

APPENDIX A TO PART 138—APPLICATION FORM

(30 min. per respondent)
Approved OMB No. 2115-0545

DEPARTMENT OF TRANSPORTATION U. S. COAST GUARD CG-5585 APPLICATION FOR VESSEL CERTIFICATE OF FINANCIAL RESPONSIBILITY (WATER POLLUTION)		GENERAL (PART I OF 4 PARTS)
		INSTRUCTIONS Please type or print and submit this application to Director, Coast Guard National Pollution Funds Center (cv), 4200 Wilson Boulevard, Suite 1000, Arlington, VA 22203-1804. The application is in four parts: Part I - General; Part II - Evidence of Financial Responsibility; Part III - Declaration; Part IV - Concurrence of Agent. Applicants must answer all applicable questions. If a question does not apply, answer "not applicable." Incomplete applications will be returned. If additional space is required, supplemental sheets may be attached. All information must be provided in the English language.
1. (a) Legal name of applicant (name of responsible operator of all vessels listed in Part II):		THIS SPACE FOR USE BY USCG ONLY
(b) English equivalent of legal name if customarily written in language other than English:		
(c) Trade name, if any:		
2. Is this the first time the above-named applicant is submitting application Form CG-5585? <input type="checkbox"/> YES <input type="checkbox"/> NO If "NO", what Coast Guard control number was assigned to the first application Form CG-5585? _____		
3. State applicant's legal form of organization, i.e., whether operating as an individual, corporation, partnership, association, joint stock company, business trust, or other organized group of persons (whether incorporated or not) or as a receiver, trustee, or other liquidating agent and briefly describe current business activities and length of time engaged therein.		
(a) If a corporation, association, or other organization, indicate: State in the United States, or foreign country, in which incorporated or organized: _____ Date of incorporation or organization: _____		
(b) If a partnership, provide name and address of each partner:		
4. Name and address of applicant's United States agent or other person authorized by applicant to accept service of process and receipt of notices of designations and presentations of claims in the United States (collectively referred to as "service of process"). (See Part IV) (U. S. applicants may appoint themselves as agent, eliminating the need to complete Part IV.)		

PREVIOUS EDITION IS OBSOLETE

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EVIDENCE OF FINANCIAL RESPONSIBILITY (PART II OF 4 PARTS)								
5. List all applicant's vessels which require Certificates of Financial Responsibility under 33 CFR 138.12. In column (f) indicate the number "1" if the operator is also the registered owner. Indicate "2" in column (f) if the operator is not the registered owner.								
NAME OF VESSEL (a)	TYPE OF VESSEL (See note below) (b)	COUNTRY OF REGISTRY (c)	US VESSELS: Documentation Number FOREIGN VESSELS: International Maritime Organization (IMO) Number or Country of Registration Number if no IMO number has been assigned. (d)	GROSS TONS (e)	"1" or "2" (f)			
<p>NOTE: Designate the type of vessel by using a number from one of the following categories:</p> <table style="width: 100%; border: none;"> <tr> <td style="vertical-align: top;"> <p>CARGO VESSELS, SELF-PROPELLED</p> <p>Breakbulk freighter 10 Containership * 11 Roll on-roll off 12 Barge carrier (e.g., lash, seabee) 13 Combination breakbulk containership * 14 Combination roll on-roll off containership * 15 Combination barge carrier containership * 16 Tanker 17 Dry bulk carrier 18 All other self-propelled cargo vessels 19 Oil/bulk/ore carrier (OBO) 20</p> </td> <td style="vertical-align: top;"> <p>PASSENGER VESSELS</p> <p>Passenger vessel ** 30 Combination passenger/cargo vessel * 31 Ferry ** 32</p> <p>RECREATIONAL VESSELS</p> <p>All types of pleasure craft 40</p> </td> <td style="vertical-align: top;"> <p>UTILITY CRAFT</p> <p>Tank barge 50 Tug and towboat 51 Barge and scow 52 Mobile offshore drilling unit 53 Fishing vessel 54 Factory vessel 55 Research vessel 56 All other utility craft *** 57</p> <p>MISCELLANEOUS Vessels not otherwise specified 60</p> </td> </tr> </table> <p>* Containership categories should be assigned only to vessels having fixed container cells or regularly carrying multi-tier container deckloads. ** Passenger categories should be assigned only to vessels carrying more than 12 passengers for hire. *** Includes floating cranes, dredges, docks, etc.</p>						<p>CARGO VESSELS, SELF-PROPELLED</p> <p>Breakbulk freighter 10 Containership * 11 Roll on-roll off 12 Barge carrier (e.g., lash, seabee) 13 Combination breakbulk containership * 14 Combination roll on-roll off containership * 15 Combination barge carrier containership * 16 Tanker 17 Dry bulk carrier 18 All other self-propelled cargo vessels 19 Oil/bulk/ore carrier (OBO) 20</p>	<p>PASSENGER VESSELS</p> <p>Passenger vessel ** 30 Combination passenger/cargo vessel * 31 Ferry ** 32</p> <p>RECREATIONAL VESSELS</p> <p>All types of pleasure craft 40</p>	<p>UTILITY CRAFT</p> <p>Tank barge 50 Tug and towboat 51 Barge and scow 52 Mobile offshore drilling unit 53 Fishing vessel 54 Factory vessel 55 Research vessel 56 All other utility craft *** 57</p> <p>MISCELLANEOUS Vessels not otherwise specified 60</p>
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5. (g) If applicant indicated "2" for any vessel listed above in column 5(f), indicate:								
NAME OF VESSEL	OWNER	OWNER'S MAILING ADDRESS						

PART II (CONT'D)				
<p>6. Items 7 through 11 are methods of establishing financial responsibility. Check the appropriate box(es) below and answer only the item(s) which apply applicable to this application:</p>				
<input type="checkbox"/> Insurance <i>(Answer item 7)</i>	<input type="checkbox"/> Surety Bond <i>(Answer item 8)</i>	<input type="checkbox"/> Financial Guaranty <i>(Answer item 9)</i>	<input type="checkbox"/> Self-Insurance <i>(Answer item 10)</i>	<input type="checkbox"/> Other evidence <i>(Answer item 11)</i>
<p>7. Name and address of applicant's insurance guarantor (evidence of insurance acceptable to the Director, Coast Guard National Pollution Funds Center, on Insurance Guaranty Form CG-5586 or Master Insurance Guaranty Form CG-5586-1, must be filed before a Certificate will be issued):</p>				
<p>8. Total amount of surety bond guaranty.</p> <p style="text-align: center;">\$ _____</p>				
<p>Name and address of applicant's surety bond guarantor (Surety Bond Guaranty Form CG-5586-2 must be filed before a Certificate will be issued):</p>				
<p>9. Name and address of applicant's financial guarantor (Financial Guaranty Form CG-5586-3, or Master Financial Guaranty Form CG-5586-4, and all required financial data must be filed before a Certificate will be issued):</p>				
<p>Financial Guarantor's fiscal year:</p> <p style="text-align: center;">_____ to _____</p> <p style="text-align: center;">(Month) (Day) (Month) (Day)</p>				
<p>10. If applicant intends to qualify as a self-insurer, attach all required financial data and indicate fiscal year:</p> <p style="text-align: center;">_____ to _____</p> <p style="text-align: center;">(Month) (Day) (Month) (Day)</p>				
<p>11. If applicant intends to qualify through other evidence, supply all information required by 33 CFR 138.80(b)(5).</p>				

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DECLARATION (PART III OF 4 PARTS)	
12. Applicant's mailing address (street, number, post office box, city, state or country. Indicate ZIP code if in the United States):	14. Type or print in this space the name and title of the official who is signing this application:
	15. Address of principal office in the United States (if any):
13. Telefax number and/or telex number and answerback:	16. Telephone no. (area code and number):
<p>I declare that I have examined this application, including any accompanying schedules and statements, and, to the best of my knowledge and belief, it is true, correct, and complete. Furthermore, the applicant named in item 1(a) of Part I above is the responsible operator of all vessels now listed in or later added to this application. I agree that in the event the agent designated in item 4 of Part I above, or that agent's replacement as may be designated later with the approval of the Director, Coast Guard National Pollution Funds Center, cannot be served due to death, disability, unavailability, or similar event, the Director, Coast Guard National Pollution Funds Center, is considered the agent for service of process. I have signed this application in my capacity as an authorized official of the applicant, or, if acting under a power of attorney, pursuant to the power vested in me by the applicant as evidenced by the attached power of attorney.</p> <p style="text-align: center;">IMPORTANT</p> <p style="text-align: center;">↓</p>	
DATE	SIGNATURE OF AUTHORIZED OFFICIAL
<p>NOTE: Please be sure that Parts I, II, and III have been completed in full and that Part III has been dated and signed. Then proceed to Part IV, attached.</p> <p style="text-align: center;">NO CERTIFICATE WILL BE ISSUED UNLESS A COMPLETED APPLICATION FORM HAS BEEN RECEIVED, PROCESSED AND APPROVED.</p>	
COMMENTS:	
<p style="text-align: center;">Any person who knowingly and willfully makes a false statement in this application is subject to the sanctions prescribed in 18 U.S.C. 1001.</p>	

CONCURRENCE OF AGENT (PART IV OF 4 PARTS)
<p><small>PART IV-A must be completed by the person designated in item 4 of Part I to serve as applicant's United States agent for service of process. Part IV-B must be completed by the applicant. After Parts IV-A and IV-B are completed, Part IV should be submitted to the Director, Coast Guard National Pollution Funds Center, by the applicant or by the agent, either separately or together with Parts I, II, and III. (Part IV need not be completed if the agent designated in item IV of Part I already has submitted to the U.S. Coast Guard an acceptable blanket Concurrence of Agent, agreeing to serve on behalf of certain applicants who designate that agent. Part IV also need not be completed if the applicant is a United States entity and has appointed itself as agent in item 4 of Part I.)</small></p>
PART IV - A
<p>It is hereby agreed that _____</p> <p>shall serve as the applicant's United States agent for service of process for purposes of 33 CFR part 138. This designation and agreement shall cease immediately in the event the applicant designates a new agent acceptable to the Director, National Pollution Funds Center.</p> <p>Date: _____</p> <p>Signature of person signing on behalf of agent: _____</p> <p>Title: _____</p> <p>Business address: _____</p> <p>_____</p>
PART IV - B (TO BE COMPLETED BY APPLICANT)
<p>Name of applicant (from item I(a)): _____</p> <p>Signature of authorized official signing on behalf of applicant: _____ <small>(Person signing here should also sign in appropriate place on Part III)</small></p> <p>Date: _____</p> <p>Type or Print Name and Title: _____</p>