

the HDE, any HDE amendment or supplement, any report submitted under § 814.126, any master file, or any other related submission. Any record in the HDE file will be available for public disclosure in accordance with the provisions of this section and part 20 of this chapter.

(b) *Extent of disclosure.* Disclosure by FDA of the existence and contents of an HDE file shall be subject to the same rules that pertain to PMA's under § 814.9(b) through (h), as applicable.

§ 814.124 Institutional Review Board requirements.

(a) *IRB approval.* The HDE holder is responsible for ensuring that a HUD approved under this subpart is administered only in facilities having an Institutional Review Board (IRB) constituted and acting pursuant to part 56 of this chapter, including continuing review of use of the device. In addition, a HUD may be administered only if such use has been approved by the IRB located at the facility or by a similarly constituted IRB that has agreed to oversee such use and to which the local IRB has deferred in a letter to the HDE holder, signed by the IRB chair or an authorized designee. If, however, a physician in an emergency situation determines that approval from an IRB cannot be obtained in time to prevent serious harm or death to a patient, a HUD may be administered without prior approval by the IRB located at the facility or by a similarly constituted IRB that has agreed to oversee such use. In such an emergency situation, the physician shall, within 5 days after the use of the device, provide written notification to the chairman of the IRB of such use. Such written notification shall include the identification of the patient involved, the date on which the device was used, and the reason for the use.

(b) *Withdrawal of IRB approval.* A holder of an approved HDE shall notify FDA of any withdrawal of approval for the use of a HUD by a reviewing IRB within 5 working days after being notified of the withdrawal of approval.

[61 FR 33244, June 26, 1996, as amended at 63 FR 59221, Nov. 3, 1998]

§ 814.126 Postapproval requirements and reports.

(a) An HDE approved under this subpart H shall be subject to the postapproval requirements and reports set forth under subpart E of this part, as applicable, with the exception of § 814.82(a)(7). In addition, medical device reports submitted to FDA in compliance with the requirements of part 803 of this chapter shall also be submitted to the IRB of record.

(b) In addition to the reports identified in paragraph (a) of this section, the holder of an approved HDE shall prepare and submit the following complete, accurate, and timely reports:

(1) *Periodic reports.* An HDE applicant is required to submit reports in accordance with the approval order. Unless FDA specifies otherwise, any periodic report shall include:

(i) An update of the information required under § 814.102(a) in a separately bound volume;

(ii) An update of the information required under § 814.104(b)(2), (b)(3), and (b)(5);

(iii) The number of devices that have been shipped or sold since initial marketing approval under this subpart H and, if the number shipped or sold exceeds 4,000, an explanation and estimate of the number of devices used per patient. If a single device is used on multiple patients, the applicant shall submit an estimate of the number of patients treated or diagnosed using the device together with an explanation of the basis for the estimate;

(iv) Information describing the applicant's clinical experience with the device since the HDE was initially approved. This information shall include safety information that is known or reasonably should be known to the applicant, medical device reports made under part 803 of this chapter, any data generated from the postmarketing studies, and information (whether published or unpublished) that is known or reasonably expected to be known by the applicant that may affect an evaluation of the safety of the device or that may affect the statement of contraindications, warnings, precautions, and adverse reactions in the device's labeling; and

Pt. 820

(v) A summary of any changes made to the device in accordance with supplements submitted under §814.108. If information provided in the periodic reports, or any other information in the possession of FDA, gives the agency reason to believe that a device raises public health concerns or that the criteria for exemption are no longer met, the agency may require the HDE holder to submit additional information to demonstrate continued compliance with the HDE requirements.

(2) *Other.* An HDE holder shall maintain records of the names and addresses of the facilities to which the HUD has been shipped, correspondence with reviewing IRB's, as well as any other information requested by a reviewing IRB or FDA. Such records shall be maintained in accordance with the HDE approval order.

[61 FR 33244, June 26, 1996, as amended at 63 FR 59221, Nov. 3, 1998, 71 FR 16228, Mar. 31, 2006]

**PART 820—QUALITY SYSTEM
REGULATION**

Subpart A—General Provisions

Sec.

- 820.1 Scope.
- 820.3 Definitions.
- 820.5 Quality system.

Subpart B—Quality System Requirements

- 820.20 Management responsibility.
- 820.22 Quality audit.
- 820.25 Personnel.

Subpart C—Design Controls

- 820.30 Design controls.

Subpart D—Document Controls

- 820.40 Document controls.

Subpart E—Purchasing Controls

- 820.50 Purchasing controls.

Subpart F—Identification and Traceability

- 820.60 Identification.
- 820.65 Traceability.

**Subpart G—Production and Process
Controls**

- 820.70 Production and process controls.

21 CFR Ch. I (4–1–08 Edition)

- 820.72 Inspection, measuring, and test equipment.
- 820.75 Process validation.

Subpart H—Acceptance Activities

- 820.80 Receiving, in-process, and finished device acceptance.
- 820.86 Acceptance status.

Subpart I—Nonconforming Product

- 820.90 Nonconforming product.

**Subpart J—Corrective and Preventive
Action**

- 820.100 Corrective and preventive action.

**Subpart K—Labeling and Packaging
Control**

- 820.120 Device labeling.
- 820.130 Device packaging.

**Subpart L—Handling, Storage, Distribution,
and Installation**

- 820.140 Handling.
- 820.150 Storage.
- 820.160 Distribution.
- 820.170 Installation.

Subpart M—Records

- 820.180 General requirements.
- 820.181 Device master record.
- 820.184 Device history record.
- 820.186 Quality system record.
- 820.198 Complaint files.

Subpart N—Servicing

- 820.200 Servicing.

Subpart O—Statistical Techniques

- 820.250 Statistical techniques.

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SOURCE: 61 FR 52654, Oct. 7, 1996, unless otherwise noted.

Subpart A—General Provisions

§ 820.1 Scope.

(a) *Applicability.* (1) Current good manufacturing practice (CGMP) requirements are set forth in this quality system regulation. The requirements in this part govern the methods used in, and the facilities and controls used for, the design, manufacture, packaging, labeling, storage, installation,