

was instrumental in obtaining these funds.

(c) The project director must sign narrative reports.

**§ 1206.76 What additional materials must I submit with the final narrative report?**

(a) For records-related projects, you are required to send the NHPRC three copies of any finding aids, reports, manuals, guides, forms, articles about the project, and other materials produced about or based on the grant project at the time that the final narrative report is submitted.

(b) Documentary editing projects must send the NHPRC three copies of any book edition unless support for their publication was provided by an NHPRC subvention grant. For those volumes, presses rather than projects are responsible for submitting the required number of volumes (see § 1206.18(d)). Projects with microform editions must send the NHPRC three copies of the microform guides and indexes produced by the project.

**§ 1206.78 Does the NHPRC have any liability under a grant?**

No, the National Archives and Records Administration (NARA) and the Commission cannot assume any liability for accidents, illnesses, or claims arising out of any work undertaken with the assistance of the grant.

**§ 1206.80 Must I acknowledge NHPRC grant support?**

Yes, grantee institutions, grant project directors, or grant staff personnel may publish results of any work supported by an NHPRC grant without review by the Commission; however, publications or other products resulting from the project must acknowledge the assistance of the NHPRC grant.

**PART 1207—UNIFORM ADMINISTRATIVE REQUIREMENTS FOR GRANTS AND COOPERATIVE AGREEMENTS TO STATE AND LOCAL GOVERNMENTS**

**Subpart A—General**

Sec.

1207.1 Purpose and scope of this part.

1207.2 Scope of subpart.

1207.3 Definitions.

1207.4 Applicability.

1207.5 Effect on other issuances.

1207.6 Additions and exceptions.

**Subpart B—Pre-Award Requirements**

1207.10 Forms for applying for grants.

1207.11 State plans.

1207.12 Special grant or subgrant conditions for “high-risk” grantees.

**Subpart C—Post-Award Requirements**

FINANCIAL ADMINISTRATION

1207.20 Standards for financial management systems.

1207.21 Payment.

1207.22 Allowable costs.

1207.23 Period of availability of funds.

1207.24 Matching or cost sharing.

1207.25 Program income.

1207.26 Non-Federal audit.

CHANGES, PROPERTY, AND SUBAWARDS

1207.30 Changes.

1207.31 Real property.

1207.32 Equipment.

1207.33 Supplies.

1207.34 Copyrights.

1207.35 Subawards to debarred and suspended parties.

1207.36 Procurement.

1207.37 Subgrants.

REPORTS, RECORDS, RETENTION, AND ENFORCEMENT

1207.40 Monitoring and reporting program performance.

1207.41 Financial reporting.

1207.42 Retention and access requirements for records.

1207.43 Enforcement.

1207.44 Termination for convenience.

**Subpart D—After-the-Grant Requirements**

1207.50 Closeout.

1207.51 Later disallowances and adjustments.

1207.52 Collection of amounts due.

**Subpart E—Entitlement [Reserved]**

AUTHORITY: 44 U.S.C. 2104(a); 44 U.S.C. 2501–2506.

SOURCE: 53 FR 8072, 8087, Mar. 11, 1988, unless otherwise noted.

### Subpart A—General

#### § 1207.1 Purpose and scope of this part.

This part establishes uniform administrative rules for Federal grants and cooperative agreements and subawards to State, local and Indian tribal governments.

#### § 1207.2 Scope of subpart.

This subpart contains general rules pertaining to this part and procedures for control of exceptions from this part.

#### § 1207.3 Definitions.

As used in this part:

*Accrued expenditures* mean the charges incurred by the grantee during a given period requiring the provision of funds for: (1) Goods and other tangible property received; (2) services performed by employees, contractors, subgrantees, subcontractors, and other payees; and (3) other amounts becoming owed under programs for which no current services or performance is required, such as annuities, insurance claims, and other benefit payments.

*Accrued income* means the sum of: (1) Earnings during a given period from services performed by the grantee and goods and other tangible property delivered to purchasers, and (2) amounts becoming owed to the grantee for which no current services or performance is required by the grantee.

*Acquisition cost* of an item of purchased equipment means the net invoice unit price of the property including the cost of modifications, attachments, accessories, or auxiliary apparatus necessary to make the property usable for the purpose for which it was acquired. Other charges such as the cost of installation, transportation, taxes, duty or protective in-transit insurance, shall be included or excluded from the unit acquisition cost in accordance with the grantee's regular accounting practices.

*Administrative requirements* mean those matters common to grants in general, such as financial management, kinds and frequency of reports, and retention of records. These are distinguished from *programmatic* requirements, which concern matters that can

be treated only on a program-by-program or grant-by-grant basis, such as kinds of activities that can be supported by grants under a particular program.

*Awarding agency* means (1) with respect to a grant, the Federal agency, and (2) with respect to a subgrant, the party that awarded the subgrant.

*Cash contributions* means the grantee's cash outlay, including the outlay of money contributed to the grantee or subgrantee by other public agencies and institutions, and private organizations and individuals. When authorized by Federal legislation, Federal funds received from other assistance agreements may be considered as grantee or subgrantee cash contributions.

*Contract* means (except as used in the definitions for *grant* and *subgrant* in this section and except where qualified by *Federal*) a procurement contract under a grant or subgrant, and means a procurement subcontract under a contract.

*Cost sharing or matching* means the value of the third party in-kind contributions and the portion of the costs of a federally assisted project or program not borne by the Federal Government.

*Cost-type contract* means a contract or subcontract under a grant in which the contractor or subcontractor is paid on the basis of the costs it incurs, with or without a fee.

*Equipment* means tangible, non-expendable, personal property having a useful life of more than one year and an acquisition cost of \$5,000 or more per unit. A grantee may use its own definition of equipment provided that such definition would at least include all equipment defined above.

*Expenditure report* means: (1) For non-construction grants, the SF-269 "Financial Status Report" (or other equivalent report); (2) for construction grants, the SF-271 "Outlay Report and Request for Reimbursement" (or other equivalent report).

*Federally recognized Indian tribal government* means the governing body or a governmental agency of any Indian tribe, band, nation, or other organized group or community (including any Native village as defined in section 3 of the Alaska Native Claims Settlement