | UNION ELECTRIC CO. |
| MISSOURI | LABADIE | 2 | UNION ELECTRIC CO. |
| MISSOURI | LABADIE | 3 | UNION ELECTRIC CO. |
| MISSOURI | LABADIE | 4 | UNION ELECTRIC CO. |
| MISSOURI | MONTROSE | 1 | KANSAS CITY PWR & LT. |
| MISSOURI | MONTROSE | 2 | KANSAS CITY PWR & LT. |
| MISSOURI | MONTROSE | 3 | KANSAS CITY PWR & LT. |
| NEW YORK | DUNKIRK | 3 | NIAGARA MOHAWK PWR. |
| NEW YORK | DUNKIRK | 4 | NIAGARA MOHAWK PWR. |
| NEW YORK | GREENIDGE | 6 | NY STATE ELEC & GAS. |
| NEW YORK | MILLIKEN | 1 | NY STATE ELEC & GAS. |
| NEW YORK | MILLIKEN | 2 | NY STATE ELEC & GAS. |
| OHIO | ASHTABULA | 7 | CLEVELAND ELEC ILLUM. |

TABLE 1—PHASE I TANGENTIALLY FIRED UNITS—Continued

| State | Plant | Unit | Operator |
|---------------|-----------------|------|------------------------|
| OHIO | AVON LAKE | 11 | CLEVELAND ELEC ILLUM. |
| OHIO | CONESVILLE | 4 | COLUMBUS STHERN PWR. |
| OHIO | EASTLAKE | 1 | CLEVELAND ELEC ILLUM. |
| OHIO | EASTLAKE | 2 | CLEVELAND ELEC ILLUM. |
| OHIO | EASTLAKE | 3 | CLEVELAND ELEC ILLUM. |
| OHIO | EASTLAKE | 4 | CLEVELAND ELEC ILLUM. |
| OHIO | MIAMI FORT | 6 | CINCINNATI GAS & ELEC. |
| OHIO | WC BECKJORD | 5 | CINCINNATI GAS & ELEC. |
| OHIO | WC BECKJORD | 6 | CINCINNATI GAS & ELEC. |
| PENNSYLVANIA | BRUNNER ISLAND | 1 | PENNSYLVANIA PWR & LT. |
| PENNSYLVANIA | BRUNNER ISLAND | 2 | PENNSYLVANIA PWR & LT. |
| PENNSYLVANIA | BRUNNER ISLAND | 3 | PENNSYLVANIA PWR & LT. |
| PENNSYLVANIA | CHESWICK | 1 | DUQUESNE LIGHT CO. |
| PENNSYLVANIA | CONEMAUGH | 1 | PENNSYLVANIA ELEC CO. |
| PENNSYLVANIA | CONEMAUGH | 2 | PENNSYLVANIA ELEC CO. |
| PENNSYLVANIA | PORTLAND | 1 | METROPOLITAN EDISON. |
| PENNSYLVANIA | PORTLAND | 2 | METROPOLITAN EDISON. |
| PENNSYLVANIA | SHAWVILLE | 3 | PENNSYLVANIA ELEC CO. |
| PENNSYLVANIA | SHAWVILLE | 4 | PENNSYLVANIA ELEC CO. |
| TENNESSEE | GALLATIN | 1 | TENNESSEE VAL AUTH. |
| TENNESSEE | GALLATIN | 2 | TENNESSEE VAL AUTH. |
| TENNESSEE | GALLATIN | 3 | TENNESSEE VAL AUTH. |
| TENNESSEE | GALLATIN | 4 | TENNESSEE VAL AUTH. |
| TENNESSEE | JOHNSONVILLE | 1 | TENNESSEE VAL AUTH. |
| TENNESSEE | JOHNSONVILLE | 2 | TENNESSEE VAL AUTH. |
| TENNESSEE | JOHNSONVILLE | 3 | TENNESSEE VAL AUTH. |
| TENNESSEE | JOHNSONVILLE | 4 | TENNESSEE VAL AUTH. |
| TENNESSEE | JOHNSONVILLE | 5 | TENNESSEE VAL AUTH. |
| TENNESSEE | JOHNSONVILLE | 6 | TENNESSEE VAL AUTH. |
| WEST VIRGINIA | ALBRIGHT | 3 | MONONGAHELA POWER CO. |
| WEST VIRGINIA | FORT MARTIN | 1 | MONONGAHELA POWER CO. |
| WEST VIRGINIA | MOUNT STORM | 1 | VIRGINIA ELEC & PWR. |
| WEST VIRGINIA | MOUNT STORM | 2 | VIRGINIA ELEC & PWR. |
| WEST VIRGINIA | MOUNT STORM | 3 | VIRGINIA ELEC & PWR. |
| WISCONSIN | GENOA | 1 | DAIRYLAND POWER COOP. |
| WISCONSIN | SOUTH OAK CREEK | 7 | WISCONSIN ELEC POWER. |
| WISCONSIN | SOUTH OAK CREEK | 8 | WISCONSIN ELEC POWER. |

TABLE 2—PHASE I DRY BOTTOM-FIRED UNITS

| State | Plant | Unit | Operator |
|----------|---------------|------|-----------------------|
| ALABAMA | COLBERT | 1 | TENNESSEE VAL AUTH. |
| ALABAMA | COLBERT | 2 | TENNESSEE VAL AUTH. |
| ALABAMA | COLBERT | 3 | TENNESSEE VAL AUTH. |
| ALABAMA | COLBERT | 4 | TENNESSEE VAL AUTH. |
| ALABAMA | COLBERT | 5 | TENNESSEE VAL AUTH. |
| ALABAMA | EC GASTON | 1 | ALABAMA POWER CO. |
| ALABAMA | EC GASTON | 2 | ALABAMA POWER CO. |
| ALABAMA | EC GASTON | 3 | ALABAMA POWER CO. |
| ALABAMA | EC GASTON | 4 | ALABAMA POWER CO. |
| FLORIDA | CRIST | 6 | GULF POWER CO. |
| FLORIDA | CRIST | 7 | GULF POWER CO. |
| GEORGIA | HAMMOND | 1 | GEORGIA POWER CO. |
| GEORGIA | HAMMOND | 2 | GEORGIA POWER CO. |
| GEORGIA | HAMMOND | 3 | GEORGIA POWER CO. |
| GEORGIA | HAMMOND | 4 | GEORGIA POWER CO. |
| ILLINOIS | GRAND TOWER | 9 | CEN ILLINOIS PUB SER. |
| INDIANA | CULLEY | 2 | STERN IND GAS & EL. |
| INDIANA | CULLEY | 3 | STERN IND GAS & EL. |
| INDIANA | GIBSON | 1 | PSI ENERGY INC. |
| INDIANA | GIBSON | 2 | PSI ENERGY INC. |
| INDIANA | GIBSON | 3 | PSI ENERGY INC. |
| INDIANA | GIBSON | 4 | PSI ENERGY INC. |
| INDIANA | RA GALLAGHER | 1 | PSI ENERGY INC. |
| INDIANA | RA GALLAGHER | 2 | PSI ENERGY INC. |
| INDIANA | RA GALLAGHER | 3 | PSI ENERGY INC. |
| INDIANA | RA GALLAGHER | 4 | PSI ENERGY INC. |
| INDIANA | FRANK E RATTS | 1SG1 | HOOSIER ENERGY REC. |
| INDIANA | FRANK E RATTS | 2SG1 | HOOSIER ENERGY REC. |
| INDIANA | WABASH RIVER | 1 | PSI ENERGY INC. |

TABLE 2—PHASE I DRY BOTTOM-FIRED UNITS—Continued

| State | Plant | Unit | Operator |
|---------------|------------------------------|------|------------------------|
| INDIANA | WABASH RIVER | 2 | PSI ENERGY INC. |
| INDIANA | WABASH RIVER | 3 | PSI ENERGY INC. |
| INDIANA | WABASH RIVER | 5 | PSI ENERGY INC. |
| IOWA | DES MOINES | 11 | IOWA PWR & LT CO. |
| IOWA | PRAIRIE CREEK | 4 | IOWA ELEC LT & PWR. |
| KANSAS | QUINDARO | 2 | KS CITY BD PUB UTIL. |
| KENTUCKY | COLEMAN | C1 | BIG RIVERS ELEC CORP. |
| KENTUCKY | COLEMAN | C2 | BIG RIVERS ELEC CORP. |
| KENTUCKY | COLEMAN | C3 | BIG RIVERS ELEC CORP. |
| KENTUCKY | EW BROWN | 1 | KENTUCKY UTL CO. |
| KENTUCKY | GREEN RIVER | 5 | KENTUCKY UTL CO. |
| KENTUCKY | HMP&L STATION 2 | H1 | BIG RIVERS ELEC CORP. |
| KENTUCKY | HMP&L STATION 2 | H2 | BIG RIVERS ELEC CORP. |
| KENTUCKY | HL SPURLOCK | 1 | EAST KY PWR COOP. |
| KENTUCKY | JS COOPER | 1 | EAST KY PWR COOP. |
| KENTUCKY | JS COOPER | 2 | EAST KY PWR COOP. |
| MARYLAND | CHALK POINT | 1 | POTOMAC ELEC PWR CO. |
| MARYLAND | CHALK POINT | 2 | POTOMAC ELEC PWR CO. |
| MINNESOTA | HIGH BRIDGE | 6 | NORTHERN STATES PWR. |
| MISSISSIPPI | JACK WATSON | 4 | MISSISSIPPI PWR CO. |
| MISSISSIPPI | JACK WATSON | 5 | MISSISSIPPI PWR CO. |
| MISSOURI | JAMES RIVER | 5 | SPRINGFIELD UTL. |
| OHIO | CONESVILLE | 3 | COLUMBUS STHERN PWR. |
| OHIO | EDGEWATER | 13 | OHIO EDISON CO. |
| OHIO | MIAMI FORT ¹ | 5-1 | CINCINNATI GAS&ELEC. |
| OHIO | MIAMI FORT ¹ | 5-2 | CINCINNATI GAS&ELEC. |
| OHIO | PICWAY | 9 | COLUMBUS STHERN PWR. |
| OHIO | RE BURGER | 7 | OHIO EDISON CO. |
| OHIO | RE BURGER | 8 | OHIO EDISON CO. |
| OHIO | WH SAMMIS | 5 | OHIO EDISON CO. |
| OHIO | WH SAMMIS | 6 | OHIO EDISON CO. |
| PENNSYLVANIA | ARMSTRONG | 1 | WEST PENN POWER CO. |
| PENNSYLVANIA | ARMSTRONG | 2 | WEST PENN POWER CO. |
| PENNSYLVANIA | MARTINS CREEK | 1 | PENNSYLVANIA PWR & LT. |
| PENNSYLVANIA | MARTINS CREEK | 2 | PENNSYLVANIA PWR & LT. |
| PENNSYLVANIA | SHAWVILLE | 1 | PENNSYLVANIA ELEC CO. |
| PENNSYLVANIA | SHAWVILLE | 2 | PENNSYLVANIA ELEC CO. |
| PENNSYLVANIA | SUNBURY | 3 | PENNSYLVANIA PWR & LT. |
| PENNSYLVANIA | SUNBURY | 4 | PENNSYLVANIA PWR & LT. |
| TENNESSEE | JOHNSONVILLE | 7 | TENNESSEE VAL AUTH. |
| TENNESSEE | JOHNSONVILLE | 8 | TENNESSEE VAL AUTH. |
| TENNESSEE | JOHNSONVILLE | 9 | TENNESSEE VAL AUTH. |
| TENNESSEE | JOHNSONVILLE | 10 | TENNESSEE VAL AUTH. |
| WEST VIRGINIA | HARRISON | 1 | MONONGAHELA POWER CO. |
| WEST VIRGINIA | HARRISON | 2 | MONONGAHELA POWER CO. |
| WEST VIRGINIA | HARRISON | 3 | MONONGAHELA POWER CO. |
| WEST VIRGINIA | MITCHELL | 1 | OHIO POWER CO. |
| WEST VIRGINIA | MITCHELL | 2 | OHIO POWER CO. |
| WISCONSIN | JP PULLIAM | 8 | WISCONSIN PUB SER CO. |
| WISCONSIN | NORTH OAK CREEK ² | 1 | WISCONSIN ELEC PWR. |
| WISCONSIN | NORTH OAK CREEK ² | 2 | WISCONSIN ELEC PWR. |
| WISCONSIN | NORTH OAK CREEK ² | 3 | WISCONSIN ELEC PWR. |
| WISCONSIN | NORTH OAK CREEK ² | 4 | WISCONSIN ELEC PWR. |
| WISCONSIN | SOUTH OAK CREEK ² | 5 | WISCONSIN ELEC PWR. |
| WISCONSIN | SOUTH OAK CREEK ² | 6 | WISCONSIN ELEC PWR. |

¹ Vertically fired boiler.
² Arch-fired boiler.

TABLE 3—PHASE I CELL BURNER TECHNOLOGY UNITS

| State | Plant | Unit | Operator |
|----------|----------------|------|-----------------------|
| INDIANA | WARRICK | 4 | STERN IND GAS & EL. |
| MICHIGAN | JH CAMPBELL | 2 | CONSUMERS POWER CO. |
| OHIO | AVON LAKE | 12 | CLEVELAND ELEC ILLUM. |
| OHIO | CARDINAL | 1 | CARDINAL OPERATING. |
| OHIO | CARDINAL | 2 | CARDINAL OPERATING. |
| OHIO | EASTLAKE | 5 | CLEVELAND ELEC ILLUM. |
| OHIO | GENRL JM GAVIN | 1 | OHIO POWER CO. |
| OHIO | GENRL JM GAVIN | 2 | OHIO POWER CO. |
| OHIO | MIAMI FORT | 7 | CINCINNATI GAS & EL. |

TABLE 3—PHASE I CELL BURNER TECHNOLOGY UNITS—Continued

| State | Plant | Unit | Operator |
|---------------------|-----------------------|------|-----------------------|
| OHIO | MUSKINGUM RIVER | 5 | OHIO POWER CO. |
| OHIO | WH SAMMIS | 7 | OHIO EDISON CO. |
| PENNSYLVANIA | HATFIELDS FERRY | 1 | WEST PENN POWER CO. |
| PENNSYLVANIA | HATFIELDS FERRY | 2 | WEST PENN POWER CO. |
| PENNSYLVANIA | HATFIELDS FERRY | 3 | WEST PENN POWER CO. |
| TENNESSEE | CUMBERLAND | 1 | TENNESSEE VAL AUTH. |
| TENNESSEE | CUMBERLAND | 2 | TENNESSEE VAL AUTH. |
| WEST VIRGINIA | FORT MARTIN | 2 | MONONGAHELA POWER CO. |

APPENDIX B TO PART 76—PROCEDURES AND METHODS FOR ESTIMATING COSTS OF NITROGEN OXIDES CONTROLS APPLIED TO GROUP 1, BOILERS

1. Purpose and Applicability

This technical appendix specifies the procedures, methods, and data that the Administrator will use in establishing “the degree of reduction achievable through this retrofit application of the best system of continuous emission reduction, taking into account available technology, costs, and energy and environmental impacts; and which is comparable to the costs of nitrogen oxides controls set pursuant to subsection (b)(1) (of section 407 of the Act).” In developing the allowable NO_x emissions limitations for Group 2 boilers pursuant to subsection (b)(2) of section 407 of the Act, the Administrator will consider only those systems of continuous emission reduction that, when applied on a retrofit basis, are comparable in cost to the cost in constant dollars of low NO_x burner technology applied to Group 1, Phase I boilers.

The Administrator will evaluate the capital cost (in dollars per kilowatt electrical (\$/kW)), the operating and maintenance costs (in \$/year), and the cost-effectiveness (in annualized \$/ton NO_x removed) of installed low NO_x burner technology controls over a range of boiler sizes (as measured by the gross electrical capacity of the associated generator in megawatt electrical (MW)) and utilization rates (in percent gross nameplate capacity on an annual basis) to develop estimates of the capital costs and cost effectiveness for Group 1, Phase I boilers. The following units will be excluded from these determinations of the capital costs and cost effectiveness of NO_x controls set pursuant to subsection (b)(1) of section 407 of the Act: (1) Units employing an alternative technology, or overfire air as applied to wall-fired boilers or separated overfire air as applied to tangentially fired boilers, in lieu of low NO_x burner technology for reducing NO_x emissions; (2) units employing no controls, only controls installed before November 15, 1990, or only modifications to boiler operating pa-

rameters (e.g., burners out of service or fuel switching) for reducing NO_x emissions; and (3) units that have not achieved the applicable emission limitation.

2. Average Capital Cost for Low NO_x Burner Technology Applied to Group 1 Boilers

The Administrator will use the procedures, methods, and data specified in this section to estimate the average capital cost (in \$/kW) of installed low NO_x burner technology applied to Group 1 boilers.

2.1 Using cost data submitted pursuant to the reporting requirements in section 4 below, boiler-specific actual or estimated actual capital costs will be determined for each unit in the population specified in section 1 above for assessing the costs of installed low NO_x burner technology. The scope of installed low NO_x burner technology costs will include the following capital costs for retrofit application: (1) For the burner portion—burners or air and coal nozzles, burner throat and waterwall modifications, and windbox modifications; and, where applicable, (2) for the combustion air staging portion—waterwall modifications or panels, windbox modifications, and ductwork, and (3) scope adders or supplemental equipment such as replacement or additional fans, dampers, or ignitors necessary for the proper operation of the low NO_x burner technology. Capital costs associated with boiler restoration or refurbishment such as replacement of air heaters, asbestos abatement, and recasing will not be included in the cost basis for installed low NO_x burner technology. The scope of installed low NO_x burner technology retrofit capital costs will include materials, construction and installation labor, engineering, and overhead costs.

2.2 Using gross nameplate capacity (in MW) for each unit as reported in the National Allowance Data Base (NADB), boiler-specific capital costs will be converted to a \$/kW basis.

2.3 Capital cost curves (\$/kW versus boiler size in MW) or equations for installed low NO_x burner technology retrofit costs will be developed for: (1) Dry bottom wall fired boilers (excluding units applying cell burner technology) and (2) tangentially fired boilers.

3. [Reserved]

4. Reporting Requirements

4.1 The following information is to be submitted by each designated representative of a Phase I affected unit subject to the reporting requirements of § 76.14(c):

4.1.1 Schedule and dates for baseline testing, installation, and performance testing of low NO_x burner technology.

4.1.2 Estimates of the annual average baseline NO_x emission rate, as specified in section 3.1.1, and the annual average controlled NO_x emission rate, as specified in section 3.1.2, including the supporting continuous emission monitoring or other test data.

4.1.3 Copies of pre-retrofit and post-retrofit performance test reports.

4.1.4 Detailed estimates of the capital costs based on actual contract bids for each component of the installed low NO_x burner technology including the items listed in section 2.1. Indicate number of bids solicited. Provide a copy of the actual agreement for the installed technology.

4.1.5 Detailed estimates of the capital costs of system replacements or upgrades such as coal pipe changes, fan replacements/upgrades, or mill replacements/upgrades undertaken as part of the low NO_x burner technology retrofit project.

4.1.6 Detailed breakdown of the actual costs of the completed low NO_x burner technology retrofit project where low NO_x burner technology costs (section 4.1.4) are disaggregated, if feasible, from system replacement or upgrade costs (section 4.1.5).

4.1.7 Description of the probable causes for significant differences between actual and estimated low NO_x burner technology retrofit project costs.

4.1.8 Detailed breakdown of the burner and, if applicable, combustion air staging system annual operating and maintenance costs for the items listed in section 3.3 before and after the installation, shakedown, and/or optimization of the installed low NO_x burner technology. Include estimates and a description of the probable causes of the incremental annual operating and maintenance costs (or savings) attributable to the installed low NO_x burner technology.

4.2 All capital cost estimates are to be broken down into materials costs, construction and installation labor costs, and engineering and overhead costs. All operating and maintenance costs are to be broken down into maintenance materials costs, maintenance labor costs, operating labor costs, and fan electricity costs. All capital and operating costs are to be reported in dol-

lars with the year of expenditure or estimate specified for each component.

[60 FR 18761, Apr. 13, 1995, as amended at 61 FR 67164, Dec. 19, 1996; 62 FR 3464, Jan. 23, 1997]

PART 77—EXCESS EMISSIONS

Sec.

77.1 Purpose and scope.

77.2 General.

77.3 Offset plans for excess emissions of sulfur dioxide.

77.4 Administrator's action on proposed offset plans.

77.5 Deduction of allowances to offset excess emissions of sulfur dioxide.

77.6 Penalties for excess emissions of sulfur dioxide and nitrogen oxides.

AUTHORITY: 42 U.S.C. 7601 and 7651, et seq.

SOURCE: 58 FR 3757, Jan. 11, 1993, unless otherwise noted.

§ 77.1 Purpose and scope.

(a) This part sets forth the excess emissions offset planning and offset penalty requirements under section 411 of the Clean Air Act, 42 U.S.C. 7401, *et seq.*, as amended by Public Law 101-549 (November 15, 1990). These requirements shall apply to the owners and operators and, to the extent applicable, the designated representative of each affected unit and affected source under the Acid Rain Program.

(b) Nothing in this part shall limit or otherwise affect the application of sections 112(r)(9), 113, 114, 120, 303, 304, or 306 of the Act, as amended. Any allowance deduction, excess emission penalty, or interest required under this part shall not affect the liability of the affected unit's and affected source's owners and operators for any additional fine, penalty, or assessment, or their obligation to comply with any other remedy, for the same violation, as ordered under the Act.

§ 77.2 General.

Part 72 of this chapter, including §§ 72.2 (definitions), 72.3 (measurements, abbreviations, and acronyms), 72.4 (Federal authority), 72.5 (State authority), 72.6 (applicability), 72.7 (new units exemption), 72.8 (retired units exemption), 72.9 (standard requirements), 72.10 (availability of information), and 72.11 (computation of time), shall apply to this part. The procedures for appeals