

**Postal Rate Commission**

**Pt. 3001**

10. Uphold these principles, ever conscious that public office is a public trust.  
Passed July 11, 1958.

**PART 3001—RULES OF PRACTICE AND PROCEDURE**

**Subpart A—Rules of General Applicability**

- Sec.
- 3001.1 Construction of rules.
- 3001.2 [Reserved]
- 3001.3 Scope of rules.
- 3001.4 Method of citing rules.
- 3001.5 Definitions.
- 3001.6 Appearances.
- 3001.7 Ex parte communications.
- 3001.8 No participation by investigative or prosecuting officers.
- 3001.9 Filing of documents.
- 3001.10 Form and number of copies of documents.
- 3001.11 General contents of documents.
- 3001.12 Service of documents.
- 3001.13 Docket and hearing calendar.
- 3001.14 Consolidation and separation of proceedings.
- 3001.15 Computation of time.
- 3001.16 Continuances and extensions of time.
- 3001.17 Notice of proceeding.
- 3001.18 Nature of proceedings.
- 3001.19 Notice of prehearing conference or hearing.
- 3001.20 Formal intervention.
- 3001.20a Limited participation by persons not parties.
- 3001.20b Informal expression of views by persons not parties or limited participants (commenters).
- 3001.21 Motions.
- 3001.22 Requests for waiver.
- 3001.23 Presiding officers.
- 3001.24 Prehearing conferences.
- 3001.25 Discovery—general policy.
- 3001.26 Interrogatories for purpose of discovery.
- 3001.27 Requests for production of documents or things for purpose of discovery.
- 3001.28 Requests for admissions for purpose of discovery.
- 3001.29 Settlement conferences.
- 3001.30 Hearings.
- 3001.31 Evidence.
- 3001.31a In camera orders.
- 3001.32 Appeals from rulings of the presiding officer.
- 3001.33 Depositions.
- 3001.34 Briefs.
- 3001.35 Proposed findings and conclusions.
- 3001.36 Oral argument before the presiding or other designated officer.
- 3001.37 Oral argument before the Commission.
- 3001.38 Omission of intermediate decisions.
- 3001.39 Intermediate decisions.

- 3001.40 Exceptions to intermediate decisions.
- 3001.41 Rulemaking proceedings.
- 3001.42 Public information and requests.
- 3001.43 Public attendance at Commission meetings.

**Subpart B—Rules Applicable to Requests for Changes in Rates or Fees**

- 3001.51 Applicability.
- 3001.52 Filing of formal requests.
- 3001.53 Filing of prepared direct evidence.
- 3001.54 Contents of formal requests.
- 3001.55 Service by the Postal Service.
- 3001.56 Failure to comply.
- 3001.57 Market response rate requests for express mail service—purpose and duration of rules.
- 3001.58 Market response rate requests—data filing requirements.
- 3001.59 Market Response Rate Requests—expedition of public notice and procedural schedule.
- 3001.60 Express mail market response—rule for decision.

**Subpart C—Rules Applicable to Requests for Establishing or Changing the Mail Classification Schedule**

- 3001.61 Applicability.
- 3001.62 Filing of formal requests.
- 3001.63 Filing of prepared direct evidence.
- 3001.64 Contents of formal requests.
- 3001.65 Service by the Postal Service.
- 3001.66 Failure to comply.
- 3001.67 Requests involving experimental changes.
- 3001.67a Requests involving experimental changes—procedures for limitation of issues.
- 3001.67b Requests involving experimental changes—unavailability of data.
- 3001.67c Requests involving experimental changes—plans for data collection.
- 3001.67d Requests involving experimental changes—time limit.
- 3001.68 Text of the Domestic Mail Classification Schedule.
- 3001.69 Expedited minor classification cases—applicability.
- 3001.69a Expedited minor classification cases—filing of formal request and prepared direct evidence.
- 3001.69b Expedited minor classification cases—expedition of procedural schedule.
- 3001.69c Expedited minor classification cases—time limits.

**APPENDIX A TO SUBPART C—POSTAL SERVICE RATES AND CHARGES**

**Subpart D—Rules Applicable to Requests for Changes in the Nature of Postal Services**

- 3001.71 Applicability.

## § 3001.1

- 3001.72 Filing of formal requests.
- 3001.73 Filing of prepared direct testimony.
- 3001.74 Contents of formal requests.
- 3001.75 Service by the Postal Service.

### Subpart E—Rules Applicable to Rate and Service Complaints

- 3001.81 Applicability.
- 3001.82 Scope and nature of complaints.
- 3001.83 Contents of complaints.
- 3001.84 Answers by the Postal Service.
- 3001.85 Informal procedures.
- 3001.86 Proceedings on the record.
- 3001.87 Commission determinations.

### Subpart F—Rules Applicable to the Filing of Testimony by Intervenors

- 3001.91 Applicability and general policy.
- 3001.92 Submissions by intervenors.

### Subpart G—Rules Applicable to the Filing of Periodic Reports by the U.S. Postal Service

- 3001.101 Applicability and general policy.
- 3001.102 Filing of reports.
- 3001.103 Filing of reports required by 39 U.S.C. 3663(b).

### Subpart H—Rules Applicable to Appeals of Postal Service Determinations To Close or Consolidate Post Offices

- 3001.110 Applicability.
- 3001.111 Initiation of review proceedings.
- 3001.112 The record on review.
- 3001.113 Filing of the record.
- 3001.114 Suspension pending review.
- 3001.115 Participant statement or brief.
- 3001.116 Oral argument.
- 3001.117 Posting of documents by Postal Service for inspection by affected postal patrons.

### Subpart I—Rules for Expedited Review To Allow Market Tests of Proposed Mail Classification Changes

- 3001.161 Applicability.
- 3001.162 Filing of market test proposal and supporting direct evidence.
- 3001.163 Procedures—expedition of public notice and procedural schedule.
- 3001.164 Rule for decision.
- 3001.165 Data collection and reporting requirements.
- 3001.166 Suspension, continuation or termination of proceeding.

### Subpart J—Rules for Expedited Review of Requests for Provisional Service Changes of Limited Duration

- 3001.171 Applicability.

## 39 CFR Ch. III (7–1–06 Edition)

- 3001.172 Filing of formal request and prepared direct evidence.
- 3001.173 Procedures—expedition of public notice and procedural schedule.
- 3001.174 Rule for decision.
- 3001.175 Data collection and reporting requirements.
- 3001.176 Continuation or termination of provisional service.

### Subpart K—Rules for Use of Multi-Year Test Periods

- 3001.181 Use of multi-year test period for proposed new services.
- 3001.182 Filing of formal request and prepared direct evidence.

### Subpart L—Rules Applicable to Negotiated Service Agreements

- 3001.190 Applicability.
- 3001.191 Filing of formal requests.
- 3001.192 Filing of prepared direct evidence.
- 3001.193 Contents of formal requests.
- 3001.194 Failure to comply.
- 3001.195 Requests to recommend a baseline negotiated service agreement.
- 3001.196 Requests to recommend a Negotiated Service Agreement that is functionally equivalent to a previously recommended Negotiated Service Agreement.
- 3001.197 Requests to renew previously recommended negotiated service agreements with existing participant(s).
- 3001.198 Requests to modify previously recommended negotiated service agreements.

AUTHORITY: 39 U.S.C. 404(b); 3603, 3622–24, 3661, 3662, 3663.

SOURCE: 36 FR 396, Jan. 12, 1971, unless otherwise noted.

EDITORIAL NOTE: Nomenclature changes for Part 3001 appear at 70 FR 48277, Aug. 17, 2005.

### Subpart A—Rules of General Applicability

#### § 3001.1 Construction of rules.

The rules in this part shall be liberally construed to secure just and speedy determination of issues.

[38 FR 4327, Feb. 13, 1973]

#### § 3001.2 [Reserved]

#### § 3001.3 Scope of rules.

The rules of practice in this part are applicable to proceedings before the Postal Rate Commission under the Act,