

General Services Administration

§ 105-64.002

Subpart 105-64.1—General Policy

- 105-64.101 Maintenance of records.
- 105-64.101-1 Collection and use.
- 105-64.101-2 Standards of accuracy.
- 105-64.101-3 Rules of conduct.
- 105-64.101-4 Safeguarding systems of records.
- 105-64.101-5 Inconsistent directives of GSA superseded.
- 105-64.102 Records of other agencies.
- 105-64.103 Subpoenas and other legal demands.

Subpart 105-64.2—Disclosure of Records

- 105-64.201 Conditions of disclosure.
- 105-64.202 Procedures for disclosure.
- 105-64.203 Accounting of disclosure.

Subpart 105-64.3—Individual Access to Records

- 105-64.301 Access procedures.
- 105-64.301-1 Form of requests.
- 105-64.301-2 Special requirements for medical records.
- 105-64.301-3 Granting access.
- 105-64.301-4 Denials of access.
- 105-64.301-5 Appeal of denial of access within GSA.
- 105-64.301-6 Geographic composition, addresses and telephone numbers of regional Administrative Services Division directors.
- 105-64.302 Fees.
- 105-64.302-1 Records available at a fee.
- 105-64.302-2 Additional copies.
- 105-64.302-3 Waiver of fee.
- 105-64.302-4 Prepayment of fees over \$25.
- 105-64.302-5 Form of payment.
- 105-64.302-6 Reproduction fee schedule.

Subpart 105-64.4—Requests to Amend Records

- 105-64.401 Submission of requests to amend records.
- 105-64.402 Review of requests to amend records.
- 105-64.403 Approval of requests to amend.
- 105-64.404 Denial of requests to amend.
- 105-64.405 Agreement to alternative amendments.
- 105-64.406 Appeal of denial of request to amend a record.
- 105-64.407 Statements of disagreement.
- 105-64.408 Judicial review.

Subpart 105-64.5—Reporting New Systems and Altering Existing Systems

- 105-64.501 Reporting requirement.
- 105-64.502 FEDERAL REGISTER notice of establishment of new system or alteration of existing system.

- 105-64.503 Effective date of new systems of records or alteration of an existing system of records.

Subpart 105-64.6—Exemptions

- 105-64.601 General exemptions.
- 105-64.602 Specific exemptions.

Subpart 105-64.7—Assistance and Referrals

- 105-64.701 Requests for assistance and referral.

AUTHORITY: The authority provided by Pub. L. 152, Ch. 288, 63 Stat 377 (codified as amended in scattered section of 40 U.S.C. and 41 U.S.C.).

SOURCE: 50 FR 43139, Oct. 24, 1985, unless otherwise noted.

§ 105-64.000 Scope of part.

The policies and procedures for collecting, using, and disseminating records maintained by GSA are subject to 5 U.S.C. 552a, and defined in §105-64.002. Policies and procedures governing availability of records in general are in parts 105-60 and 61 of this chapter. This part also covers exemptions from disclosing personal information; procedures guiding persons who wish to obtain information, or to inspect or correct the content of records; accounting for disclosure of information; requirements for medical records; and fees.

§ 105-64.001 Purpose.

This part implements 5 U.S.C. 552a (Pub. L. 93-579), known as the Privacy Act of 1974 (referred to as the Act). This part states procedures for notifying an individual of a GSA system of records containing a record pertaining to him or her, procedures for gaining access to or contesting the content of records, and other procedures for carrying out the Act.

§ 105-64.002 Definitions.

For the purpose of this part 105-64, the terms listed below are defined as follows:

- (a) *Agency* means agency as defined in 5 U.S.C. 552(e);
- (b) *Individual* means a citizen of the United States or a legal alien admitted for permanent residence;
- (c) *Maintain* means keep, collect, use, and disseminate;

(d) A *record* means any item, collection, or grouping of information an agency maintains about a person, including, but not limited to, his or her educational background, financial transactions, medical history, and employment or criminal history, and that contains his or her name or other identifying number or symbols such as a fingerprint, voiceprint, or photograph;

(e) A *system of records* means any group of records under the control of the agency from which information is retrieved by a person's name or by an identifying number, symbols, or other identifiers assigned to that individual;

(f) A *statistical record* means an item of information maintained for statistical research or reporting purposes that is not used in making any determination about an identifiable person, except as provided by Section 8 of Title 13 U.S.C.;

(g) *Routine use* means using a record for the purpose for which it was intended;

(h) *System manager* means the GSA employee who maintains a system of records and who collects, uses, and disseminates the information in it;

(i) *The subject individual* means the person named or discussed in a record or the person to whom a record refers;

(j) *Disclosure* means transferring a record, a copy of a record, or the information contained in a record to someone other than the subject individual, or the reviewing of a record by someone other than the subject individual;

(k) *Access* means a transfer of a record, a copy of a record, or the information in a record to the subject individual, or the review of a record by the subject individual; and

(l) *Solicitation* means a request by an officer or employee of GSA for a person to provide information about himself or herself.

Subpart 105-64.1—General Policy

§ 105-64.101 Maintenance of records.

§ 105-64.101-1 Collection and use.

(a) *General.* The system manager (also called the manager) should collect information used for determining an individual's rights, benefits, or privileges under GSA programs di-

rectly from the subject individual if practical. The system manager should ensure that information collected is used only as intended by the Act and these regulations.

(b) *Soliciting information.* Manager must ensure that when information is solicited, the person is informed of the authority for collecting it; whether providing it is mandatory or voluntary; the purpose for which it will be used; routine uses of the information; and the effect on the individual, if any, of not providing the information. Heads of Services and Staff Offices and Regional Administrators must ensure that forms used to solicit information comply with the Act and these regulations.

(c) *Soliciting a social security number.* Before requesting a person to disclose his or her social security number, ensure either:

(1) The disclosure is required by Federal statute, or;

(2) Disclosure is required under a statute or regulation adopted before January 1, 1975, to verify the person's identity, and that it was part of a system of records in existence before January 1, 1975.

If soliciting a social security number is authorized under paragraph (c) (1) or (2) of this section, inform the person beforehand whether the disclosure is mandatory or voluntary, by what legal or other authority the number is requested, and the use that is to be made of it.

(d) *Soliciting information from third parties.* Officers or employees must inform third parties requested to provide information about another person of the reason for collecting the information.

§ 105-64.101-2 Standards of accuracy.

Managers should ensure that the records used by the Agency to make determinations about an individual are maintained with the accuracy, relevance, timeliness, and completeness needed to ensure fairness to the individual.

§ 105-64.101-3 Rules of conduct.

Those who design, develop, operate, or maintain a system of records, or any record, must review 5 U.S.C. 552a and