

**PART 1203—NONDISCRIMINATION
IN FEDERALLY ASSISTED PRO-
GRAMS—EFFECTUATION OF TITLE
VI OF THE CIVIL RIGHTS ACT OF
1964**

Sec.

- 1203.1 Purpose.
1203.2 Application of this part.
1203.3 Definitions.
1203.4 Discrimination prohibited.
1203.5 Assurances required.
1203.6 Compliance information.
1203.7 Conduct of investigations.
1203.8 Procedure for effecting compliance.
1203.9 Hearings.
1203.10 Decisions and notices.
1203.11 Judicial review.
1203.12 Effect on other regulations, forms,
and instructions.

APPENDIX A TO PART 1203—PROGRAMS TO
WHICH THIS PART APPLIES

APPENDIX B TO PART 1203—PROGRAMS TO
WHICH THIS PART APPLIES WHEN A PRI-
MARY OBJECTIVE OF THE FEDERAL FINAN-
CIAL ASSISTANCE IS TO PROVIDE EMPLOY-
MENT

AUTHORITY: Sec. 602, 78 Stat. 252; 42 U.S.C.
2000d-1.

SOURCE: 39 FR 27322, July 26, 1974, unless
otherwise noted.

§ 1203.1 Purpose.

The purpose of this part is to effec-
tuate the provisions of title VI of the
Civil Rights Act of 1964 (hereafter re-
ferred to as title VI), to the end that a
person in the United States shall not,
on the ground of race, color, or na-
tional origin, be excluded from partici-
pation in, be denied the benefits of, or
be otherwise subjected to discrimina-
tion under a program or activity re-
ceiving Federal financial assistance
from ACTION.

§ 1203.2 Application of this part.

(a) This part applies to each program
for which Federal financial assistance
is authorized under a law administered
by ACTION, including the types of Fed-
eral financial assistance listed in ap-
pendix A to this part. It also applies to
money paid, property transferred, or
other Federal financial assistance ex-
tended after the effective date of this
part pursuant to an application ap-
proved before that effective date. This
part does not apply to:

(1) Federal financial assistance by
way of insurance or guaranty con-
tracts;

(2) Money paid, property transferred,
or other assistance extended before the
effective date of this part, except when
the assistance was subject to the title
VI regulations of an agency whose re-
sponsibilities are now exercised by AC-
TION;

(3) Assistance to any individual who
is the ultimate beneficiary; or

(4) Employment practices, under a
program, of an employer, employment
agency, or labor organization, except
to the extent described in §1203.4(c).

The fact that a type of Federal finan-
cial assistance is not listed in Appendix
A to this part does not mean, if title VI
is otherwise applicable, that a program
is not covered. Other types of Federal
financial assistance under statutes now
in force or hereinafter enacted may be
added to Appendix A to this part.

(b) In a program receiving Federal fi-
nancial assistance in the form, or for
the acquisition, of real property or an
interest in real property, to the extent
that rights to space on, over, or under
that property are included, the non-
discrimination requirement of this part
extends to a facility located wholly or
in part in that space.

[39 FR 27322, July 26, 1974, as amended at 68
FR 51387, Aug. 26, 2003]

§ 1203.3 Definitions.

Unless the context requires other-
wise, in this part:

(a) *Applicant* means a person who sub-
mits an application, request, or plan
required to be approved by ACTION, or
by a primary recipient, as a condition
to eligibility for Federal financial as-
sistance, and “application” means that
application, request, or plan.

(b) *Facility* includes all or any part of
structures, equipment, or other real or
personal property or interests therein,
and the provision of facilities includes
the construction, expansion, renova-
tion, remodeling, alteration, or acqui-
sition of facilities.

(c) *Federal financial assistance* in-
cludes:

- (1) Grants and loans of Federal funds;
(2) The grant or donation of Federal
property and interests in property;
(3) The detail of Federal personnel;

§ 1203.4

45 CFR Ch. XII (10-1-06 Edition)

(4) The sale and lease of, and the permission to use (on other than a casual or transient basis), Federal property or any interest in the property without consideration or at a nominal consideration, or at a consideration which is reduced for the purpose of assisting the recipient, or in recognition of the public interest to be served by the sale or lease to the recipient; and

(5) A Federal agreement, arrangement, or other contract which has as one of its purposes the provision of assistance.

(d) *Primary recipient* means a recipient that is authorized or required to extend Federal financial assistance to another recipient.

(e) *Program or activity* and *program* mean all of the operations of any entity described in paragraphs (e)(1) through (4) of this section, any part of which is extended Federal financial assistance:

(1)(i) A department, agency, special purpose district, or other instrumentality of a State or of a local government; or

(ii) The entity of such State or local government that distributes such assistance and each such department or agency (and each other State or local government entity) to which the assistance is extended, in the case of assistance to a State or local government;

(2)(i) A college, university, or other postsecondary institution, or a public system of higher education; or

(ii) A local educational agency (as defined in 20 U.S.C. 7801), system of vocational education, or other school system;

(3)(i) An entire corporation, partnership, or other private organization, or an entire sole proprietorship—

(A) If assistance is extended to such corporation, partnership, private organization, or sole proprietorship as a whole; or

(B) Which is principally engaged in the business of providing education, health care, housing, social services, or parks and recreation; or

(ii) The entire plant or other comparable, geographically separate facility to which Federal financial assistance is extended, in the case of any

other corporation, partnership, private organization, or sole proprietorship; or

(4) Any other entity which is established by two or more of the entities described in paragraph (e)(1), (2), or (3) of this section.

(f) *Recipient* may mean any State, the District of Columbia, the Commonwealth of Puerto Rico, a territory or possession of the United States, or any political subdivision thereof, or instrumentality thereof, any public or private agency, institution, or organization, or other entity, or any individual in any State, the District of Columbia, the Commonwealth of Puerto Rico, or territory or possession of the United States, to whom Federal financial assistance is extended, directly or through another recipient, including any successor, assignee, or transferee thereof, but the term does not include any ultimate beneficiary.

(g) *Director* means the Director of ACTION or any person to whom he has delegated his authority in the matter concerned.

[39 FR 27322, July 26, 1974, as amended at 68 FR 51387, Aug. 26, 2003]

§ 1203.4 Discrimination prohibited.

(a) *General*. A person in the United States shall not, on the ground of race, color, or national origin be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under, a program to which this part applies.

(b) *Specific discriminatory actions prohibited*. (1) A recipient to which this part applies may not, directly or through contractual or other arrangements, on the ground of race, color, or national origin—

(i) Deny a person a service, financial aid, or other benefit provided under the program;

(ii) Provide a service, financial aid, or other benefit to a person which is different, or is provided in a different manner, from that provided to others under the program;

(iii) Subject a person to segregation or separate treatment in any matter related to his receipt of a service, financial aid, or other benefit under the program;

(iv) Restrict a person in any way in the enjoyment of an advantage or