

(3) Complete records showing any financial interests held by clinical investigators as set forth in §54.4(a)(3)(iii) and (a)(3)(iv).

(b) *Requirements for maintenance of clinical investigators' financial records.*

(1) For any application submitted for a covered product, an applicant shall retain records as described in paragraph (a) of this section for 2 years after the date of approval of the application.

(2) The person maintaining these records shall, upon request from any properly authorized officer or employee of FDA, at reasonable times, permit such officer or employee to have access to and copy and verify these records.

## PART 56—INSTITUTIONAL REVIEW BOARDS

### Subpart A—General Provisions

Sec.

- 56.101 Scope.
- 56.102 Definitions.
- 56.103 Circumstances in which IRB review is required.
- 56.104 Exemptions from IRB requirement.
- 56.105 Waiver of IRB requirement.

### Subpart B—Organization and Personnel

- 56.107 IRB membership.

### Subpart C—IRB Functions and Operations

- 56.108 IRB functions and operations.
- 56.109 IRB review of research.
- 56.110 Expedited review procedures for certain kinds of research involving no more than minimal risk, and for minor changes in approved research.
- 56.111 Criteria for IRB approval of research.
- 56.112 Review by institution.
- 56.113 Suspension or termination of IRB approval of research.
- 56.114 Cooperative research.

### Subpart D—Records and Reports

- 56.115 IRB records.

### Subpart E—Administrative Actions for Noncompliance

- 56.120 Lesser administrative actions.
- 56.121 Disqualification of an IRB or an institution.
- 56.122 Public disclosure of information regarding revocation.
- 56.123 Reinstatement of an IRB or an institution.
- 56.124 Actions alternative or additional to disqualification.

AUTHORITY: 21 U.S.C. 321, 343, 346, 346a, 348, 350a, 350b, 351, 352, 353, 355, 360, 360c–360f, 360h–360j, 371, 379e, 381; 42 U.S.C. 216, 241, 262, 263b–263n.

SOURCE: 46 FR 8975, Jan. 27, 1981, unless otherwise noted.

## Subpart A—General Provisions

### § 56.101 Scope.

(a) This part contains the general standards for the composition, operation, and responsibility of an Institutional Review Board (IRB) that reviews clinical investigations regulated by the Food and Drug Administration under sections 505(i) and 520(g) of the act, as well as clinical investigations that support applications for research or marketing permits for products regulated by the Food and Drug Administration, including foods, including dietary supplements, that bear a nutrient content claim or a health claim, infant formulas, food and color additives, drugs for human use, medical devices for human use, biological products for human use, and electronic products. Compliance with this part is intended to protect the rights and welfare of human subjects involved in such investigations.

(b) References in this part to regulatory sections of the Code of Federal Regulations are to chapter I of title 21, unless otherwise noted.

[46 FR 8975, Jan. 27, 1981, as amended at 64 FR 399, Jan. 5, 1999; 66 FR 20599, Apr. 24, 2001]

### § 56.102 Definitions.

As used in this part:

(a) *Act* means the Federal Food, Drug, and Cosmetic Act, as amended (secs. 201–902, 52 Stat. 1040 *et seq.*, as amended (21 U.S.C. 321–392)).

(b) *Application for research or marketing permit* includes:

(1) A color additive petition, described in part 71.

(2) Data and information regarding a substance submitted as part of the procedures for establishing that a substance is generally recognized as safe for a use which results or may reasonably be expected to result, directly or indirectly, in its becoming a component or otherwise affecting the characteristics of any food, described in §170.35.