

**Fiscal Service, Treasury**

**§ 306.43**

TABLE II—DECIMAL FOR 1 DAY'S INTEREST ON \$1,000 AT VARIOUS RATES OF INTEREST, PAYABLE ANNUALLY OR ON AN ANNUAL BASIS, IN REGULAR YEARS OF 365 DAYS AND IN LEAP YEARS OF 366 DAYS—Continued

Rate per annum (percent)	Regular year, 365 days	Leap year, 366 days
6 <sup>7</sup> / <sub>8</sub> .....	.188 356 164	.187 841 530
7 .....	.191 780 822	.191 256 831
7 <sup>1</sup> / <sub>8</sub> .....	.195 205 479	.194 672 131
7 <sup>1</sup> / <sub>4</sub> .....	.198 630 137	.198 087 432
7 <sup>3</sup> / <sub>8</sub> .....	.202 054 795	.201 502 732
7 <sup>1</sup> / <sub>2</sub> .....	.205 479 452	.204 918 033
7 <sup>5</sup> / <sub>8</sub> .....	.208 904 110	.208 333 333
7 <sup>3</sup> / <sub>4</sub> .....	.212 328 767	.211 748 634
7 <sup>7</sup> / <sub>8</sub> .....	.215 753 425	.215 163 934
8 .....	.219 178 082	.218 579 235
8 <sup>1</sup> / <sub>8</sub> .....	.222 602 740	.221 994 536
8 <sup>1</sup> / <sub>4</sub> .....	.226 027 397	.225 409 836
8 <sup>3</sup> / <sub>8</sub> .....	.229 452 055	.228 825 137
8 <sup>1</sup> / <sub>2</sub> .....	.232 876 712	.232 240 437
8 <sup>5</sup> / <sub>8</sub> .....	.236 301 370	.235 655 738
8 <sup>3</sup> / <sub>4</sub> .....	.239 726 027	.239 071 038
8 <sup>7</sup> / <sub>8</sub> .....	.243 150 685	.242 486 339
9 .....	.246 575 342	.245 901 639
9 <sup>1</sup> / <sub>8</sub> .....	.250 000 000	.249 316 940
9 <sup>1</sup> / <sub>4</sub> .....	.253 424 658	.252 732 240
9 <sup>3</sup> / <sub>8</sub> .....	.256 849 315	.256 147 541
9 <sup>1</sup> / <sub>2</sub> .....	.260 273 973	.259 562 842
9 <sup>5</sup> / <sub>8</sub> .....	.263 698 630	.262 978 142
9 <sup>3</sup> / <sub>4</sub> .....	.267 123 288	.266 393 443
9 <sup>7</sup> / <sub>8</sub> .....	.270 547 945	.269 808 743
10 .....	.273 972 603	.273 224 044
10 <sup>1</sup> / <sub>8</sub> .....	.277 397 260	.276 639 344
10 <sup>1</sup> / <sub>4</sub> .....	.280 821 918	.280 054 645
10 <sup>3</sup> / <sub>8</sub> .....	.284 246 575	.283 469 945
10 <sup>1</sup> / <sub>2</sub> .....	.287 671 233	.286 885 246
10 <sup>5</sup> / <sub>8</sub> .....	.291 095 890	.290 300 546
10 <sup>3</sup> / <sub>4</sub> .....	.294 520 548	.293 715 847
10 <sup>7</sup> / <sub>8</sub> .....	.297 945 205	.297 131 148
11 .....	.301 369 863	.300 546 448
11 <sup>1</sup> / <sub>8</sub> .....	.304 794 521	.303 961 749
11 <sup>1</sup> / <sub>4</sub> .....	.308 219 178	.307 377 049
11 <sup>3</sup> / <sub>8</sub> .....	.311 643 836	.310 792 350
11 <sup>1</sup> / <sub>2</sub> .....	.315 068 493	.314 207 650
11 <sup>5</sup> / <sub>8</sub> .....	.318 493 151	.317 622 951
11 <sup>3</sup> / <sub>4</sub> .....	.321 917 808	.321 038 251
11 <sup>7</sup> / <sub>8</sub> .....	.325 342 466	.324 453 552
12 .....	.328 767 123	.327 868 852

[38 FR 7078, Mar. 15, 1973; 38 FR 8153, Mar. 29, 1973; 38 FR 10004, Apr. 23, 1973, as amended at 44 FR 34125, June 14, 1979]

**Subpart F—Assignments of Registered Securities—General**

**§ 306.40 Execution of assignments.**

The assignment of a registered security should be executed by the owner, or his or her authorized representative, in the presence of an individual authorized to certify assignments. All assignments must be made on the backs of the securities, unless otherwise authorized by the Bureau. An assignment by mark (X) must be witnessed not only

by a certifying individual, but also by at least one other person, who should add an endorsement substantially as follows: "Witness to signature by mark," followed by the witness' signature and address.

[59 FR 59036, Nov. 15, 1994, as amended by 64 FR 38126, July 15, 1999]

**§ 306.41 Form of assignment.**

Registered securities may be assigned in blank, to bearer, to a specified transferee, or to the Secretary of the Treasury for redemption or for exchange for other securities offered at maturity, upon call or pursuant to an advance refunding or prerefunding offer. Assignments to "The Secretary of the Treasury," "The Secretary of the Treasury for transfer," or "The Secretary of the Treasury for exchange" will not be accepted unless supplemented by specific instructions by or in behalf of the owner.

[38 FR 7078, Mar. 15, 1973, as amended at 64 FR 38126, July 15, 1999]

**§ 306.42 Alterations and erasures.**

If an alteration or erasure has been made in an assignment, the assignor should appear before an authorized certifying officer and execute a new assignment to the same assignee. If the new assignment is to other than the assignee whose name has been altered or erased, a disclaimer from the first-named assignee should be obtained. Otherwise, an affidavit of explanation by the person responsible for the alteration or erasure should be submitted for consideration.

**§ 306.43 Voidance of assignments.**

An assignment of a security to or for the account of another person, not completed by delivery, may be voided by a disclaimer of interest from that person. This disclaimer should be executed in the presence of an officer authorized to certify assignments of securities. Unless otherwise authorized by the Bureau, the disclaimer must be written, typed, or stamped on the back of the security in substantially the following form:

The undersigned as assignee of this security hereby disclaims any interest herein.

(Signature)

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I certify that the above-named person as described, whose identity is well known or proved to me, personally appeared before me the \_\_\_\_\_ day of \_\_\_\_\_ (Month and year) at \_\_\_\_\_ (Place) and signed the above disclaimer of interest.

(SEAL) \_\_\_\_\_  
(Signature and official designation of certifying officer)

In the absence of a disclaimer, an affidavit or affidavits should be submitted for consideration explaining why a disclaimer cannot be obtained, reciting all other material facts and circumstances relating to the transaction, including whether or not the security was delivered to the person named as assignee and whether or not the affiants know of any basis for the assignee claiming any right, title, or interest in the security. After an assignment has been voided, in order to dispose of the security, an assignment by or on behalf of the owner will be required.

[38 FR 7078, Mar. 15, 1973, as amended at 64 FR 38126, July 15, 1999]

**§ 306.44 Discrepancies in names.**

The Department will ordinarily require an explanation of discrepancies in the names which appear in inscriptions, assignments, supporting evidence or in the signatures to any assignments. (Form PD 385 may be used for this purpose.) However, where the variations in the name of the registered owner, as inscribed on securities of the same or different issues, are such that both may properly represent the same person, for example, "J. T. Smith" and "John T. Smith," no proof of identity will be required if the assignments are signed exactly as the securities are inscribed and are duly certified by the same certifying officer.

**§ 306.45 Certifying individuals.**

(a) *General.* The following individuals may certify assignments of, or forms with respect to, securities:

(1) Officers and employees of depository institutions, corporate central credit unions, and institutions that are members of Treasury-recognized signature guarantee programs who have been authorized:

(i) Generally to bind their respective institutions by their acts;

(ii) Unqualifiedly to guarantee signatures to assignments of securities; or

(iii) To certify assignments of securities.

(2) Officers and authorized employees of Federal Reserve Banks and branches.

(3) Officers of Federal Land Banks, Federal Intermediate Credit Banks and Banks for Cooperatives, and Federal Home Loan Banks.

(4) Commissioned officers and warrant officers of the Armed Forces of the United States but only with respect to signatures executed by Armed Forces personnel, civilian field employees, and members of their families.

(5) U.S. Attorneys, Collectors of Customs, and Regional Commissioners, District Directors, and Service Center Directors, Internal Revenue Service.

(6) Judges and Clerks of U.S. Courts.

(7) Such other persons as the Commissioner of the Public Debt or his designee may authorize.

(b) *Foreign countries.* The following individuals are authorized to certify assignments of, or forms with respect to, securities executed in a foreign country:

(1) United States diplomatic or consular officials.

(2) Managers and officers of foreign branches of depository institutions and institutions that are members of Treasury-recognized signature guarantee programs.

(3) Notaries public and other officers authorized to administer oaths, provided their official position and authority are certified by a United States diplomatic or consular official under seal of the office.

(c) *Duties and liabilities of certifying individuals*—(1) *General.* Except as specified in paragraph (c)(2) of this section, a certifying individual shall require that the security or related form be signed in the certifying individual's presence after he or she has established the identity of the person seeking the certification. An employee who is not an officer should insert the words "Authorized signature" in the space provided for the title. A certifying individual and the organization for which he or she is acting are jointly and severally liable for any loss the United