

## § 96.100

- 96.350 Interim Document of Compliance certificate: what is it and when can it be used?
- 96.360 Interim Safety Management Certificate: what is it and when can it be used?
- 96.370 What are the requirements for vessels of countries not party to Chapter IX of SOLAS?
- 96.380 How will the Coast Guard handle compliance and enforcement of these regulations?
- 96.390 When will the Coast Guard deny entry into a U.S. port?

### Subpart D—Authorization of Recognized Organizations To Act on Behalf of the U.S.

- 96.400 Purpose.
- 96.410 Who does this regulation apply to?
- 96.420 What authority may an organization ask for under this regulation?
- 96.430 How does an organization submit a request to be authorized?
- 96.440 How will the Coast Guard decide whether to approve an organization's request to be authorized?
- 96.450 What happens if the Coast Guard disapproves an organization's request to be authorized?
- 96.460 How will I know what the Coast Guard requires of my organization if my organization receives authorization?
- 96.470 How does the Coast Guard terminate an organization's authorization?
- 96.480 What is the status of a certificate if the issuing organization has its authority terminated?
- 96.490 What further obligations exist for my organization if the Coast Guard terminates its authorization?
- 96.495 How can I appeal a decision made by an authorized organization?

AUTHORITY: 46 U.S.C. 3201 *et. seq.*; 46 U.S.C. 3103; 46 U.S.C. 3316, 33 U.S.C. 1231; 49 CFR 1.45, 49 CFR 1.46.

SOURCE: CGD 95-073, 62 FR 67506, Dec. 24, 1997, unless otherwise noted.

### Subpart A—General

#### § 96.100 Purpose.

This subpart implements Chapter IX of the International Convention for the Safety of Life at Sea (SOLAS), 1974, International Management Code for the Safe Operation of Ships and for Pollution Prevention (International Safety Management (ISM) Code), as required by 46 U.S.C. Chapter 32.

NOTE: Chapter IX of SOLAS is available from the International Maritime Organization, Publication Section, 4 Albert Embankment, London, SE1 75R, United Kingdom,

## 33 CFR Ch. I (7-1-05 Edition)

Telex 23588. Please include document reference number "IMO-190E" in your request.

#### § 96.110 Who does this subpart apply to?

This subpart applies to you if—

- (a) You are a responsible person who owns a U.S. vessel(s) and must comply with Chapter IX of SOLAS;
- (b) You are a responsible person who owns a U.S. vessel(s) that is not required to comply with Chapter IX of SOLAS, but requests application of this subpart;
- (c) You are a responsible person who owns a foreign vessel(s) engaged on a foreign voyage, bound for ports or places under the jurisdiction of the U.S., which must comply with Chapter IX of SOLAS; or
- (d) You are a recognized organization applying for authorization to act on behalf of the U.S. to conduct safety management audits and issue international convention certificates.

#### § 96.120 Definitions.

(a) Unless otherwise stated in this section, the definitions in Chapter IX, Regulation 1 of the International Convention for the Safety of Life at Sea (SOLAS) apply to this part.

(b) As used in this part—

*Administration* means the Government of the State whose flag the ship is entitled to fly.

*Authorized Organization Acting on behalf of the U.S.* means an organization that is recognized by the Commandant of the U.S. Coast Guard under the minimum standards of subparts A and B of 46 CFR part 8, and has been authorized under this section to conduct certain actions and certifications on behalf of the United States.

*Captain of the Port (COTP)* means the U.S. Coast Guard officer as described in 33 CFR 6.01-3, commanding a Captain of the Port zone described in 33 CFR part 3, or that person's authorized representative.

*Commandant* means the Commandant, U.S. Coast Guard.

*Company* means the owner of a vessel, or any other organization or person such as the manager or the bareboat charterer of a vessel, who has assumed the responsibility for operation of the vessel from the shipowner and who on