

APPENDIX C TO PART 307—NOTICE OF LIMITATIONS ON THE PAYMENT OF CLAIMS FOR RESPONSE ACTIONS, WHICH IS TO BE PLACED IN THE FEDERAL REGISTER PREAMBLE WHENEVER SITES ARE ADDED TO THE FINAL NPL

Limitations on the Payment of Claims for Response Actions

Sections 111(a)(2) and 122(b)(1) of CERCLA authorize the Fund to reimburse certain parties for necessary costs of performing a response action. As is described in more detail at 58 FR 5460, Jan. 21, 1993, 40 CFR part 307, there are two major limitations placed on the payment of claims for response actions. First, only private parties, certain potentially responsible parties (including States and political subdivisions), and certain foreign entities are eligible to file such claims. Second, all response actions under sections 111(a)(2) and 122(b)(1) must receive prior approval, or "preauthorization," from EPA.

APPENDIX D TO PART 307—NOTICE OF LIMITATIONS ON THE PAYMENT OF CLAIMS FOR RESPONSE ACTIONS WHICH IS TO BE PLACED IN PUBLIC DOCKETS

Statutory Limitations on the Payment of Claims for Response Actions Filed Pursuant to Sections 111(a)(2) and 122(b)(1) of CERCLA

The Comprehensive Environmental Response, Compensation, and Liability Act of 1980 (CERCLA), as amended by the Superfund Amendments and Reauthorization Act of 1986 (SARA) (42 U.S.C. 9601 *et seq.*) authorizes a number of mechanisms for responding to a release, or threat of release, of hazardous substances or pollutants or contaminants. One of these mechanisms is response claims. Section 111(a)(2) of CERCLA authorizes the Environmental Protection Agency (EPA or the Agency) to compensate claimants for necessary response costs if certain conditions are met. Section 122(b)(1) of CERCLA authorizes EPA to reimburse certain potentially responsible parties for a portion of the costs of response actions conducted pursuant to a settlement agreement. These conditions are outlined below.

First, only private parties, parties to section 122(b)(1) agreements (including States and political subdivisions thereof) and foreign entities are eligible for payment through the response claims mechanism. Federal, State, and local government units, and Indian Tribes can receive funding for response activities through other authorities of section 111(a) or section 123 of CERCLA.

Second, eligible claimants can only be reimbursed for costs that are incurred in carrying out the National Contingency Plan

(NCP), 40 CFR part 300. In order to be in conformity with the NCP, all claims must receive prior approval, or "preauthorization," from EPA. This means that before response work is initiated, the party must:

- (1) Notify EPA of its intent to file a claim;
- (2) Demonstrate that the release merits priority consideration;
- (3) Propose activities to remedy the release that can be carried out consistent with the NCP; and
- (4) Demonstrate the capabilities necessary to carry out such activities in a safe and effective manner.

In order for potentially responsible parties to be eligible for reimbursement they must conduct the response actions as specified in a Consent Decree or administrative order. Only if EPA preauthorizes a response action can the party begin work, and later file a claim for reimbursement of costs.

The limitations placed on the payment of claims for response actions and the procedures for filing such claims are described in more detail at 58 FR 5460, Jan. 21, 1993, 40 CFR part 307. Additional information can be obtained by contacting Phyllis Anderson, Office of Emergency and Remedial Response (5203 G), Environmental Protection Agency, 1200 Pennsylvania Ave., NW., Washington, DC 20460, (703) 603-8971, or the RCRA/CERCLA Hotline, (800) 424-9346 (or (703) 920-9810 in the Washington, DC metropolitan area).

[58 FR 5475, Jan. 21, 1993, as amended at 65 FR 47325, Aug. 2, 2000]

PART 310—REIMBURSEMENT TO LOCAL GOVERNMENTS FOR EMERGENCY RESPONSE TO HAZARDOUS SUBSTANCE RELEASES

Subpart A—General Information

- Sec.
- 310.1 What is the purpose of this part?
 - 310.2 What is the statutory authority for this part?
 - 310.3 What terms have specific definitions?
 - 310.4 What abbreviations should I know?

Subpart B—Provisions

WHO CAN BE REIMBURSED?

- 310.5 Am I eligible for reimbursement?
- 310.6 Are states eligible?
- 310.7 Can more than one local agency or government be reimbursed for response to the same incident?

WHAT CAN BE REIMBURSED?

- 310.8 Can EPA reimburse the entire cost of my response?

Environmental Protection Agency

§ 310.3

- 310.9 If more than one local agency or government is involved, can each receive up to \$25,000?
- 310.10 What are temporary emergency measures?
- 310.11 What costs are allowable?
- 310.12 What costs are NOT allowable?

HOW TO GET REIMBURSED

- 310.13 Do I need to notify anyone while the response is underway?
- 310.14 Must I try to recover my costs from those potentially responsible for the emergency?
- 310.15 How do I apply for reimbursement?
- 310.16 What kind of cost documentation is necessary?
- 310.17 Are there any other requirements?
- 310.18 How will EPA evaluate my application?
- 310.19 Under what conditions would EPA deny my request?
- 310.20 What are my options if EPA denies my request?
- 310.21 How does EPA resolve disputes?

OTHER THINGS YOU NEED TO KNOW

- 310.22 What records must I keep?
- 310.23 How will EPA rank approved requests?
- 310.24 What happens if I provide incorrect or false information?

APPENDIX I TO PART 310—FREQUENTLY ASKED QUESTIONS

APPENDIX II TO PART 310—EPA REGIONS AND NRC TELEPHONE LINES

APPENDIX III TO PART 310—FORM: APPLICATION FOR REIMBURSEMENT TO LOCAL GOVERNMENTS FOR EMERGENCY RESPONSE TO HAZARDOUS SUBSTANCE RELEASE UNDER CERCLA SEC. 123

AUTHORITY: 42 U.S.C. 9611(c)(11), 9623.

SOURCE: 63 FR 8286, Feb. 18, 1998, unless otherwise noted.

Subpart A—General Information

§ 310.1 What is the purpose of this part?

This part sets up procedures for EPA to reimburse local governments for certain emergency response costs. Local governments may receive up to \$25,000 to help lighten financial burdens related to emergency response to hazardous substance releases. This reimbursement does NOT replace funding that local governments normally provide for emergency response.

§ 310.2 What is the statutory authority for this part?

This part is authorized under section 123 of the Comprehensive Environmental Response, Compensation, and Liability Act of 1980 (CERCLA) (Pub. L. 96-510, 42 U.S.C. 9601-9675), as amended by the Superfund Amendments and Reauthorization Act of 1986 (SARA) (Pub. L. 99-499, 42 U.S.C. 9601).

§ 310.3 What terms have specific definitions?

For purposes of this part except when otherwise specified:

(a) *Application* means Form 9310-1, shown in Appendix III of this part, including all documentation and additional information you submit to support a request for reimbursement.

(b) *Date of completion* means the date when you have completed all field work and you have received all deliverables (such as lab results, technical expert reports, or invoices) due under a contract or other agreement.

(c) *Emergency Planning and Community Right-to-Know Act of 1986* means Title III—Emergency Planning and Community Right-to-Know Act of the Superfund Amendments and Reauthorization Act of 1986 (EPCRA) (Pub. L. 99-499, 42 U.S.C. 11000-11050).

(d) *Federally-recognized Indian Tribe*, as defined by section 101(36) of CERCLA, means any Indian Tribe, band, nation, or other organized group or community, including any Alaska Native village but not including any Alaska Native regional or village corporation, which is recognized as eligible for the special programs and services provided by the United States to Indians because of their status as Indians.

(e) *General purpose unit of local government* means the governing body of a county, parish, municipality, city, town, township, Federally-recognized Indian tribe or similar governing body. This term does not include special purpose districts.

(f) *Hazardous substance*. (1) *Hazardous substance*, as defined by section 101(14) of CERCLA, means:

(i) Any substance designated pursuant to section 311(b)(2)(A) of the Federal Water Pollution Control Act (Pub. L. 101-380, 33 U.S.C. 1251 *et seq.*);