

## § 1065.595

(9) Follow the instructions given in paragraphs (g) through (i) of this section.

### § 1065.595 PM sample post-conditioning and total weighing.

(a) Make sure the weighing and PM stabilization environments have met the periodic verifications in § 1065.390.

(b) In the PM-stabilization environment, remove PM samples from sealed containers. If you use filters, you may remove them from their cassettes before or after stabilization. When you remove a filter from a cassette, separate the top half of the cassette from the bottom half using a cassette separator designed for this purpose.

(c) To handle PM samples, use electrically grounded tweezers or a grounding strap, as described in § 1065.190.

(d) Visually inspect PM samples. If PM ever contacts the transport container, cassette assembly, filter-separator tool, tweezers, static neutralizer, balance, or any other surface, void the measurements associated with that sample and clean the surface it contacted.

(e) To stabilize PM samples, place them in one or more containers that are open to the PM-stabilization environment, which is described in § 1065.190. A PM sample is stabilized as long as it has been in the PM-stabilization environment for one of the following durations, during which the stabilization environment has been within the specifications of § 1065.190:

(1) If you expect that a filter's total surface concentration of PM will be greater than about 0.473 mm/mm<sup>2</sup>, expose the filter to the stabilization environment for at least 60 minutes before weighing.

(2) If you expect that a filter's total surface concentration of PM will be less than about 0.473 mm/mm<sup>2</sup>, expose the filter to the stabilization environment for at least 30 minutes before weighing.

(3) If you are unsure of a filter's total surface concentration of PM, expose the filter to the stabilization environment for at least 60 minutes before weighing.

(f) Repeat the procedures in § 1065.590(f) through (i) to weigh used PM samples. Refer to a sample's post-

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test mass, after correcting for buoyancy, as its total mass.

(g) Subtract each buoyancy-corrected tare mass from its respective buoyancy-corrected total mass. The result is the net PM mass,  $m_{PM}$ . Use  $m_{PM}$  in emission calculations in § 1065.650.

### Subpart G—Calculations and Data Requirements

#### § 1065.601 Overview.

(a) This subpart describes how to—

(1) Use the signals recorded before, during, and after an emission test to calculate brake-specific emissions of each regulated constituent.

(2) Perform calculations for calibrations and performance checks.

(3) Determine statistical values.

(b) You may use data from multiple systems to calculate test results for a single emission test, consistent with good engineering judgment. You may not use test results from multiple emission tests to report emissions. We allow weighted means where appropriate. You may discard statistical outliers, but you must report all results.

(c) You may use any of the following calculations instead of the calculations specified in this subpart G:

(1) Mass-based emission calculations prescribed by the International Organization for Standardization (ISO), according to ISO 8178.

(2) Other calculations that you show are equivalent to within  $\pm 0.1\%$  of the brake-specific emission results determined using the calculations specified in this subpart G.

#### § 1065.602 Statistics.

(a) *Overview.* This section contains equations and example calculations for statistics that are specified in this part. In this section we use the letter "y" to denote a generic measured quantity, the superscript over-bar " $\bar{y}$ " to denote an arithmetic mean, and the subscript "<sub>ref</sub>" to denote the reference quantity being measured.

(b) *Arithmetic mean.* Calculate an arithmetic mean,  $\bar{y}$ , as follows:

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$$\bar{y} = \frac{\sum_{i=1}^{10} y_i}{N} \quad \text{Eq. 1065.602-1}$$

*Example:*

$$N = 3$$

$$y_1 = 10.60$$

$$y_2 = 11.91$$

$$y_N = y_3 = 11.09$$

$$\begin{aligned} \bar{y} &= \frac{10.60 + 11.91 + 11.09}{3} \\ \bar{y} &= 11.20 \end{aligned}$$

(c) *Standard deviation.* Calculate the standard deviation for a non-biased (e.g.,  $N-1$ ) sample,  $\sigma$ , as follows:

$$\sigma_y = \sqrt{\frac{\sum_{i=1}^N (y_i - \bar{y})^2}{(N-1)}} \quad \text{Eq. 1065.602-2}$$

*Example:*

$$N = 3$$

$$y_1 = 10.60$$

$$y_2 = 11.91$$

$$y_N = y_3 = 11.09$$

$$\bar{y} = 11.20$$

$$\sigma_y = \sqrt{\frac{(10.60 - 11.2)^2 + (11.91 - 11.2)^2 + (11.09 - 11.2)^2}{2}}$$

$$\sigma_y = 0.6619$$

(d) *Root mean square.* Calculate a root mean square,  $rms_y$ , as follows:

$$rms_y = \sqrt{\frac{1}{N} \sum_{i=1}^N y_i^2} \quad \text{Eq. 1065.602-3}$$

*Example:*

$$N = 3$$

$$y_1 = 10.60$$

$$y_2 = 11.91$$

$$y_N = y_3 = 11.09$$

$$\begin{aligned} rms_y &= \sqrt{\frac{10.60^2 + 11.91^2 + 11.09^2}{3}} \\ rms_y &= 11.21 \end{aligned}$$

(e) *Accuracy.* Calculate an accuracy, as follows, noting that the  $\bar{y}_i$  are arithmetic means, each determined by repeatedly measuring one sample of a single reference quantity,  $y_{ref}$ .

$$\text{accuracy} = |\bar{y}_{ref} - \bar{y}| \quad \text{Eq. 1065.602-4}$$

*Example:*

$$y_{ref} = 1800.0$$

$$N = 10$$

$$\bar{y} = \frac{\sum_{i=1}^{10} \bar{y}_i}{10} = 1802.5$$

$$\text{accuracy} = |1800.0 - 1802.5|$$

$$\text{accuracy} = 2.5$$

(f) *t-test.* Determine if your data passes a *t*-test by using the following equations and tables:

(1) For an unpaired *t*-test, calculate the *t* statistic and its number of degrees of freedom,  $v$ , as follows:

$$t = \frac{|\bar{y}_{ref} - \bar{y}|}{\sqrt{\frac{\sigma_{ref}^2}{N_{ref}} + \frac{\sigma_y^2}{N}}} \quad \text{Eq. 1065.602-5}$$

$$v = \frac{\left( \frac{\sigma_{ref}^2}{N_{ref}} + \frac{\sigma_y^2}{N} \right)^2}{\frac{\left( \sigma_{ref}^2 / N_{ref} \right)^2}{N_{ref}-1} + \frac{\left( \sigma_y^2 / N \right)^2}{N-1}} \quad \text{Eq. 1065.602-6}$$

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*Example:*

$$\bar{y}_{\text{ref}} = 1205.3$$

$$\bar{y} = 1123.8$$

$$\sigma_{\text{ref}} = 9.399$$

$$\sigma_y = 10.583$$

$$N_{\text{ref}} = 11$$

$$N = 7$$

$$t = \frac{|1205.3 - 1123.8|}{\sqrt{\frac{9.399^2}{11} + \frac{10.583^2}{7}}}$$

$$t = 16.63$$

$$\sigma_{\text{ref}} = 9.399$$

$$\sigma_y = 10.583$$

$$N_{\text{ref}} = 11$$

$$N = 7$$

$$t = \frac{|1205.3 - 1123.8|}{\sqrt{\frac{9.399^2}{11} + \frac{10.583^2}{7}}}$$

$$v = 11.76$$

(2) For a paired *t*-test, calculate the *t* statistic and its number of degrees of freedom, *v*, as follows, noting that the  $\varepsilon_i$  are the errors (e.g., differences) between each pair of  $y_{\text{ref}i}$  and  $y_i$ :

$$t = \frac{|\bar{\varepsilon}| \cdot \sqrt{N}}{\sigma_{\varepsilon}} \quad \text{Eq. 1065.602-7}$$

*Example:*

$$\bar{\varepsilon} = -0.12580$$

$$N = 16$$

$$\sigma_{\varepsilon} = 0.04837$$

$$t = \frac{|-0.12580| \cdot \sqrt{16}}{0.04837}$$

$$t = 10.403$$

$$v = N - 1$$

*Example:*

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$$N = 16$$

$$v = 16 - 1$$

$$v = 15$$

(3) Use Table 1 of this section to compare *t* to the  $t_{\text{crit}}$  values tabulated versus the number of degrees of freedom. If *t* is less than  $t_{\text{crit}}$ , then *t* passes the *t*-test.

TABLE 1 OF § 1065.602—CRITICAL *t* VALUES VERSUS NUMBER OF DEGREES OF FREEDOM, *v*<sup>1</sup>

<i>v</i>	Confidence	
	90%	95%
1 .....	6.314	12.706
2 .....	2.920	4.303
3 .....	2.353	3.182
4 .....	2.132	2.776
5 .....	2.015	2.571
6 .....	1.943	2.447
7 .....	1.895	2.365
8 .....	1.860	2.306
9 .....	1.833	2.262
10 .....	1.812	2.228
11 .....	1.796	2.201
12 .....	1.782	2.179
13 .....	1.771	2.160
14 .....	1.761	2.145
15 .....	1.753	2.131
16 .....	1.746	2.120
18 .....	1.734	2.101
20 .....	1.725	2.086
22 .....	1.717	2.074
24 .....	1.711	2.064
26 .....	1.706	2.056
28 .....	1.701	2.048
30 .....	1.697	2.042
35 .....	1.690	2.030
40 .....	1.684	2.021
50 .....	1.676	2.009
70 .....	1.667	1.994
100 .....	1.660	1.984
1000+ .....	1.645	1.960

<sup>1</sup>Use linear interpolation to establish values not shown here.

(g) *F*-test. Calculate the *F* statistic as follows:

$$F_y = \frac{\sigma_y^2}{\sigma_{\text{ref}}^2} \quad \text{Eq. 1065.602-8}$$

*Example:*

$$\sigma_y = \sqrt{\frac{\sum_{i=1}^N (y_i - \bar{y})^2}{(N-1)}} = 10.583$$

$$\sigma_{ref} = \sqrt{\frac{\sum_{i=1}^{N_{ref}} (y_{refi} - \bar{y}_{ref})^2}{(N_{ref}-1)}} = 9.399$$

$$F = \frac{10.583^2}{9.399^2}$$

$F = 1.268$

(1) For a 90% confidence  $F$ -test, use Table 2 of this section to compare  $F$  to the  $F_{crit90}$  values tabulated versus  $(N-1)$  and  $(N_{ref}-1)$ . If  $F$  is less than  $F_{crit90}$ , then  $F$  passes the  $F$ -test at 90% confidence.

(2) For a 95% confidence  $F$ -test, use Table 3 of this section to compare  $F$  to the  $F_{crit95}$  values tabulated versus  $(N-1)$  and  $(N_{ref}-1)$ . If  $F$  is less than  $F_{crit95}$ , then  $F$  passes the  $F$ -test at 95% confidence.





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$$a_{1y} = \frac{\sum_{i=1}^N (y_i - \bar{y}) \cdot (y_{refi} - \bar{y}_{ref})}{\sum_{i=1}^N (y_{refi} - \bar{y}_{ref})^2} \quad \text{Eq. 1065.602-9}$$

*Example:*

$$\begin{aligned} N &= 6000 \\ y_1 &= 2045.8 \end{aligned}$$

$$\begin{aligned} \bar{y} &= 1051.1 \\ y_{ref1} &= 2045.0 \\ \bar{y}_{ref} &= 1055.3 \end{aligned}$$

$$a_{1y} = \frac{(2045.8 - 1050.1) \cdot (2045.0 - 1055.3) + \dots + (y_{6000} - 1050.1) \cdot (y_{ref6000} - 1055.3)}{(2045.0 - 1055.3)^2 + \dots + (y_{ref6000} - 1055.3)^2}$$

$$a_{1y} = 1.0110$$

(i) *Intercept.* Calculate a least-squares regression intercept,  $a_{0y}$ , as follows:

$$a_{0y} = \bar{y} - (a_{1y} \cdot \bar{y}_{ref}) \quad \text{Eq. 1065.602-10}$$

*Example:*

$$\begin{aligned} \bar{y} &= 1050.1 \\ a_{1y} &= 1.0110 \\ \bar{y}_{ref} &= 1055.3 \\ a_{0y} &= 1050.1 - (1.0110 \cdot 1055.3) \end{aligned}$$

$$a_{0y} = -16.8083$$

(j) *Standard estimate of error.* Calculate a standard estimate of error,  $SEE$ , as follows:

$$SEE_y = \sqrt{\frac{\sum_{i=1}^N [y_i - a_{0y} - (a_{1y} \cdot y_{refi})]^2}{N-2}} \quad \text{Eq. 1065.602-11}$$

*Example:*

$$\begin{aligned} N &= 6000 \\ y_1 &= 2045.8 \end{aligned}$$

$$a_{0y} = -16.8083$$

$$\begin{aligned} a_{1y} &= 1.0110 \\ y_{ref1} &= 2045.0 \end{aligned}$$

$$SEE_y = \sqrt{\frac{[2045.8 - (-16.8083) - (1.0110 \cdot 2045.0)]^2 + \dots + [y_{6000} - (-16.8083) - (1.0110 \cdot y_{ref6000})]^2}{6000-2}}$$

$$SEE_y = 5.348$$

(k) *Coefficient of determination.* Calculate a coefficient of determination,  $r^2$ , as follows:

$$r_y^2 = 1 - \frac{\sum_{i=1}^N [y_i - a_{0y} - (a_{1y} \cdot y_{refi})]^2}{\sum_{i=1}^N [y_i - \bar{y}]^2} \quad \text{Eq. 1065.602-12}$$

*Example:*

$N = 6000$

$y_l = 2045.8$

$a_{0y} = -16.8083$

$a_{1y} = 1.0110$

$y_{refi} = 2045.0$

$\bar{y} = 1480.5$

$$r_y^2 = 1 - \frac{[2045.8 - (-16.8083) - (1.0110 \times 2045.0)]^2 + K [y_{6000} - (-16.8083) - (1.0110 \cdot y_{ref6000})]^2}{[2045.8 - 1480.5]^2 + K [y_{6000} - 1480.5]^2}$$

$$r_y^2 = 0.9859$$

(l) *Flow-weighted mean concentration.* In some sections of this part, you may need to calculate a flow-weighted mean concentration to determine the applicability of certain provisions. A flow-weighted mean is the mean of a quantity after it is weighted proportional to a corresponding flow rate. For example, if a gas concentration is measured continuously from the raw exhaust of an engine, its flow-weighted mean concentration is the sum of the products of each recorded concentration times its respective exhaust molar flow rate, divided by the sum of the recorded flow rate values. As another example, the bag concentration from a CVS system is the same as the flow-weighted mean concentration because the CVS system itself flow-weights the bag concentration. You might already expect a certain flow-weighted mean concentration of an emission at its standard based on previous testing with similar engines or testing with similar equipment and instruments. If you need to estimate your expected flow-weighted mean concentration of an emission at its standard, we recommend using the following examples as a guide for how to estimate the flow-weighted mean concentration expected at the standard. Note that these examples are not exact and that they contain assumptions that are not always valid. Use good en-

gineering judgement to determine if you can use similar assumptions.

(1) To estimate the flow-weighted mean raw exhaust NO<sub>x</sub> concentration from a turbocharged heavy-duty compression-ignition engine at a NO<sub>x</sub> standard of 2.5 g/(kW·hr), you may do the following:

(i) Based on your engine design, approximate a map of maximum torque versus speed and use it with the applicable normalized duty cycle in the standard-setting part to generate a reference duty cycle as described in § 1065.610. Calculate the total reference work,  $W_{ref}$ , as described in § 1065.650. Divide the reference work by the duty cycle's time interval,  $\Delta t_{duty cycle}$ , to determine mean reference power,  $\dot{P}_{ref}$ .

(ii) Based on your engine design, estimate maximum power,  $P_{max}$ , the design speed at maximum power,  $f_{max}$ , the design maximum intake manifold boost pressure,  $p_{inmax}$ , and temperature,  $T_{inmax}$ . Also, estimate a mean fraction of power that is lost due to friction and pumping,  $\dot{P}_{frict}$ . Use this information along with the engine displacement volume,  $V_{disp}$ , an approximate volumetric efficiency,  $\eta_v$ , and the number of engine strokes per power stroke (2-stroke or 4-stroke),  $N_{stroke}$  to estimate the maximum raw exhaust molar flow rate,  $\dot{n}_{exhmax}$ .

(iii) Use your estimated values as described in the following example calculation:

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$$\bar{x}_{\text{exp}} = \frac{e_{\text{std}} \cdot W_{\text{ref}}}{M \cdot \dot{n}_{\text{exhmax}} \cdot \Delta t_{\text{duty cycle}} \cdot \left( \frac{\bar{P}_{\text{ref}} + (\bar{P}_{\text{frict}} \cdot P_{\text{max}})}{P_{\text{max}}} \right)} \quad \text{Eq. 1065.602-13}$$

$$\dot{n}_{\text{exhmax}} = \frac{P_{\text{max}} \cdot V_{\text{disp}} \cdot f_{\text{nmax}} \cdot \frac{2}{N_{\text{stroke}}} \cdot \eta_v}{R \cdot T_{\text{max}}} \quad \text{Eq. 1065.602-14}$$

*Example:*

$$e_{\text{NO}_x} = 2.5 \text{ g}/(\text{kW} \cdot \text{hr})$$

$$W_{\text{ref}} = 11.883 \text{ kW} \cdot \text{hr}$$

$$M_{\text{NO}_x} = 46.0055 \text{ g/mol} = 46.0055 \cdot 10^{-6} \text{ g}/\mu\text{mol}$$

$$\Delta t_{\text{duty cycle}} = 20 \text{ min} = 1200 \text{ s}$$

$$\bar{P}_{\text{ref}} = 35.65 \text{ kW}$$

$$\bar{P}_{\text{frict}} = 15\%$$

$$P_{\text{max}} = 125 \text{ kW}$$

$$p_{\text{max}} = 300 \text{ kPa} = 300000 \text{ Pa}$$

$$V_{\text{disp}} = 3.011 = 0.0030 \text{ m}^3$$

$$f_{\text{nmax}} = 2800 \text{ rev/min} = 46.67 \text{ rev/s}$$

$$N_{\text{stroke}} = 4 \text{ 1/rev}$$

$$\eta_v = 0.9$$

$$R = 8.314472 \text{ J}/(\text{mol} \cdot \text{K})$$

$$T_{\text{max}} = 348.15 \text{ K}$$

$$\dot{n}_{\text{exhmax}} = \frac{300 \cdot 3.0 \cdot 47.67 \cdot \frac{2}{4} \cdot 0.9}{8.314472 \cdot 348.15}$$

$$\dot{n}_{\text{exhmax}} = 6.53 \text{ mol/s}$$

$$\bar{x}_{\text{exp}} = \frac{2.5 \cdot 11.883}{46.0055 \cdot 10^{-6} \cdot 6.53 \cdot 1200 \cdot \left( \frac{35.65 + (0.15 \cdot 125)}{125} \right)}$$

$$\bar{x}_{\text{exp}} = 189.4 \mu\text{mol/mol}$$

(2) To estimate the flow-weighted mean NMHC concentration in a CVS from a naturally aspirated nonroad spark-ignition engine at an NMHC standard of 0.5 g/(kW-hr), you may do the following:

(i) Based on your engine design, approximate a map of maximum torque versus speed and use it with the applicable normalized duty cycle in the

standard-setting part to generate a reference duty cycle as described in § 1065.610. Calculate the total reference work,  $W_{\text{ref}}$ , as described in § 1065.650.

(ii) Multiply your CVS total molar flow rate by the time interval of the duty cycle,  $\Delta t_{\text{duty cycle}}$ . The result is the total diluted exhaust flow of the  $n_{\text{exh}}$ .

(iii) Use your estimated values as described in the following example calculation:

$$\bar{x}_{\text{NMHC}} = \frac{e_{\text{std}} \cdot W_{\text{ref}}}{M \cdot \dot{n}_{\text{dexh}} \cdot \Delta t_{\text{duty cycle}}} \quad \text{Eq. 1065.602-15}$$

*Example:*

$$e_{\text{NMHC}} = 1.5 \text{ g}/(\text{kW} \cdot \text{hr})$$

$$W_{\text{ref}} = 5.389 \text{ kW} \cdot \text{hr}$$

$$M_{\text{NMHC}} = 13.875389 \text{ g/mol} = 13.875389 \cdot 10^{-6} \text{ g}/\mu\text{mol}$$

$$\dot{n}_{\text{dexh}} = 6.021 \text{ mol/s}$$

$$\Delta t_{\text{duty cycle}} = 30 \text{ min} = 1800 \text{ s}$$

$$\bar{x}_{\text{NMHC}} = \frac{1.5 \cdot 5.389}{13.875389 \cdot 10^{-6} \cdot 6.021 \cdot 1800}$$

$$\bar{x}_{\text{NMHC}} = 53.8 \mu\text{mol/mol}$$