

that you may omit power and torque points during motoring from the cycle-validation criteria in § 1065.514. Also, use the maximum mapped torque at the minimum mapped speed as the maximum torque for any reference speed at or below the minimum mapped speed.

(3) *Engine torque for constant-speed engines.* For constant-speed engines, normalized torque is expressed as a percentage of maximum test torque, T_{test} . Section 1065.610 describes how to transform normalized torques into a sequence of reference torques, T_{ref} . Section 1065.610 also describes under what conditions you may command T_{ref} greater than the reference torque you calculated from the normalized duty cycle. This provision permits you to command T_{ref} values that are limited by a declared minimum torque.

(4) *Engine power.* For all engines, normalized power is expressed as a percentage of mapped power at maximum test speed, f_{inest} , unless otherwise specified by the standard-setting part. Section 1065.610 describes how to transform these normalized values into a sequence of reference powers, P_{ref} . Convert these reference powers to corresponding torques for operator demand and dynamometer control. Use the reference speed associated with each reference power point for this conversion. As with cycles specified with % torque, issue torque commands more frequently and linearly interpolate between these reference torque values generated from cycles with % power.

(5) *Ramped-modal cycles.* For ramped modal cycles, generate reference speed and torque values at 1 Hz and use this sequence of points to run the cycle and validate it in the same manner as with a transient cycle. During the transition between modes, linearly ramp the denormalized reference speed and torque values between modes to generate reference points at 1 Hz. Do not linearly ramp the normalized reference torque values between modes and then denormalize them. Do not linearly ramp normalized or denormalized reference power points. These cases will produce nonlinear torque ramps in the denormalized reference torques. If the speed and torque ramp runs through a point above the engine's torque curve, continue to command the reference torques and allow the operator demand to go to maximum. Note that you may omit power and either torque or speed points from the cycle-validation criteria under these conditions as specified in § 1065.514.

(c) For variable-speed engines, command reference speeds and torques sequentially to perform a duty cycle. Issue speed and torque commands at a frequency of at least 5 Hz for transient cycles and at least 1 Hz for steady-state cycles (i.e., discrete-mode and ramped-modal). Linearly interpolate between the 1 Hz reference values specified in the standard-setting part to determine more frequently

issued reference speeds and torques. During an emission test, record the feedback speeds and torques at a frequency of at least 5 Hz for transient cycles and at least 1 Hz for steady-state cycles. For transient cycles, you may record the feedback speeds and torques at lower frequencies (as low as 1 Hz) if you record the average value over the time interval between recorded values. Calculate the average values based on feedback values updated at a frequency of at least 5 Hz. Use these recorded values to calculate cycle-validation statistics and total work.

(d) For constant-speed engines, operate the engine with the same production governor you used to map the engine in § 1065.510 or simulate the in-use operation of a governor the same way you simulated it to map the engine in § 1065.510. Command reference torque values sequentially to perform a duty cycle. Issue torque commands at a frequency of at least 5 Hz for transient cycles and at least 1 Hz for steady-state cycles (i.e., discrete-mode, ramped-modal). Linearly interpolate between the 1 Hz reference values specified in the standard-setting part to determine more frequently issued reference torque values. During an emission test, record the feedback speeds and torques at a frequency of at least 5 Hz for transient cycles and at least 1 Hz for steady-state cycles. For transient cycles, you may record the feedback speeds and torques at lower frequencies (as low as 1 Hz) if you record the average value over the time interval between recorded values. Calculate the average values based on feedback values updated at a frequency of at least 5 Hz. Use these recorded values to calculate cycle-validation statistics and total work.

(e) You may perform practice duty cycles with the test engine to optimize operator demand and dynamometer controls to meet the cycle-validation criteria specified in § 1065.514.

§ 1065.514 Cycle-validation criteria.

This section describes how to determine if the engine's operation during the test adequately matched the reference duty cycle. This section applies only to speed, torque, and power from the engine's primary output shaft. Other work inputs and outputs are not subject to cycle-validation criteria. For any data required in this section, use the duty cycle reference and feedback values that you recorded during a test interval.

(a) *Testing performed by EPA.* Our tests must meet the specifications of paragraph (g) of this section, unless we determine that failing to meet the

specifications is related to engine performance rather than to shortcomings of the dynamometer or other laboratory equipment.

(b) *Testing performed by manufacturers.* Emission tests that meet the specifications of paragraph (g) of this section satisfy the standard-setting part's requirements for duty cycles. You may ask to use a dynamometer or other laboratory equipment that cannot meet those specifications. We will approve your request as long as using the alternate equipment does not affect your ability to show compliance with the applicable emission standards.

(c) *Time-alignment.* Because time lag between feedback values and the reference values may bias cycle-validation results, you may advance or delay the entire sequence of feedback engine speed and torque pairs to synchronize them with the reference sequence.

(d) *Calculating work.* Before calculating work values, omit any points recorded during engine cranking and starting. Cranking and starting includes any time when an engine starter is engaged, any time when the engine is motored with a dynamometer for the sole purpose of starting the engine, and any time during operation before reaching idle speed. See §1065.525(a) and (b) for more information about engine cranking. After omitting points recorded during engine cranking and starting, but before omitting any points under paragraph (e) of this section, calculate total work, W , based on the feedback values and reference work, W_{ref} , based on the reference values, as described in §1065.650.

(e) *Omitting additional points.* Besides engine cranking, you may omit additional points from cycle-validation statistics as described in the following table:

TABLE 1 OF § 1065.514—PERMISSIBLE CRITERIA FOR OMITTING POINTS FROM DUTY-CYCLE REGRESSION STATISTICS

When operator demand is at its . . .	you may omit. . .	if. . .
For reference duty cycles that are specified in terms of speed and torque (f_{nref} , T_{ref}).		
minimum	power and torque	$T_{ref} < 0\%$ (motoring).
minimum	power and speed	$f_{nref} = 0\%$ (idle) and $T_{ref} = 0\%$ (idle) and $T_{ref} - (2\% \cdot T_{max\ mapped}) < T < T_{ref} + (2\% \cdot T_{max\ mapped})$.
minimum	power and either torque or speed	$f_n > f_{nref}$ or $T > T_{ref}$ but not if $f_n > f_{nref}$ and $T > T_{ref}$.
maximum	power and either torque or speed	$f_n < f_{nref}$ or $T < T_{ref}$ but not if $f_n < f_{nref}$ and $T < T_{ref}$.
For reference duty cycles that are specified in terms of speed and power (f_{nref} , P_{ref}).		
minimum	power and torque	$P_{ref} < 0\%$ (motoring).
minimum	power and speed	$f_{nref} = 0\%$ (idle) and $P_{ref} = 0\%$ (idle) and $P_{ref} - (2\% \cdot P_{max\ mapped}) < P < P_{ref} + (2\% \cdot P_{max\ mapped})$.
minimum	power and either torque or speed	$f_n > f_{nref}$ or $P > P_{ref}$ but not if $f_n > f_{nref}$ and $P > P_{ref}$.
maximum	power and either torque or speed	$f_n < f_{nref}$ or $P < P_{ref}$ but not if $f_n < f_{nref}$ and $P < P_{ref}$.

(f) *Statistical parameters.* Use the remaining points to calculate regression statistics described in §1065.602. Round calculated regression statistics to the same number of significant digits as the criteria to which they are compared. Refer to Table 2 of §1065.514 for the criteria. Calculate the following regression statistics :

- (1) Slopes for feedback speed, a_{1f_n} , feedback torque, a_{1T} , and feedback power a_{1P} .
- (2) Intercepts for feedback speed, a_{0f_n} , feedback torque, a_{0T} , and feedback power a_{0P} .

(3) Standard estimates of error for feedback speed, SEE_{f_n} , feedback torque, SEE_T , and feedback power SEE_P .

(4) Coefficients of determination for feedback speed, $r^2_{f_n}$, feedback torque, r^2_T , and feedback power r^2_P .

(g) *Cycle-validation criteria.* Unless the standard-setting part specifies otherwise, use the following criteria to validate a duty cycle:

- (1) For variable-speed engines, apply all the statistical criteria in Table 2 of this section.

(2) For constant-speed engines, apply only the statistical criteria for torque in the Table 2 of this section.

TABLE 2 OF § 1065.514—DEFAULT STATISTICAL CRITERIA FOR VALIDATING DUTY CYCLES

Parameter	Speed	Torque	Power
Slope, a_i	$0.950 \leq a_i \leq 1.030$	$0.830 \leq a_i \leq 1.030$	$0.830 \leq a_i \leq 1.030$.
Absolute value of intercept, $ a_0 $	$\leq 10\%$ of warm idle	$\leq 2.0\%$ of maximum mapped torque.	$\leq 2.0\%$ of maximum mapped power.
Standard error of estimate, SEE	$\leq 5.0\%$ of maximum test speed.	$\leq 10\%$ of maximum mapped torque.	$\leq 10\%$ of maximum mapped power.
Coefficient of determination, r^2	≥ 0.970	≥ 0.850	≥ 0.910 .

EFFECTIVE DATE NOTE: At 73 FR 37318, June 30, 2008, §1065.514 was revised, effective July 7, 2008. For the convenience of the user, the revised text is set forth as follows:

§ 1065.514 Cycle-validation criteria for operation over specified duty cycles.

Validate the execution of your duty cycle according to this section unless the standard-setting part specifies otherwise. This section describes how to determine if the engine's operation during the test adequately matched the reference duty cycle. This section applies only to speed, torque, and power from the engine's primary output shaft. Other work inputs and outputs are not subject to cycle-validation criteria. You must compare the original reference duty cycle points generated as described in §1065.512 to the corresponding feedback values recorded during the test. You may compare reference duty cycle points recorded during the test to the corresponding feedback values recorded during the test as long as the recorded reference values match the original points generated in §1065.512. The number of points in the validation regression are based on the number of points in the original reference duty cycle generated in §1065.512. For example if the original cycle has 1199 reference points at 1 Hz, then the regression will have up to 1199 pairs of reference and feedback values at the corresponding moments in the test. The feedback speed and torque signals may be filtered—either in real-time while the test is run or afterward in the analysis program. Any filtering that is used on the feedback signals used for cycle validation

must also be used for calculating work. Feedback signals for control loops may use different filtering.

(a) *Testing performed by EPA.* Our tests must meet the specifications of paragraph (f) of this section, unless we determine that failing to meet the specifications is related to engine performance rather than to shortcomings of the dynamometer or other laboratory equipment.

(b) *Testing performed by manufacturers.* Emission tests that meet the specifications of paragraph (f) of this section satisfy the standard-setting part's requirements for duty cycles. You may ask to use a dynamometer or other laboratory equipment that cannot meet those specifications. We will approve your request as long as using the alternate equipment does not adversely affect your ability to show compliance with the applicable emission standards.

(c) *Time-alignment.* Because time lag between feedback values and the reference values may bias cycle-validation results, you may advance or delay the entire sequence of feedback engine speed and torque pairs to synchronize them with the reference sequence. If you advance or delay feedback signals for cycle validation, you must make the same adjustment for calculating work. You may use linear interpolation between successive recorded feedback signals to time shift an amount that is a fraction of the recording period.

(d) *Omitting additional points.* Besides engine cranking, you may omit additional points from cycle-validation statistics as described in the following table:

TABLE 1 OF § 1065.514.—PERMISSIBLE CRITERIA FOR OMITTING POINTS FROM DUTY-CYCLE REGRESSION STATISTICS

When operator demand is at its . . .	you may omit . . .	if . . .
For reference duty cycles that are specified in terms of speed and torque (f_{ref} , T_{ref}):		
minimum	power and torque	$T_{ref} < 0\%$ (motoring).
minimum	power and speed	$f_{ref} = 0\%$ (idle speed) and $T_{ref} = 0\%$ (idle torque) and $T_{ref} - (2\% \cdot T_{max\ mapped}) < T < T_{ref} + (2\% \cdot T_{max\ mapped})$.
minimum	power and either torque or speed.	$f_n > f_{ref}$ or $T > T_{ref}$ but not if $f_n > (f_{ref} \cdot 102\%)$ and $T > T_{ref} + (2\% \cdot T_{max\ mapped})$.