

**Pt. 3**

(i) The nature of the changed conditions and the reasons why they require the requested modifications of the rule or order; or

(ii) The reasons why the public interest would be served by the modification.

(2) Each affidavit shall set forth facts that would be admissible in evidence and shall show that the affiant is competent to testify to the matters stated therein. All information and material that the requester wishes the Commission to consider shall be contained in the request at the time of filing.

(c) *Opportunity for public comment.* A request under this section shall be placed on the public record except for material exempt from public disclosure under rule 4.10(a). Unless the Commission determines that earlier disposition is necessary, the request shall remain on the public record for thirty (30) days after a press release on the request is issued. Bureau Directors are authorized to publish a notice in the FEDERAL REGISTER announcing the receipt of a request to reopen at their discretion. The public is invited to comment on the request while it is on the public record.

(d) *Determination.* After the period for public comments on a request under this section has expired and no later than one hundred and twenty (120) days after the date of the filing of the request, the Commission shall determine whether the request complies with paragraph (b) of this section and whether the proceeding shall be reopened and the rule or order should be altered, modified, or set aside as requested. In doing so, the Commission may, in its discretion, issue an order reopening the proceeding and modifying the rule or order as requested, issue an order to show cause pursuant to §3.72, or take such other action as is appropriate: *Provided, however,* That any action under §3.72 or otherwise shall be concluded within the specified 120-day period.

(Sec. 6(g), 38 Stat. 721 (15 U.S.C. 46(g)); 80 Stat. 383, as amended, 81 Stat. 54 (5 U.S.C. 552))

[45 FR 36344, May 29, 1980, as amended at 46 FR 26291, May 12, 1981; 47 FR 33251, Aug. 2, 1982; 50 FR 53305, Dec. 31, 1985; 53 FR 40868, Oct. 19, 1988; 65 FR 50637, Aug. 21, 2000]

**16 CFR Ch. I (1–1–05 Edition)**

**PART 3—RULES OF PRACTICE FOR ADJUDICATIVE PROCEEDINGS**

**Subpart A—Scope of Rules; Nature of Adjudicative Proceedings**

Sec.

3.1 Scope of the rules in this part.

3.2 Nature of adjudicative proceedings.

**Subpart B—Pleadings**

3.11 Commencement of proceedings.

3.11A Fast-track proceedings.

3.12 Answer.

3.13 Adjudicative hearing on issues arising in rulemaking proceedings under the Fair Packaging and Labeling Act.

3.14 Intervention.

3.15 Amendments and supplemental pleadings.

**Subpart C—Prehearing Procedures; Motions; Interlocutory Appeals; Summary Decisions**

3.21 Prehearing procedures.

3.22 Motions.

3.23 Interlocutory appeals.

3.24 Summary decisions.

3.25 Consent agreement settlements.

3.26 Motions following denial of preliminary injunctive relief.

**Subpart D—Discovery; Compulsory Process**

3.31 General provisions.

3.32 Admissions.

3.33 Depositions.

3.34 Subpoenas.

3.35 Interrogatories to parties.

3.36 Applications for subpoenas for records, or appearances by officials or employees, of governmental agencies other than the Commission, and subpoenas to be served in a foreign country.

3.37 Production of documents and things; access for inspection and other purposes.

3.38 Motion for order compelling disclosure or discovery; sanctions.

3.38A Withholding requested material.

3.39 Orders requiring witnesses to testify or provide other information and granting immunity.

3.40 Admissibility of evidence in advertising substantiation cases.

**Subpart E—Hearings**

3.41 General rules.

3.42 Presiding officials.

3.43 Evidence.

3.44 Record.

3.45 In camera orders.

3.46 Proposed findings, conclusions, and order.

## Federal Trade Commission

## §3.11

### Subpart F—Decision

- 3.51 Initial decision.
- 3.52 Appeal from initial decision.
- 3.53 Review of initial decision in absence of appeal.
- 3.54 Decision on appeal or review.
- 3.55 Reconsideration.
- 3.56 Effective date of orders; application for stay.

### Subpart G [Reserved]

### Subpart H—Reopening of Proceedings

- 3.71 Authority.
- 3.72 Reopening.

### Subpart I—Recovery of Awards Under the Equal Access to Justice Act in Commission Proceedings

- 3.81 General provisions.
- 3.82 Information required from applicants.
- 3.83 Procedures for considering applicants.

AUTHORITY: 15 U.S.C. 46, unless otherwise noted.

SOURCE: 32 FR 8449, June 13, 1967, unless otherwise noted.

### Subpart A—Scope of Rules; Nature of Adjudicative Proceedings

#### §3.1 Scope of the rules in this part.

The rules in this part govern procedure in adjudicative proceedings. It is the policy of the Commission that, to the extent practicable and consistent with requirements of law, such proceedings shall be conducted expeditiously. In the conduct of such proceedings the Administrative Law Judge and counsel for all parties shall make every effort at each state of a proceeding to avoid delay.

#### §3.2 Nature of adjudicative proceedings.

Adjudicative proceedings are those formal proceedings conducted under one or more of the statutes administered by the Commission which are required by statute to be determined on the record after opportunity for an agency hearing. The term includes hearings upon objections to orders relating to the promulgation, amendment, or repeal of rules under sections 4, 5 and 6 of the Fair Packaging and Labeling Act and proceedings for the

assessment of civil penalties pursuant to §1.94 of this chapter. It does not include other proceedings such as negotiations for the entry of consent orders; investigational hearings as distinguished from proceedings after the issuance of a complaint; requests for extensions of time to comply with final orders or other proceedings involving compliance with final orders; proceedings for the promulgation of industry guides or trade regulation rules; proceedings for fixing quantity limits under section 2(a) of the Clayton Act; investigations under section 5 of the Export Trade Act; rulemaking proceedings under the Fair Packaging and Labeling Act up to the time when the Commission determines under §1.26(g) of this chapter that objections sufficient to warrant the holding of a public hearing have been filed; or the promulgation of substantive rules and regulations, determinations of classes of products exempted from statutory requirements, the establishment of name guides, or inspections and industry counseling, under sections 4(d) and 6(a) of the Wool Products Labeling Act of 1939, sections 7, 8(b), and 8(c) of the Fur Products Labeling Act, and sections 7(c), 7(d), and 12(b) of the Textile Fiber Products Identification Act.

[45 FR 67319, Oct. 10, 1980]

### Subpart B—Pleadings

#### §3.11 Commencement of proceedings.

(a) *Complaint.* Except as provided in §3.13, an adjudicative proceeding is commenced when an affirmative vote is taken by the Commission to issue a complaint.

(b) *Form of complaint.* The Commission's complaint shall contain the following:

(1) Recital of the legal authority and jurisdiction for institution of the proceeding, with specific designation of the statutory provisions alleged to have been violated;

(2) A clear and concise factual statement sufficient to inform each respondent with reasonable definiteness of the type of acts or practices alleged to be in violation of the law;

(3) Where practical, a form of order which the Commission has reason to