

Department of Energy

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§ 712.22 Hearing officer's report and recommendation.

Within 30 calendar days of the receipt of the hearing transcript by the hearing officer or the closing of the record, whichever is later, the hearing officer must forward written findings, a supporting statement of reasons, and recommendation regarding the individual's eligibility for recertification in the HRP position to the Director, Office of Security. The hearing officer's report and recommendation must be accompanied by a copy of the record of the proceedings. The Director, Office of Security shall forward to the DOE Deputy Secretary a recommendation to either recertify or revoke the certification of an individual in the HRP.

§ 712.23 Final decision by DOE Deputy Secretary.

Within 20 working days of the receipt of the Director, Office of Security's recommendation, the Deputy Secretary should issue a final written decision. A copy of this decision must be sent by certified mail (return receipt requested) to the Manager and to the individual accompanied by a copy of the hearing officer's report and the transcript of the certification review proceedings.

Subpart B—Medical Standards

§ 712.30 Applicability.

This subpart establishes standards and procedures for conducting medical assessments of DOE and DOE contractor individuals in HRP positions.

§ 712.31 Purpose.

The standards and procedures set forth in this subpart are necessary for DOE to:

- (a) Identify the presence of any mental/personality disorders, physical, or behavioral characteristics or conditions that present or are likely to present an unacceptable impairment in reliability;
- (b) Facilitate the early diagnosis and treatment of disease or impairment and foster accommodation and rehabilitation;
- (c) Determine what functions an HRP-certified individual may be able

to perform and to facilitate the proper placement of individuals; and

- (d) Provide for continuing monitoring of the health status of individuals to facilitate early detection and correction of adverse health effects, trends, or patterns.

§ 712.32 Designated Physician.

(a) The Designated Physician must be qualified to provide professional expertise in the area of occupational medicine as it relates to the HRP.

(b) The Designated Physician must:

- (1) Be a graduate of an accredited school of medicine or osteopathy;
- (2) Have a valid, unrestricted state license to practice medicine in the state where HRP medical assessments occur;
- (3) Have met the applicable HRP instruction requirements; and
- (4) Be eligible for the appropriate DOE access authorization.

(c) The Designated Physician is responsible for the medical assessments of HRP candidates and HRP-certified individuals, including determining which components of the medical assessments may be performed by other qualified personnel. Although a portion of the assessment may be performed by another physician, physician's assistant, or nurse practitioner, the Designated Physician remains responsible for:

- (1) Supervising the evaluation process;
- (2) Interpreting the results of evaluations;
- (3) Documenting medical conditions or issues that may disqualify an individual from the HRP;
- (4) Providing medical assessment information to the Designated Psychologist to assist in determining psychological fitness;
- (5) Determining, in conjunction with DOE if appropriate, the location and date of the next required medical assessment; and
- (6) Signing a recommendation about the medical fitness of an individual for certification or recertification.

(d) The Designated Physician must immediately report to the SOMD any of the following about himself or herself:

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- (1) Initiation of an adverse action by any state medical licensing board or any other professional licensing board;
- (2) Initiation of an adverse action by any Federal regulatory board since the last designation;
- (3) The withdrawal of the privilege to practice by any institution;
- (4) Being named a defendant in any criminal proceedings (felony or misdemeanor) since the last designation;
- (5) Being evaluated or treated for alcohol use disorder or drug dependency or abuse since the last designation; or
- (6) Occurrence, since the last designation, of a physical, mental/personality disorder, or health condition that might affect his or her ability to perform professional duties.

§ 712.33 Designated Psychologist.

- (a) The Designated Psychologist reports to the SOMD and determines the psychological fitness of an individual to participate in the HRP. The results of this evaluation may be provided only to the Designated Physician or the SOMD.
- (b) The Designated Psychologist must:
 - (1) Hold a doctoral degree from a clinical psychology program that includes a one-year clinical internship approved by the American Psychological Association or an equivalent program;
 - (2) Have accumulated a minimum of three years postdoctoral clinical experience with a major emphasis in psychological assessment and testing;
 - (3) Have a valid, unrestricted state license to practice clinical psychology in the state where HRP medical assessments occur;
 - (4) Have met the applicable HRP instruction requirements; and
 - (5) Be eligible for the appropriate DOE access authorization.
- (c) The Designated Psychologist is responsible for all psychological evaluations of HRP candidates, HRP-certified individuals, and others as directed by the SOMD. Although a portion of the psychological evaluation may be performed by another psychologist, the Designated Psychologist must:
 - (1) Supervise the psychological evaluation process and designate which

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- components may be performed by other qualified personnel;
- (2) Upon request of management, assess the psychological fitness of HRP candidates and HRP-certified individuals for HRP duties, including specific work settings, and recommend referrals as indicated; and
- (3) Make referrals for psychiatric, psychological, substance abuse, or personal or family problems, and monitor the progress of individuals so referred.
- (d) The Designated Psychologist must immediately report to the SOMD any of the following about himself or herself:
 - (1) Initiation of an adverse action by any state medical licensing board or any other professional licensing board;
 - (2) Initiation of an adverse action by any Federal regulatory board since the last designation;
 - (3) The withdrawal of the privilege to practice by any institution;
 - (4) Being named a defendant in any criminal proceeding (felony or misdemeanor) since the last designation;
 - (5) Being evaluated or treated for alcohol use disorder or drug dependency or abuse since the last designation; or
 - (6) Occurrence since the last designation of a physical, mental/personality disorder, or health condition that might affect his or her ability to perform professional duties.

§ 712.34 Site Occupational Medical Director.

- (a) The SOMD must nominate a physician to serve as the Designated Physician and a clinical psychologist to serve as the Designated Psychologist. The nominations must be sent through the Manager to the Deputy Assistant Secretary for Health or his or her designee. Each nomination must describe the nominee's relevant training, experience, and licensure, and include a curriculum vitae and a copy of the nominee's current state or district license.
- (b) The SOMD must submit a renomination report biennially through the Manager to the Deputy Assistant Secretary for Health or his or her designee. This report must be submitted at least 60 days before the second anniversary of the initial designation or of