

§ 1068.530

§ 1068.530 What records must I keep?

We may review your records at any time, so it is important that you keep required information readily available. Keep records associated with your recall campaign for three years after you send the last report we require under § 1068.525(b). Organize and maintain your records as described in this section.

(a) Keep a paper copy of the written reports described in § 1068.525.

(b) Keep a record of the names and addresses of owners you notified. For each engine, state whether you did any of the following:

- (1) Inspected the engine.
- (2) Disqualified the engine for not being properly maintained or used.
- (3) Completed the prescribed repairs.

(c) You may keep the records in paragraph (b) of this section in any form we can inspect, including computer databases.

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§ 1068.535 How can I do a voluntary recall for emission-related problems?

If we have made a determination that a substantial number of properly maintained and used engines do not conform to the regulations of this chapter during their useful life, you may not use a voluntary recall or other alternate means to meet your obligation to remedy the noncompliance. Thus, this section only applies where you learn that your engine family does not meet the requirements of this chapter and we have not made such a determination.

(a) To do a voluntary recall under this section, first send the Designated Officer a plan, following the guidelines in § 1068.510. Within 15 days, we will send you our comments on your plan.

(b) Once we approve your plan, start notifying owners and carrying out the specified repairs.

(c) From the time you start the recall campaign, send us a report within 25 days of the end of each calendar quarter, following the guidelines in § 1068.525(b). Send reports for six consecutive quarters or until all the engines are inspected, whichever comes first.

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(d) Keep your reports and the supporting information as described in § 1068.530.

Subpart G—Hearings

§ 1068.601 What are the procedures for hearings?

If we agree to hold a hearing related to our decision to order a recall under § 1068.505, we will hold the hearing according to the provisions of 40 CFR 85.1807. For any other issues, you may request an informal hearing, as described in 40 CFR 86.1853–01.

APPENDIX I TO PART 1068—EMISSION-RELATED COMPONENTS

This appendix specifies emission-related components that we refer to for describing such things as emission-related warranty or requirements related to rebuilding engines.

- I. Emission-related components include any engine parts related to the following systems:
 1. Air-induction system.
 2. Fuel system.
 3. Ignition system.
 4. Exhaust gas recirculation systems.
- II. The following parts are also considered emission-related components:
 1. Aftertreatment devices.
 2. Crankcase ventilation valves.
 3. Sensors.
 4. Electronic control units.
- III. Emission-related components also include any other part whose only purpose is to reduce emissions or whose failure will increase emissions without significantly degrading engine performance.

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APPENDIX II TO PART 1068—EMISSION-RELATED PARAMETERS AND SPECIFICATIONS

This appendix specifies emission-related parameters and specifications that we refer to for describing such things as emission-related defects or requirements related to rebuilding engines.

- I. Basic Engine Parameters—Reciprocating Engines.
 1. Compression ratio.
 2. Type of air aspiration (natural, Roots-blown, supercharged, turbocharged).
 3. Valves (intake and exhaust).
 - a. Head diameter dimension.
 - b. Valve lifter or actuator type and valve lash dimension.
 4. Camshaft timing.

- a. Valve opening—intake exhaust (degrees from top-dead center or bottom-dead center).
- b. Valve closing—intake exhaust (degrees from top-dead center or bottom-dead center).
- c. Valve overlap (degrees).
- 5. Ports—two stroke engines (intake and/or exhaust).
 - a. Flow area.
 - b. Opening timing (degrees from top-dead center or bottom-dead center).
 - c. Closing timing (degrees from top-dead center or bottom-dead center).
- II. Intake Air System.
 - 1. Roots blower/supercharger/turbocharger calibration.
 - 2. Charge air cooling.
 - a. Type (air-to-air; air-to-liquid).
 - b. Type of liquid cooling (engine coolant, dedicated cooling system).
 - c. Performance.
 - 3. Temperature control system calibration.
 - 4. Maximum allowable inlet air restriction.
- III. Fuel System.
 - 1. General.
 - a. Engine idle speed.
 - b. Engine idle mixture.
 - 2. Carburetion.
 - a. Air-fuel flow calibration.
 - b. Idle mixture.
 - c. Transient enrichment system calibration.
 - d. Starting enrichment system calibration.
 - e. Altitude compensation system calibration.
 - f. Hot idle compensation system calibration.
 - 3. Fuel injection for spark-ignition engines.
 - a. Control parameters and calibrations.
 - b. Idle mixture.
 - c. Fuel shutoff system calibration.
 - d. Starting enrichment system calibration.
 - e. Transient enrichment system calibration.
 - f. Air-fuel flow calibration.
 - g. Altitude compensation system calibration.
 - h. Operating pressure(s).
 - i. Injector timing calibration.
 - 4. Fuel injection for compression-ignition engines.
 - a. Control parameters and calibrations.
 - b. Transient enrichment system calibration.
 - c. Air-fuel flow calibration.
 - d. Altitude compensation system calibration.
 - e. Operating pressure(s).
 - f. Injector timing calibration.
- IV. Ignition System for Spark-ignition Engines.
 - 1. Control parameters and calibration.
 - 2. Initial timing setting.
 - 3. Dwell setting.
 - 4. Altitude compensation system calibration.
 - 5. Spark plug voltage.
- V. Engine Cooling System—thermostat calibration.
- VI. Exhaust System—maximum allowable back pressure.
- VII. System for Controlling Exhaust Emissions.
 - 1. Air injection system.
 - a. Control parameters and calibrations.
 - b. Pump flow rate.
 - 2. EGR system.
 - a. Control parameters and calibrations.
 - b. EGR valve flow calibration.
 - 3. Catalytic converter system.
 - a. Active surface area.
 - b. Volume of catalyst.
 - c. Conversion efficiency.
 - 4. Backpressure.
- VIII. System for Controlling Crankcase Emissions.
 - 1. Control parameters and calibrations.
 - 2. Valve calibrations.
- IX. Auxiliary Emission Control Devices (AECD).
 - 1. Control parameters and calibrations.
 - 2. Component calibration(s).
- X. System for Controlling Evaporative Emissions.
 - 1. Control parameters and calibrations.
 - 2. Fuel tank.
 - a. Volume.
 - b. Pressure and vacuum relief settings.
- XI. Warning Systems Related to Emission Controls.
 - 1. Control parameters and calibrations.
 - 2. Component calibrations.